

3GPP TS 36.521-1 V11.2.0 (2013-09)

**3rd Generation Partnership Project;
Technical Specification Group Radio Access Network;
Evolved Universal Terrestrial Radio Access (E-UTRA);
User Equipment (UE) conformance specification Radio
transmission and reception
Part 1: Conformance Testing;
(Release 11)**



Keywords

UMTS LTE
3GPP

Postal address

3GPP support office address
650 Route des Lucioles - Sophia Antipolis
Valbonne - FRANCE
Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Internet

<http://www.3gpp.org>

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© 2013, 3GPP Organizational Partners (ARIB, ATIS, CCSA, ETSI, TTA, TTC).
All rights reserved.

UMTS™ is a Trade Mark of ETSI registered for the benefit of its members
3GPP™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners
LTE™ is a Trade Mark of ETSI currently being registered for the benefit of its Members and of the 3GPP Organizational Partners
GSM® and the GSM logo are registered and owned by the GSM Association

Contents

Foreword	32
Introduction	32
1 Scope	33
2 References.....	34
3 Definitions, symbols and abbreviations	35
3.1 Definitions	35
3.2 Symbols.....	36
3.3 Abbreviations.....	38
4 General.....	40
4.1 Categorization of test requirements in CA, UL-MIMO, eDL-MIMO	41
5 Frequency bands and channel arrangement	42
5.1 General	42
5.2 Operating bands.....	42
5.2A Operating bands for CA	43
5.2B Operating bands for UL-MIMO.....	44
5.3 TX–RX frequency separation.....	45
5.3A TX–RX frequency separation for CA	45
5.4 Channel arrangement	45
5.4.1 Channel spacing	45
5.4.1A Channel spacing for CA	46
5.4.2 Channel bandwidth	46
5.4.2.1 Channel bandwidths per operating band.....	47
5.4.2A Channel bandwidth for CA	48
5.4.2A.1 Channel bandwidths per operating band for CA	49
5.4.2B Channel bandwidth for UL-MIMO.....	51
5.4.2B.1 Channel bandwidths per operating band for UL- MIMO	51
5.4.3 Channel raster	51
5.4.3A Channel raster for CA.....	51
5.4.4 Carrier frequency and EARFCN	51
6 Transmitter Characteristics	54
6.1 General	54
6.2 Transmit power.....	54
6.2.1 Void	54
6.2.2 UE Maximum Output Power	54
6.2.2.1 Test purpose.....	54
6.2.2.2 Test applicability.....	54
6.2.2.3 Minimum conformance requirements	54
6.2.2.4 Test description	56
6.2.2.4.1 Initial condition	56
6.2.2.4.2 Test procedure	57
6.2.2.4.3 Message contents	57
6.2.2.5 Test requirements.....	57
6.2.2_1 Maximum Output Power for HPUE.....	58
6.2.2_1.1 Test purpose.....	58
6.2.2_1.2 Test applicability.....	59
6.2.2_1.3 Minimum conformance requirements	59
6.2.2_1.4 Test description	59
6.2.2_1.5 Test requirements.....	60
6.2.2A UE Maximum Output Power for CA	60
6.2.2A.1 UE Maximum Output Power for CA (intra-band contiguous DL CA and UL CA)	60
6.2.2A.1.1 Test purpose.....	60
6.2.2A.1.2 Test applicability.....	60
6.2.2A.1.3 Minimum conformance requirements	60

6.2.2A.1.4	Test description	61
6.2.2A.1.5	Test Requirements	63
6.2.2B	UE Maximum Output Power for UL-MIMO	63
6.2.2B.1	Test purpose.....	63
6.2.2B.2	Test applicability.....	63
6.2.2B.3	Minimum conformance requirements	63
6.2.2B.4	Test description	65
6.2.2B.4.1	Initial condition	65
6.2.2B.4.2	Test procedure	66
6.2.2B.4.3	Message contents	66
6.2.2B.5	Test requirements.....	66
6.2.3	Maximum Power Reduction (MPR)	67
6.2.3.1	Test purpose.....	67
6.2.3.2	Test applicability.....	68
6.2.3.3	Minimum conformance requirements	68
6.2.3.4	Test description	68
6.2.3.4.1	Initial condition	68
6.2.3.4.2	Test procedure	69
6.2.3.4.3	Message contents	69
6.2.3.5	Test requirements.....	70
6.2.3_1	Maximum Power Reduction (MPR) for HPUE	72
6.2.3_1.1	Test purpose.....	72
6.2.3_1.2	Test applicability.....	72
6.2.3_1.3	Minimum conformance requirements	72
6.2.3_1.4	Test description	72
6.2.3_1.5	Test requirements.....	73
6.2.3A	Maximum Power Reduction (MPR) for CA	73
6.2.3A.1	Maximum Power Reduction (MPR) for CA (intra-band contiguous DL CA and UL CA)	73
6.2.3A.1.1	Test purpose.....	73
6.2.3A.1.2	Test applicability.....	73
6.2.3A.1.3	Minimum conformance requirements	73
6.2.3A.1.4	Test description	74
6.2.3A.1.5	Test Requirements	76
6.2.3B	Maximum Power Reduction (MPR) for UL-MIMO.....	77
6.2.3B.1	Test purpose.....	77
6.2.3B.2	Test applicability.....	77
6.2.3B.3	Minimum conformance requirements	77
6.2.3B.4	Test description	78
6.2.3B.4.1	Initial condition	78
6.2.3B.4.2	Test procedure	79
6.2.3B.4.3	Message contents	79
6.2.3B.5	Test requirements.....	79
6.2.4	Additional Maximum Power Reduction (A-MPR)	81
6.2.4.1	Test purpose.....	81
6.2.4.2	Test applicability.....	81
6.2.4.3	Minimum conformance requirements	81
6.2.4.4	Test description	88
6.2.4.4.1	Initial condition	88
6.2.4.4.2	Test procedure	106
6.2.4.4.3	Message contents	106
6.2.4.5	Test requirements.....	110
6.2.4_1	Additional Maximum Power Reduction (A-MPR) for HPUE	130
6.2.4_1.2	Test applicability.....	130
6.2.4_1.3	Minimum conformance requirements	131
6.2.4_1.4	Test description	131
6.2.4_1.5	Test requirements.....	131
6.2.4A	Additional Maximum Power Reduction (A-MPR) for CA	132
6.2.4A.1	Additional Maximum Power Reduction (A-MPR) for CA (intra-band contiguous DL CA and UL CA)	132
6.2.4A.1.1	Test purpose.....	132
6.2.4A.1.2	Test applicability.....	132
6.2.4A.1.3	Minimum conformance requirements	132

6.2.4A.1.3.5	A-MPR for CA_NS_05 for CA_38C	135
6.2.4A.1.3.6	A-MPR for CA_NS_06 for CA_7C	136
6.2.4A.1.4	Test description	137
6.2.4A.1.5	Test requirements	143
6.2.4B	Additional Maximum Power Reduction (A-MPR) for UL-MIMO	148
6.2.4B.1	Test purpose.....	148
6.2.4B.2	Test applicability.....	148
6.2.4B.3	Minimum conformance requirements	148
6.2.4B.4	Test description	153
6.2.4B.4.1	Initial condition	153
6.2.4B.4.2	Test procedure	167
6.2.4B.4.3	Message contents	168
6.2.4B.5	Test requirements.....	171
6.2.5	Configured UE transmitted Output Power	185
6.2.5.1	Test purpose.....	185
6.2.5.2	Test applicability.....	185
6.2.5.3	Minimum conformance requirements	185
6.2.5.4	Test description	186
6.2.5.4.1	Initial conditions	186
6.2.5.4.2	Test procedure	187
6.2.5.4.3	Message contents	187
6.2.5.5	Test requirement	188
6.2.5_1	Configured UE transmitted Output Power for HPUE.....	188
6.2.5_1.1	Test purpose.....	188
6.2.5_1.2	Test applicability.....	188
6.2.5_1.3	Minimum conformance requirements	188
6.2.5_1.4	Test description	189
6.2.5_1.4.1	Initial conditions	189
6.2.5_1.4.2	Test procedure	189
6.2.5_1.4.3	Message contents	189
6.2.5_1.5	Test requirement	189
6.2.5A	Configured transmitted power for CA	190
6.2.5A.1	Configured UE transmitted Output Power for CA (intra-band contiguous DL CA and UL CA).....	190
6.2.5A.1.1	Test purpose.....	190
6.2.5A.1.2	Test applicability.....	190
6.2.5A.1.3	Minimum conformance requirements	190
6.2.5A.1.4	Test description	193
6.2.5A.1.5	Test requirement	195
6.2.5A.2	Configured UE transmitted Output Power for CA (inter-band DL CA without UL CA).....	195
6.2.5A.2.1	Test purpose.....	195
6.2.5A.2.2	Test applicability.....	196
6.2.5A.2.3	Minimum conformance requirements	196
6.2.5A.2.4	Test description	196
6.2.5A.2.5	Test requirement	197
6.2.5B	Configured UE transmitted Output Power for UL-MIMO	197
6.2.5B.1	Test purpose.....	197
6.2.5B.2	Test applicability.....	198
6.2.5B.3	Minimum conformance requirements	198
6.2.5B.4	Test description	198
6.2.5B.4.1	Initial conditions	198
6.2.5B.4.2	Test procedure	199
6.2.5B.4.3	Message contents	199
6.2.5B.5	Test requirement	200
6.3	Output Power Dynamics	200
6.3.1	Void	200
6.3.2	Minimum Output Power	200
6.3.2.1	Test purpose.....	200
6.3.2.2	Test applicability.....	200
6.3.2.3	Minimum conformance requirements	200
6.3.2.4	Test description	201
6.3.2.4.1	Initial conditions	201
6.3.2.4.2	Test procedure.....	202

6.3.2.4.3	Message contents	202
6.3.2.5	Test requirement	202
6.3.2A	Minimum Output Power for CA	202
6.3.2A.1	Minimum Output Power for CA (intra-band contiguous DL CA and UL CA)	202
6.3.2A.1.1	Test purpose.....	202
6.3.2A.1.2	Test applicability.....	202
6.3.2A.1.3	Minimum conformance requirements	202
6.3.2A.1.4	Test description	203
6.3.2A.1.4.1	Initial conditions	203
6.3.2A.1.4.2	Test procedure.....	204
6.3.2A.1.4.3	Message contents.....	204
6.3.2A.1.5	Test requirements.....	204
6.3.2B	Minimum Output Power for UL-MIMO	204
6.3.2B.1	Test purpose.....	204
6.3.2B.2	Test applicability.....	204
6.3.2B.3	Minimum conformance requirements	205
6.3.2B.4	Test description	205
6.3.2B.4.1	Initial conditions	205
6.3.2B.4.2	Test procedure	206
6.3.2B.4.3	Message contents	206
6.3.3	Transmit OFF power	206
6.3.3.1	Test purpose.....	206
6.3.3.2	Test applicability.....	206
6.3.3.3	Minimum conformance requirement	206
6.3.3.4	Test description	207
6.3.3.5	Test requirement	207
6.3.3A	UE Transmit OFF power for CA	207
6.3.3A.1	UE Transmit OFF power for CA (intra-band contiguous DL CA and UL CA)	207
6.3.3A.1.1	Test purpose.....	207
6.3.3A.1.2	Test applicability.....	207
6.3.3A.1.3	Minimum conformance requirements	207
6.3.3A.1.4	Test description	208
6.3.3A.1.5	Test Requirements	208
6.3.3B	UE Transmit OFF power for UL-MIMO	208
6.3.3B.1	Test purpose.....	208
6.3.3B.2	Test applicability.....	208
6.3.3B.3	Minimum conformance requirement	208
6.3.3B.4	Test description	209
6.3.3B.5	Test requirement	209
6.3.4	ON/OFF time mask.....	209
6.3.4.1	General ON/OFF time mask	209
6.3.4.1.1	Test purpose.....	209
6.3.4.1.2	Test applicability.....	209
6.3.4.1.3	Minimum conformance requirement	209
6.3.4.1.4	Test description	210
6.3.4.1.5	Test requirement	212
6.3.4.2	PRA CH and SRS time mask.....	212
6.3.4.2.1	PRA CH time mask	212
6.3.4.2.2	SRS time mask	215
6.3.4A	ON/OFF time mask for CA	220
6.3.4A.1	General ON/OFF time mask for CA.....	220
6.3.4A.1.1	General ON/OFF time mask for CA (intra-band contiguous DL CA and UL CA)	220
6.3.4A.1.1.4.2	Test procedure	222
6.3.4A.1.1.4.3	Message contents.....	222
6.3.4A.1.1.5	Test requirement	223
6.3.4B	ON/OFF time mask for UL-MIMO	223
6.3.4B.1	General ON/OFF time mask for UL-MIMO.....	223
6.3.4B.1.1	Test purpose.....	223
6.3.4B.1.2	Test applicability.....	223
6.3.4B.1.3	Minimum conformance requirement	223
6.3.4B.1.4	Test description	223
6.3.4B.1.5	Test requirement	225

6.3.5	Power Control	226
6.3.5.1	Power Control Absolute power tolerance	226
6.3.5.1.1	Test purpose.....	226
6.3.5.1.2	Minimum conformance requirement	226
6.3.5.1.3	Test applicability.....	226
6.3.5.1.4	Test description	227
6.3.5.1.5	Test requirement	228
6.3.5.2	Power Control Relative power tolerance	229
6.3.5.2.1	Test purpose.....	229
6.3.5.2.2	Test applicability.....	229
6.3.5.2.3	Minimum conformance requirement	229
6.3.5.2.4	Test description	230
6.3.5.2.5	Test requirement	237
6.3.5.3	Aggregate power control tolerance	248
6.3.5.3.1	Test purpose.....	248
6.3.5.3.2	Test applicability.....	248
6.3.5.3.3	Minimum conformance requirement	249
6.3.5.3.4	Test description	249
6.3.5.3.5	Test requirement	251
6.3.5_1	Power Control for HPUE.....	251
6.3.5_1.1	Power Control Absolute power tolerance for HPUE	251
6.3.5_1.1.1	Test purpose.....	251
6.3.5_1.1.2	Test applicability.....	252
6.3.5_1.1.3	Minimum conformance requirement	252
6.3.5_1.1.4	Test description	252
6.3.5_1.1.5	Test requirement	252
6.3.5_1.2	Power Control Relative power tolerance for HPUE	253
6.3.5_1.2.1	Test purpose.....	253
6.3.5_1.2.2	Test applicability.....	253
6.3.5_1.2.3	Minimum conformance requirement	253
6.3.5_1.2.4	Test description	253
6.3.5_1.2.5	Test requirement	253
6.3.5_1.3	Aggregate power control tolerance for HPUE	253
6.3.5_1.3.1	Test purpose.....	253
6.3.5_1.3.2	Test applicability.....	253
6.3.5_1.3.3	Minimum conformance requirement	253
6.3.5_1.3.4	Test description	253
6.3.5_1.3.5	Test requirement	253
6.3.5A	Power Control for CA	254
6.3.5A.1	Power Control Absolute power tolerance for CA	254
6.3.5A.1.1	Power Control Absolute power tolerance for CA (intra-band contiguous DL CA and UL CA)	254
6.3.5A.2	Power Control Relative power tolerance for CA	258
6.3.5A.2.1	Power Control Relative power tolerance for CA (intra-band contiguous DL CA and UL CA)	258
6.3.5A.3	Aggregate power control tolerance for CA	272
6.3.5A.3.1	Aggregate power control tolerance for CA (intra-band contiguous DL CA and UL CA)	272
6.3.5A.3.1.1	Test purpose	272
6.3.5A.3.1.2	Test applicability	273
6.3.5A.3.1.3	Minimum conformance requirements	273
6.3.5B	Power Control for UL- MIMO	276
6.3.5B.1	Power Control Absolute Power Tolerance for UL- MIMO.....	276
6.3.5B.1.1	Test purpose.....	276
6.3.5B.1.2	Minimum conformance requirement	276
6.3.5B.1.3	Test applicability.....	276
6.3.5B.1.4	Test description	276
6.3.5B.1.5	Test requirement	278
6.3.5B.2	Power Control Relative power tolerance for UL-MIMO.....	279
6.3.5B.2.1	Test purpose.....	279
6.3.5B.2.2	Test applicability.....	279
6.3.5B.2.3	Minimum conformance requirement	279
6.3.5B.2.4	Test description	279
6.3.5B.2.5	Test requirement	286
6.3.5B.3	Aggregate power control tolerance for UL-MIMO	296

6.3.5B.3.1	Test purpose.....	296
6.3.5B.3.2	Test applicability.....	296
6.3.5B.3.3	Minimum conformance requirement	296
6.3.5B.3.4	Test description	296
6.3.5B.3.5	Test requirement	298
6.4	Void	299
6.5	Transmit signal quality	300
6.5.1	Frequency Error.....	300
6.5.1.1	Test purpose.....	300
6.5.1.2	Test applicability.....	300
6.5.1.3	Minimum conformance requirements	300
6.5.1.4	Test description	300
6.5.1.4.1	Initial condition	300
6.5.1.4.2	Test procedure	301
6.5.1.4.3	Message contents	302
6.5.1.5	Test requirement	302
6.5.1A	Frequency error for CA	302
6.5.1A.1	Frequency error for CA (intra-band contiguous DL CA and UL CA)	302
6.5.1A.1.1	Test purpose.....	302
6.5.1A.1.2	Test applicability.....	302
6.5.1A.1.3	Minimum conformance requirements	302
6.5.1A.1.4	Test description	302
6.5.1A.1.5	Test Requirements	304
6.5.1B	Frequency Error for UL-MIMO	304
6.5.1B.1	Test purpose.....	304
6.5.1B.2	Test applicability.....	304
6.5.1B.3	Minimum conformance requirements	304
6.5.1B.4	Test description	304
6.5.1B.4.1	Initial condition	304
6.5.1B.4.2	Test procedure	305
6.5.1B.4.3	Message contents	306
6.5.1B.5	Test requirement	306
6.5.2	Transmit modulation.....	306
6.5.2.1	Error Vector Magnitude (EVM).....	306
6.5.2.1.1	Test Purpose.....	306
6.5.2.1.2	Test applicability.....	306
6.5.2.1.3	Minimum conformance requirements	306
6.5.2.1.4	Test description	307
6.5.2.1.5	Test requirement	311
6.5.2.1A	PUSCH-EVM with exclusion period	311
6.5.2.1A.1	Test purpose.....	311
6.5.2.1A.2	Test applicability.....	311
6.5.2.1A.3	Minimum conformance requirement	311
6.5.2.1A.4	Test description	311
6.5.2.1A.5	Test requirement	313
6.5.2.2	Carrier leakage	314
6.5.2.2.1	Test Purpose.....	314
6.5.2.2.2	Test applicability.....	314
6.5.2.2.3	Minimum conformance requirements	314
6.5.2.2.4	Test description	314
6.5.2.2.5	Test requirement	316
6.5.2.3	In-band emissions for non allocated RB	316
6.5.2.3.1	Test Purpose.....	316
6.5.2.3.2	Test applicability.....	316
6.5.2.3.3	Minimum conformance requirements	316
6.5.2.3.4	Test description	317
6.5.2.3.5	Test requirement	320
6.5.2.4	EVM equalizer spectrum flatness	320
6.5.2.4.1	Test Purpose.....	320
6.5.2.4.2	Test applicability.....	321
6.5.2.4.3	Minimum conformance requirements	321
6.5.2.4.4	Test description	322

6.5.2.4.5	Test requirement	323
6.5.2A	Transmit modulation for CA	324
6.5.2A.1	Error Vector Magnitude (EVM) for CA	324
6.5.2A.1.1	Error Vector Magnitude (EVM) for CA (intra-band contiguous DL CA and UL CA)	324
6.5.2A.2	Carrier leakage for CA	326
6.5.2A.2.1	Carrier leakage for CA (intra-band contiguous DL CA and UL CA)	326
6.5.2A.3	In-band emissions for non allocated RB for CA	328
6.5.2A.3.1	In-band emissions for non allocated RB for CA (intra-band contiguous DL CA and UL CA)	328
6.5.2B	Transmit modulation for UL- MIMO	334
6.5.2B.1	Error Vector Magnitude (EVM) for UL- MIMO	334
6.5.2B.1.1	Test Purpose.....	334
6.5.2B.1.2	Test applicability.....	335
6.5.2B.1.3	Minimum conformance requirements	335
6.5.2B.1.4	Test description	335
6.5.2B.1.5	Test requirement	337
6.5.2B.2	Carrier leakage for UL-MIMO	338
6.5.2B.2.1	Test Purpose.....	338
6.5.2B.2.2	Test applicability.....	338
6.5.2B.2.3	Minimum conformance requirements	338
6.5.2B.2.4	Test description	338
6.5.2B.2.5	Test requirement	339
6.5.2B.3	In-band emissions for non allocated RB for UL-MIMO	340
6.5.2B.3.1	Test Purpose.....	340
6.5.2B.3.2	Test applicability.....	340
6.5.2B.3.3	Minimum conformance requirements	340
6.5.2B.3.4	Test description	341
6.5.2B.3.5	Test requirement	343
6.5.2B.4	EVM equalizer spectrum flatness for UL-MIMO	343
6.5.2B.4.1	Test Purpose.....	343
6.5.2B.4.2	Test applicability.....	344
6.5.2B.4.3	Minimum conformance requirements	344
6.5.2B.4.4	Test description	344
6.5.2B.4.5	Test requirement	345
6.6	Output RF spectrum emissions	346
6.6.1	Occupied bandwidth	347
6.6.1.1	Test purpose.....	347
6.6.1.2	Test applicability.....	347
6.6.1.2	Minimum conformance requirements	347
6.6.1.4	Test description	347
6.6.1.4.1	Initial conditions	347
6.6.1.4.2	Test procedure	348
6.6.1.4.3	Message contents	348
6.6.1.5	Test requirement	348
6.6.1A	Occupied bandwidth for CA	349
6.6.1A.1	Occupied bandwidth for CA (intra-band contiguous DL CA and UL CA)	349
6.6.1A.1.1	Test purpose.....	349
6.6.1A.1.2	Test applicability.....	349
6.6.1A.1.3	Minimum conformance requirements	349
6.6.1A.1.4	Test description	349
6.6.1A.1.5	Test Requirements	351
6.6.1B	Occupied bandwidth for UL-MIMO.....	351
6.6.1B.1	Test purpose.....	351
6.6.1B.2	Test applicability.....	351
6.6.1B.3	Minimum conformance requirements	351
6.6.1B.4	Test description	352
6.6.1B.4.1	Initial conditions	352
6.6.1B.4.2	Test procedure	352
6.6.1B.4.3	Message contents	353
6.6.2	Out of band emission.....	353
6.6.2.1	Spectrum Emission Mask.....	353
6.6.2.1.1	Test purpose.....	353
6.6.2.1.2	Test applicability.....	353

6.6.2.1.3	Minimum conformance requirements	353
6.6.2.1.4	Test description	354
6.6.2.1.5	Test requirements	356
6.6.2.1A	Spectrum emission mask for CA	357
6.6.2.1A.1	Spectrum emission mask for CA (intra-band contiguous DL CA and UL CA)	357
6.6.2.1B	Spectrum Emission Mask for UL-MIMO	361
6.6.2.1B.1	Test purpose	361
6.6.2.1B.2	Test applicability	361
6.6.2.1B.3	Minimum conformance requirements	361
6.6.2.1B.4	Test description	362
6.6.2.1B.5	Test requirements	364
6.6.2.2	Additional Spectrum Emission Mask	365
6.6.2.2.1	Test purpose	365
6.6.2.2.2	Test applicability	365
6.6.2.2.3	Minimum conformance requirements	365
6.6.2.2.4	Test description	367
6.6.2.2.5	Test requirements	376
6.6.2.2A	Additional Spectrum Emission Mask for CA	380
6.6.2.2A.1	Additional Spectrum Emission Mask for CA (intra-band contiguous DL CA and UL CA)	380
6.6.2.2B	Additional Spectrum Emission Mask for UL-MIMO	383
6.6.2.2B.1	Test purpose	383
6.6.2.2B.2	Test applicability	383
6.6.2.2B.3	Minimum conformance requirements	384
6.6.2.2B.4	Test description	385
6.6.2.2B.5	Test requirements	391
6.6.2.3	Adjacent Channel Leakage power Ratio	395
6.6.2.3.1	Test purpose	395
6.6.2.3.2	Test applicability	395
6.6.2.3.3	Minimum conformance requirements	395
6.6.2.3.4	Test description	397
6.6.2.3.5	Test requirement	399
6.6.2.3_1	Adjacent Channel Leakage power Ratio for HPUE	400
6.6.2.3_1.1	Test purpose	400
6.6.2.3_1.2	Test applicability	400
6.6.2.3_1.3	Minimum conformance requirements	400
6.6.2.3_1.4	Test description	401
6.6.2.3_1.5	Test requirement	401
6.6.2.3A	Adjacent Channel Leakage power Ratio for CA	402
6.6.2.3A.1	Adjacent Channel Leakage power Ratio for CA (intra-band contiguous DL CA and UL CA)	402
6.6.2.3B	Adjacent Channel Leakage power Ratio for UL-MIMO	407
6.6.2.3B.1	Test purpose	407
6.6.2.3B.2	Test applicability	407
6.6.2.3B.3	Minimum conformance requirements	407
6.6.2.3B.4	Test description	409
6.6.2.3B.5	Test requirement	411
6.6.2.4	Void	412
6.6.3	Spurious emissions	412
6.6.3.1	Transmitter Spurious emissions	412
6.6.3.1.1	Test purpose	412
6.6.3.1.2	Test applicability	412
6.6.3.1.3	Minimum conformance requirements	413
6.6.3.1.4	Test description	413
6.6.3.1.5	Test requirement	414
6.6.3.1A	Transmitter Spurious emissions for CA	415
6.6.3.1A.1	Transmitter Spurious emissions for CA (intra-band contiguous DL CA and UL CA)	415
6.6.3.2	Spurious emission band UE co-existence	418
6.6.3.2.1	Test purpose	418
6.6.3.2.2	Test applicability	418
6.6.3.2.3	Minimum conformance requirements	418
6.6.3.2.4	Test description	438
6.6.3.2.5	Test requirement	441
6.6.3.2_1	Void	444

6.6.3.2A	Spurious emission band UE co-existence for CA	444
6.6.3.2A.1	Spurious emission band UE co-existence for CA (intra-band contiguous DL CA and UL CA)	444
6.6.3.3	Additional spurious emissions.....	448
6.6.3.3.1	Test purpose.....	448
6.6.3.3.2	Test applicability.....	448
6.6.3.3.3	Minimum conformance requirements	448
6.6.3.3.3.4	Minimum requirement (network signalled value "NS_09").....	449
6.6.3.3.4	Test description	452
6.6.3.3.5	Test requirement	467
6.6.3.3A	Additional spurious emissions for CA	472
6.6.3.3A.1	Additional spurious emissions for CA (intra-band contiguous DL CA and UL CA)	472
6.6.3.3A.1.4	Test description.....	474
6.6.3.3A.1.4.1	Initial conditions	474
6.6.3.3A.1.4.2	Test procedure.....	476
6.6.3.3A.1.4.3	Message contents.....	476
6.6.3.3A.1.5	Test requirement	477
6.6.3B	Spurious emission for UL-MIMO	478
6.6.3B.1	Transmitter Spurious emissions for UL-MIMO	478
6.6.3B.1.1	Test purpose.....	478
6.6.3B.1.2	Test applicability.....	478
6.6.3B.1.3	Minimum conformance requirements	479
6.6.3B.1.4	Test description	479
6.6.3B.1.5	Test requirement	480
6.6.3B.2	Spurious emission band UE co-existence for UL-MIMO	480
6.6.3B.2.1	Test purpose.....	480
6.6.3B.2.2	Test applicability.....	480
6.6.3B.2.3	Minimum conformance requirements	481
6.6.3B.2.4	Test description	481
6.6.3B.2.5	Test requirement	483
6.6.3B.3	Additional spurious emissions for UL-MIMO	485
6.6.3B.3.1	Test purpose.....	485
6.6.3B.3.2	Test applicability.....	486
6.6.3B.3.3	Minimum conformance requirements	486
6.6.3B.3.4	Test description	486
6.6.3B.3.5	Test requirement	500
6.7	Transmit intermodulation	505
6.7.1	Test purpose.....	505
6.7.2	Test applicability	505
6.7.3	Minimum conformance requirements.....	505
6.7.4	Test description	506
6.7.4.1	Initial conditions	506
6.7.4.3	Test procedure.....	507
6.7.4.3	Message contents	507
6.7.5	Test requirement	507
6.7A	Transmit intermodulation for CA	508
6.7A.1	Transmit intermodulation for CA (intra-band contiguous DL CA and UL CA)	508
6.7A.1.1	Test purpose.....	508
6.7A.1.2	Test applicability.....	508
6.7A.1.3	Minimum conformance requirements	508
6.7A.1.4	Test description	508
6.7A.1.4.1	Initial conditions	508
6.7A.1.4.2	Test procedure	509
6.7A.1.4.3	Message contents	510
6.7A.1.5	Test requirement	510
6.7B	Transmit intermodulation for UL-MIMO	510
6.7B.1	Test purpose.....	510
6.7B.2	Test applicability	510
6.7B.3	Minimum conformance requirements.....	511
6.7B.4	Test description	511
6.7B.4.1	Initial conditions	511
6.7B.4.2	Test procedure	512
6.7B.4.3	Message contents	512

6.8	Time alignment	512
6.8.1	Void	513
6.8A	Void	513
6.8B	Time alignment error for UL-MIMO	513
6.8B.1	Test purpose	513
6.8B.2	Test applicability	513
6.8B.3	Minimum conformance requirements	513
6.8B.4	Test description	513
6.8B.4.1	Initial condition	513
6.8B.4.2	Test procedure	514
6.8B.4.3	Message contents	514
6.8B.5	Test requirements	514
7	Receiver Characteristics	514
7.1	General	514
7.2	Diversity characteristics	515
7.3	Reference sensitivity level	515
7.3.1	Test purpose	515
7.3.2	Test applicability	515
7.3.3	Minimum conformance requirements	516
7.3.4	Test description	520
7.3.4.1	Initial conditions	520
7.3.4.2	Test procedure	521
7.3.4.3	Message contents	522
7.3.5	Test requirement	522
7.3A	Reference sensitivity level for CA	526
7.3A.1	Reference sensitivity level for CA (intra-band contiguous DL CA and UL CA)	526
7.3A.1.1	Test purpose	526
7.3A.1.2	Test applicability	526
7.3A.1.3	Minimum conformance requirements	526
7.3A.1.4	Test description	531
7.3A.1.4.1	Initial conditions	531
7.3A.1.4.2	Test procedure	532
7.3A.1.4.3	Message contents	533
7.3A.1.5	Test requirement	533
7.3A.2	Reference sensitivity level for CA (intra-band contiguous DL CA without UL CA)	534
7.3A.2.1	Test purpose	534
7.3A.2.2	Test applicability	534
7.3A.2.3	Minimum conformance requirements	534
7.3A.2.4	Test description	534
7.3A.2.4.1	Initial conditions	534
7.3A.2.4.2	Test procedure	535
7.3A.2.4.3	Message contents	535
7.3A.2.5	Test requirement	536
7.3A.3	Reference sensitivity level for CA (inter-band DL CA without UL CA)	536
7.3A.3.1	Test purpose	536
7.3A.3.2	Test applicability	536
7.3A.3.3	Minimum conformance requirements	536
7.3A.3.4	Test description	536
7.3A.3.4.1	Initial conditions	536
7.3A.3.4.2	Test procedure	540
7.3A.3.4.3	Message contents	540
7.3A.3.5	Test requirement	541
7.3A.4	Reference sensitivity level for CA (intra-band non-contiguous DL CA without UL CA)	542
7.3A.4.1	Test purpose	543
7.3A.4.2	Test applicability	543
7.3A.4.3	Minimum conformance requirements	543
7.3A.4.4	Test description	543
7.3A.4.4.1	Initial conditions	543
7.3A.4.4.2	Test procedure	543
7.3A.4.4.3	Message contents	543
7.3A.4.5	Test requirement	543

7.3B	Reference sensitivity level for UL-MIMO.....	543
7.3B.1	Test purpose.....	543
7.3B.2	Test applicability.....	543
7.3B.3	Minimum conformance requirements.....	543
7.3B.4	Test description.....	544
7.3B.4.1	Initial conditions.....	544
7.3B.4.2	Test procedure.....	545
7.3B.4.3	Message contents.....	545
7.3B.4.3.1	Message contents exceptions (network signalled value "NS_01").....	545
7.3B.4.3.2	Message contents exceptions (network signalled value "NS_03").....	545
7.3B.4.3.3	Message contents exceptions (network signalled value "NS_06").....	545
7.3B.4.3.4	Message contents exceptions (network signalled value "NS_[09]").....	545
7.3B.5	Test requirement.....	546
7.4	Maximum input level.....	550
7.4.1	Test purpose.....	550
7.4.2	Test applicability.....	550
7.4.3	Minimum conformance requirements.....	550
7.4.4	Test description.....	550
7.4.4.1	Initial conditions.....	550
7.4.4.2	Test procedure.....	552
7.4.4.3	Message contents.....	552
7.4.5	Test requirement.....	552
7.4A	Maximum input level for CA.....	553
7.4A.1	Maximum input level for CA (intra-band contiguous DL CA and UL CA).....	553
7.4A.1.1	Test purpose.....	553
7.4A.1.2	Test applicability.....	553
7.4A.1.3	Minimum conformance requirements.....	553
7.4A.1.4	Test description.....	553
7.4A.1.4.1	Initial conditions.....	553
7.4A.1.4.2	Test procedure.....	554
7.4A.1.4.3	Message contents.....	555
7.4A.1.5	Test requirement.....	555
7.4A.2	Maximum input level for CA (intra-band contiguous DL CA without UL CA).....	555
7.4A.2.1	Test purpose.....	555
7.4A.2.2	Test applicability.....	555
7.4A.2.3	Minimum conformance requirements.....	555
7.4A.2.4	Test description.....	556
7.4A.2.4.1	Initial conditions.....	556
7.4A.2.4.2	Test procedure.....	556
7.4A.2.4.3	Message contents.....	556
7.4A.2.5	Test requirement.....	557
7.4A.3	Maximum input level for CA (inter-band DL CA without UL CA).....	557
7.4A.3.1	Test purpose.....	557
7.4A.3.2	Test applicability.....	557
7.4A.3.3	Minimum conformance requirements.....	557
7.4A.3.4	Test description.....	557
7.4A.3.4.1	Initial conditions.....	557
7.4A.3.4.2	Test procedure.....	558
7.4A.3.4.3	Message contents.....	559
7.4A.3.5	Test requirement.....	559
7.4B	Maximum input level for UL-MIMO.....	559
7.4B.1	Test purpose.....	559
7.4B.2	Test applicability.....	559
7.4B.3	Minimum conformance requirements.....	560
7.4B.4	Test description.....	560
7.4B.4.1	Initial conditions.....	560
7.4B.4.2	Test procedure.....	561
7.4B.4.3	Message contents.....	561
7.4B.5	Test requirement.....	561
7.5	Adjacent Channel Selectivity (ACS).....	562
7.5.1	Test purpose.....	562
7.5.2	Test applicability.....	562

7.5.3	Minimum conformance requirements.....	562
7.5.4	Test description	563
7.5.4.1	Initial conditions	563
7.5.4.2	Test procedure.....	564
7.5.4.3	Message contents	565
7.5.5	Test requirement.....	565
7.5A	Adjacent Channel Selectivity (ACS) for CA	566
7.5A.1	Adjacent Channel Selectivity (ACS) for CA (intra-band contiguous DL CA and UL CA)	566
7.5A.1.1	Test purpose.....	566
7.5A.1.2	Test applicability.....	567
7.5A.1.3	Minimum conformance requirements	567
7.5A.1.4	Test description	568
7.5A.1.4.1	Initial conditions	568
7.5A.1.4.2	Test procedure.....	569
7.5A.1.4.3	Message contents	570
7.5A.1.5	Test Requirements	571
7.5A.2	Adjacent Channel Selectivity (ACS) for CA (intra-band contiguous DL CA without UL CA)	572
7.5A.2.1	Test purpose.....	572
7.5A.2.2	Test applicability.....	572
7.5A.2.3	Minimum conformance requirements	572
7.5A.2.4	Test description	573
7.5A.2.4.1	Initial conditions	573
7.5A.2.4.2	Test procedure.....	573
7.5A.2.4.3	Message contents	574
7.5A.2.5	Test Requirements	574
7.5A.3	Adjacent Channel Selectivity (ACS) for CA (inter-band DL CA without UL CA)	574
7.5A.3.1	Test purpose.....	574
7.5A.3.2	Test applicability.....	574
7.5A.3.3	Minimum conformance requirements	574
7.5A.3.4	Test description	575
7.5A.3.4.1	Initial conditions	575
7.5A.3.4.2	Test procedure.....	575
7.5A.3.4.3	Message contents	576
7.5A.3.5	Test Requirements	576
7.5B	Adjacent Channel Selectivity (ACS) for UL-MIMO	577
7.5B.1	Test purpose.....	577
7.5B.2	Test applicability.....	577
7.5B.3	Minimum conformance requirements.....	577
7.5B.4	Test description	578
7.5B.4.1	Initial conditions	578
7.5B.4.2	Test procedure.....	578
7.5B.4.3	Message contents	579
7.5B.5	Test requirement.....	579
7.6	Blocking characteristics	580
7.6.1	In-band blocking	580
7.6.1.1	Test Purpose.....	580
7.6.1.2	Test Applicability	580
7.6.1.3	Minimum Conformance Requirements.....	581
7.6.1.4	Test Description	581
7.6.1.4.1	Initial Conditions	581
7.6.1.4.2	Test Procedure.....	583
7.6.1.4.3	Message Contents	583
7.6.1.5	Test Requirement.....	584
7.6.1A	In-band blocking for CA	585
7.6.1A.1	In-band blocking for CA (intra-band contiguous DL CA and UL CA)	585
7.6.1A.1.1	Test Purpose.....	585
7.6.1A.1.2	Test Applicability	585
7.6.1A.1.3	Minimum Conformance Requirements.....	585
7.6.1A.1.4	Test Description	587
7.6.1A.1.5	Test Requirement.....	589
7.6.1A.2	In-band blocking for CA (intra-band contiguous DL CA without UL CA)	589
7.6.1A.2.1	Test Purpose.....	589

7.6.1A.2.2	Test Applicability	589
7.6.1A.2.3	Minimum Conformance Requirements	590
7.6.1A.2.4	Test Description	590
7.6.1A.2.4.1	Initial Conditions	590
7.6.1A.2.4.2	Test Procedure.....	590
7.6.1A.2.4.3	Message Contents	591
7.6.1A.2.5	Test Requirement	591
7.6.1A.3	In-band blocking for CA (inter-band DL CA without UL CA)	591
7.6.1A.3.1	Test Purpose.....	591
7.6.1A.3.2	Test Applicability	591
7.6.1A.3.3	Minimum Conformance Requirements	591
7.6.1A.3.4	Test Description	591
7.6.1A.3.4.1	Initial Conditions	591
7.6.1A.3.4.2	Test Procedure.....	592
7.6.1A.3.4.3	Message Contents	593
7.6.1A.3.5	Test Requirement	593
7.6.1B	In-band blocking for UL-MIMO	594
7.6.1B.1	Test Purpose.....	594
7.6.1B.2	Test Applicability	594
7.6.1B.3	Minimum Conformance Requirements	595
7.6.1B.4	Test Description	595
7.6.1B.4.1	Initial Conditions	595
7.6.1B.4.2	Test Procedure.....	596
7.6.1B.4.3	Message Contents	596
7.6.1B.5	Test Requirement	597
7.6.2	Out-of-band blocking	598
7.6.2.1	Test Purpose.....	598
7.6.2.2	Test Applicability	598
7.6.2.3	Minimum Conformance Requirements	598
7.6.2.4	Test Description	599
7.6.2.4.1	Initial Conditions	599
7.6.2.4.2	Test Procedure.....	600
7.6.2.4.3	Message Contents	601
7.6.2.5	Test Requirement	601
7.6.2A	Out-of-band blocking for CA	602
7.6.2A.1	Out-of-band blocking for CA (intra-band contiguous DL CA and UL CA)	602
7.6.2A.1.1	Test Purpose.....	602
7.6.2A.1.2	Test Applicability	602
7.6.2A.1.3	Minimum Conformance Requirements	602
7.6.2A.1.4	Test Description	604
7.6.2A.1.5	Test Requirement	605
7.6.2A.2	Out-of-band blocking for CA (intra-band contiguous DL CA without UL CA)	606
7.6.2A.2.1	Test Purpose.....	606
7.6.2A.2.2	Test Applicability	606
7.6.2A.2.3	Minimum Conformance Requirements	606
7.6.2A.2.4	Test Description	606
7.6.2A.2.4.1	Initial Conditions	606
7.6.2A.2.4.2	Test Procedure.....	607
7.6.2A.2.4.3	Message Contents	607
7.6.2A.2.5	Test Requirement	607
7.6.2A.3	Out-of-band blocking for CA (inter-band DL CA without UL CA)	608
7.6.2A.3.1	Test Purpose.....	608
7.6.2A.3.2	Test Applicability	608
7.6.2A.3.3	Minimum Conformance Requirements	608
7.6.2A.3.4	Test Description	608
7.6.2A.3.4.1	Initial Conditions	608
7.6.2A.3.4.2	Test Procedure.....	609
7.6.2A.3.4.3	Message Contents	610
7.6.2A.3.5	Test Requirement	610
7.6.2B	Out-of-band blocking for UL-MIMO	611
7.6.2B.1	Test Purpose.....	611
7.6.2B.2	Test Applicability	611

7.6.2B.3	Minimum Conformance Requirements	611
7.6.2B.4	Test Description	611
7.6.2B.4.1	Initial Conditions	611
7.6.2B.4.2	Test Procedure	612
7.6.2B.4.3	Message Contents	613
7.6.2B.5	Test Requirement	613
7.6.3	Narrow band blocking	614
7.6.3.1	Test Purpose	614
7.6.3.2	Test Applicability	614
7.6.3.3	Minimum Conformance Requirements	614
7.6.3.4	Test Description	615
7.6.3.4.1	Initial Conditions	615
7.6.3.4.2	Test Procedure	616
7.6.3.4.3	Message Contents	616
7.6.3.5	Test Requirement	616
7.6.3A	Narrow band blocking for CA	617
7.6.3A.1	Narrow band blocking for CA (intra-band contiguous DL CA and UL CA)	617
7.6.3A.1.1	Test Purpose	617
7.6.3A.1.2	Test Applicability	617
7.6.3A.1.3	Minimum Conformance Requirements	617
7.6.3A.1.4	Test Description	618
7.6.3A.1.5	Test Requirement	619
7.6.3A.2	Narrow band blocking for CA (intra-band contiguous DL CA without UL CA)	620
7.6.3A.2.1	Test Purpose	620
7.6.3A.2.2	Test Applicability	620
7.6.3A.2.3	Minimum Conformance Requirements	620
7.6.3A.2.4	Test Description	620
7.6.3A.2.4.1	Initial Conditions	620
7.6.3A.2.4.2	Test Procedure	621
7.6.3A.2.4.3	Message Contents	621
7.6.3A.2.5	Test Requirement	621
7.6.3A.3	Narrow band blocking for CA (inter-band DL CA without UL CA)	622
7.6.3A.3.1	Test Purpose	622
7.6.3A.3.2	Test Applicability	622
7.6.3A.3.3	Minimum Conformance Requirements	622
7.6.3A.3.4	Test Description	622
7.6.3A.3.4.1	Initial Conditions	622
7.6.3A.3.4.2	Test Procedure	623
7.6.3A.3.4.3	Message Contents	624
7.6.3A.3.5	Test Requirement	624
7.6.3B	Narrow band blocking for UL-MIMO	624
7.6.3B.1	Test Purpose	624
7.6.3B.2	Test Applicability	625
7.6.3B.3	Minimum Conformance Requirements	625
7.6.3B.4	Test Description	625
7.6.3B.4.1	Initial Conditions	625
7.6.3B.4.2	Test Procedure	626
7.6.3B.4.3	Message Contents	626
7.6.3B.5	Test Requirement	626
7.7	Spurious response	627
7.7.1	Test Purpose	627
7.7.2	Test Applicability	627
7.7.3	Minimum Conformance Requirements	627
7.7.4	Test Description	628
7.7.4.1	Initial Conditions	628
7.7.4.2	Test Procedure	628
7.7.4.3	Message Contents	628
7.7.5	Test Requirement	628
7.7A	Spurious response for CA	629
7.7A.1	Spurious response for CA (intra-band contiguous DL CA and UL CA)	629
7.7A.1.1	Test Purpose	629
7.7A.1.2	Test Applicability	629

7.7A.1.3	Minimum Conformance Requirements	629
7.7A.1.4	Test Description	630
7.7A.1.4.1	Initial Conditions	630
7.7A.1.4.2	Test Procedure	630
7.7A.1.4.3	Message Contents	630
7.7A.1.5	Test Requirement	631
7.7A.2	Spurious response for CA (intra-band contiguous DL CA without UL CA)	631
7.7A.2.1	Test Purpose	631
7.7A.2.2	Test Applicability	631
7.7A.2.3	Minimum Conformance Requirements	631
7.7A.2.4	Test Description	631
7.7A.2.4.1	Initial Conditions	631
7.7A.2.4.2	Test Procedure	631
7.7A.2.4.3	Message Contents	632
7.7A.2.5	Test Requirement	632
7.7A.3	Spurious response for CA (inter-band DL CA without UL CA)	632
7.7A.3.1	Test Purpose	632
7.7A.3.2	Test Applicability	632
7.7A.3.3	Minimum Conformance Requirements	632
7.7A.3.4	Test Description	632
7.7A.3.4.1	Initial Conditions	632
7.7A.3.4.2	Test Procedure	632
7.7A.3.4.3	Message Contents	633
7.7A.3.5	Test Requirement	633
7.7B	Spurious response for UL-MIMO	633
7.7B.1	Test Purpose	633
7.7B.2	Test Applicability	633
7.7B.3	Minimum Conformance Requirements	633
7.7B.4	Test Description	634
7.7B.4.1	Initial Conditions	634
7.7B.4.2	Test Procedure	634
7.7B.4.3	Message Contents	634
7.7B.5	Test Requirement	634
7.8	Intermodulation characteristics	635
7.8.1	Wide band Intermodulation	635
7.8.1.1	Test purpose	635
7.8.1.2	Test applicability	635
7.8.1.3	Minimum conformance requirements	635
7.8.1.4	Test description	636
7.8.1.4.1	Initial condition	636
7.8.1.4.2	Test procedure	637
7.8.1.4.3	Message contents	638
7.8.1.5	Test requirements	638
7.8.1A	Wide band Intermodulation for CA	639
7.8.1A.1	Wideband intermodulation for CA (intra-band contiguous DL CA and UL CA)	639
7.8.1A.1.1	Test purpose	639
7.8.1A.1.2	Test applicability	639
7.8.1A.1.3	Minimum conformance requirements	639
7.8.1A.1.4	Test description	640
7.8.1A.1.4.1	Initial condition	640
7.8.1A.1.4.2	Test procedure	641
7.8.1A.1.4.3	Message contents	641
7.8.1A.1.5	Test requirements	641
7.8.1A.2	Wideband intermodulation for CA (intra-band contiguous DL CA without UL CA)	642
7.8.1A.2.1	Test purpose	642
7.8.1A.2.2	Test applicability	642
7.8.1A.2.3	Minimum conformance requirements	642
7.8.1A.2.4	Test description	642
7.8.1A.2.4.1	Initial condition	642
7.8.1A.2.4.2	Test procedure	643
7.8.1A.2.4.3	Message contents	644
7.8.1A.2.5	Test requirements	644

7.8.1A.3	Wideband intermodulation for CA (inter-band DL CA without UL CA).....	644
7.8.1A.3.1	Test purpose.....	644
7.8.1A.3.2	Test applicability.....	644
7.8.1A.3.3	Minimum conformance requirements	644
7.8.1A.3.4	Test description	644
7.8.1A.3.4.1	Initial condition	644
7.8.1A.3.4.2	Test procedure.....	646
7.8.1A.3.4.3	Message contents	646
7.8.1A.3.5	Test requirements	646
7.8.1B	Wide band Intermodulation for UL-MIMO	647
7.8.1B.1	Test purpose.....	647
7.8.1B.2	Test applicability.....	647
7.8.1B.3	Minimum conformance requirements	647
7.8.1B.4	Test description	647
7.8.1B.4.1	Initial condition	647
7.8.1B.4.2	Test procedure.....	648
7.8.1B.4.3	Message contents	649
7.8.1B.5	Test requirements.....	649
7.8.2	Void	650
7.9	Spurious emissions	650
7.9.1	Test Purpose.....	650
7.9.2	Test Applicability	650
7.9.3	Minimum Conformance Requirements	650
7.9.4	Test Description	650
7.9.4.1	Initial Conditions	650
7.9.4.2	Test Procedure.....	651
7.9.4.3	Message Contents	651
7.9.5	Test Requirement	651
7.10	Void	652
7.10A	Receiver image for CA	652
8	Performance Requirement.....	653
8.1	General	653
8.1.1	Dual-antenna receiver capability	653
8.1.1.1	Simultaneous unicast and MBMS operations	654
8.1.1.2	Dual-antenna receiver capability in idle mode	654
8.2	Demodulation of PDSCH (Cell-Specific Reference Symbols)	654
8.2.1	FDD (Fixed Reference Channel)	654
8.2.1.1	FDD PDSCH Single Antenna Port Performance (Cell-Specific Reference Symbols)	655
8.2.1.1.1	FDD PDSCH Single Antenna Port Performance	655
8.2.1.1.1_1	FDD PDSCH Single Antenna Port Performance (Release 9 and forward)	659
8.2.1.1.1_A	FDD PDSCH Single Antenna Port Performance for CA	661
8.2.1.1.1_A.1	FDD PDSCH Single Antenna Port Performance for CA (intra-band contiguous DL CA)	661
8.2.1.1.1_A.2	FDD PDSCH Single Antenna Port Performance for CA (inter-band DL CA)	664
8.2.1.1.1_A.2.1	Test purpose.....	664
8.2.1.1.1_A.2.2	Test applicability	664
8.2.1.1.1_A.2.3	Minimum conformance requirements.....	664
8.2.1.1.1_A.2.4	Test description	664
8.2.1.1.1_A.2.4.1	Initial conditions	664
8.2.1.1.1_A.2.4.2	Test procedure	664
8.2.1.1.1_A.2.4.3	Message contents.....	664
8.2.1.1.1_A.2.5	Test requirement	664
8.2.1.1.2	FDD PDSCH Single Antenna Port Performance with 1 PRB in presence of MBSFN	665
8.2.1.2	FDD PDSCH Transmit Diversity Performance (Cell-Specific Reference Symbols)	667
8.2.1.2.1_1	FDD PDSCH Transmit Diversity 2x2 (Release 9 and forward).....	669
8.2.1.2.2	FDD PDSCH Transmit Diversity 4x2.....	670
8.2.1.2.2_1	FDD PDSCH Transmit Diversity 4x2 (Release 9 and forward).....	672
8.2.1.2.3_C	FDD PDSCH Transmit diversity 2x2 for eICIC	673
8.2.1.2.3_C.1	FDD PDSCH Transmit diversity 2x2 for eICIC (non-MBFSN ABS)	673
8.2.1.3	FDD PDSCH Open Loop Spatial Multiplexing Performance (Cell-Specific Reference Symbols)	677
8.2.1.3.1	FDD PDSCH Open Loop Spatial Multiplexing 2x2.....	677
8.2.1.3.1_A	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA	679

8.2.1.3.1_A.1	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA (intra-band contiguous DL CA).....	679
8.2.1.3.1_A.2	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA (inter-band DL CA).....	683
8.2.1.3.1_A.2.1	Test purpose.....	683
8.2.1.3.1_A.2.2	Test applicability.....	683
8.2.1.3.1_A.2.3	Minimum conformance requirements.....	684
8.2.1.3.1_A.2.4	Test description.....	684
8.2.1.3.1_A.2.4.1	Initial conditions.....	684
8.2.1.3.1_A.2.4.2	Test procedure.....	684
8.2.1.3.1_A.2.4.3	Message contents.....	684
8.2.1.3.1_A.2.5	Test requirement.....	685
8.2.1.3.1_A.2_1	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA (inter-band DL CA) (Release 11 and forward).....	685
8.2.1.3.1_A.2_1.1	Test purpose.....	685
8.2.1.3.1_A.2_1.2	Test applicability.....	685
8.2.1.3.1_A.2_1.3	Minimum conformance requirements.....	686
8.2.1.3.1_A.2_1.4	Test description.....	686
8.2.1.3.1_A.2_1.4.1	Initial conditions.....	686
8.2.1.3.1_A.2_1.4.2	Test procedure.....	686
8.2.1.3.1_A.2_1.4.3	Message contents.....	686
8.2.1.3.1_A.2_1.5	Test requirement.....	687
8.2.1.3.2	FDD PDSCH Open Loop Spatial Multiplexing 4x2.....	688
8.2.1.3.3_C	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC.....	691
8.2.1.3.3_C.1	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC (non-MBSFN ABS).....	691
8.2.1.3.3_C.2	FDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC (MBSFN ABS).....	695
8.2.1.4	FDD PDSCH Closed Loop Spatial Multiplexing Performance (Cell-Specific Reference Symbols) ..	699
8.2.1.4.1	FDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 2x2.....	699
8.2.1.4.1_1	FDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 2x2 (Release 9 and forward).....	703
8.2.1.4.2	FDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 4x2.....	705
8.2.1.4.2_1	FDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 4x2 (Release 9 and forward).....	709
8.2.1.4.2_A	FDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 for CA.....	710
8.2.1.4.2_A.1	FDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 for CA (intra-band contiguous DL CA).....	710
8.2.1.4.2_A.2	FDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 for CA (inter-band DL CA) ..	714
8.2.2	TDD (Fixed Reference Channel).....	718
8.2.2.1	TDD PDSCH Single Antenna Port Performance (Cell-Specific Reference Symbols).....	720
8.2.2.1.1	TDD PDSCH Single Antenna Port Performance.....	720
8.2.2.1.1_1	TDD PDSCH Single Antenna Port Performance (Release 9 and forward).....	723
8.2.2.1.1_A	TDD PDSCH Single Antenna Port Performance for CA.....	725
8.2.2.1.1_A.1	TDD PDSCH Single Antenna Port Performance for CA (intra-band contiguous DL CA).....	725
8.2.2.1.2	TDD PDSCH Single Antenna Port Performance with 1 PRB in the presence of MBSFN.....	728
8.2.2.2	TDD PDSCH Transmit Diversity Performance (Cell-Specific Reference Symbols).....	730
8.2.2.2.1	TDD PDSCH Transmit Diversity 2x2.....	730
8.2.2.2.1_1	TDD PDSCH Transmit Diversity 2x2 (Release 9 and forward).....	732
8.2.2.2.2	TDD PDSCH Transmit Diversity 4x2.....	733
8.2.2.2.2_1	TDD PDSCH Transmit Diversity 4x2 (Release 9 and forward).....	735
8.2.2.2.3_C	TDD PDSCH Transmit diversity 2x2 for eICIC.....	736
8.2.2.2.3_C.1	TDD PDSCH Transmit diversity 2x2 for eICIC (non-MBFSN ABS).....	736
8.2.2.3	TDD PDSCH Open Loop Spatial Multiplexing Performance (Cell-Specific Reference Symbols).....	740
8.2.2.3.1	TDD PDSCH Open Loop Spatial Multiplexing 2x2.....	740
8.2.2.3.1_A	TDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA.....	742
8.2.2.3.1_A.1	TDD PDSCH Open Loop Spatial Multiplexing 2x2 for CA (intra-band contiguous DL CA).....	742
8.2.2.3.2	TDD PDSCH Open Loop Spatial Multiplexing 4x2.....	745
8.2.2.3.3_C	TDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC.....	747
8.2.2.3.3_C.1	TDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC (non-MBSFN ABS).....	747
8.2.2.3.3_C.2	TDD PDSCH Open Loop Spatial Multiplexing 2x2 for eICIC (MBSFN ABS).....	752
8.2.2.4	TDD PDSCH Closed Loop Spatial Multiplexing Performance (Cell-Specific Reference Symbols).....	757
8.2.2.4.1	TDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 2x2.....	757

8.2.2.4.1_1	TDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 2x2 (Release 9 and forward)	761
8.2.2.4.1_1.1	Test purpose.....	761
8.2.2.4.1_1.2	Test applicability.....	761
8.2.2.4.1_1.3	Minimum conformance requirements	762
8.2.2.4.1_1.4	Test description	762
8.2.2.4.2	TDD PDSCH Closed Loop Single/Multi Layer Spatial Multiplexing 4x2	763
8.2.2.4.2_1	TDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 (Release 9 and forward)	767
8.2.2.4.2_A	TDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 for CA	769
8.2.2.4.2_A.1	TDD PDSCH Closed Loop Multi Layer Spatial Multiplexing 4x2 for CA (intra-band contiguous DL CA)	769
8.3	Demodulation of PDSCH (User-Specific Reference Symbols)	774
8.3.1	FDD	774
8.3.1.1	FDD PDSCH Single-layer Spatial Multiplexing Performance (UE-Specific Reference Symbols)	774
8.3.1.1.1_D	FDD PDSCH Single-layer Spatial Multiplexing on antenna ports 7 or 8 without a simultaneous transmission for eDL-MIMO	774
8.3.1.1.1_D.1	Test purpose	774
8.3.1.1.1_D.2	Test applicability	774
8.3.1.1.1_D.3	Minimum conformance requirements	774
8.3.1.1.1_D.4	Test description.....	776
8.3.1.1.2_D	FDD PDSCH Single-layer Spatial Multiplexing on antenna ports 7 or 8 with a simultaneous transmission for eDL-MIMO.....	778
8.3.1.1.2_D.1	Test purpose	778
8.3.1.1.2_D.2	Test applicability	778
8.3.1.1.2_D.3	Minimum conformance requirements	778
8.3.1.1.2_D.4	Test description.....	780
8.3.1.1.3	FDD PDSCH Single-layer Spatial Multiplexing on antenna ports 7 or 8 with TM9 Interference Model - Enhanced Performance Requirement Type A	782
8.3.1.1.3.1	Test purpose	782
8.3.1.1.3.2	Test applicability	782
8.3.1.1.3.3	Minimum conformance requirements	782
8.3.1.1.3.4	Test description.....	784
8.3.1.2	FDD PDSCH Dual-layer Spatial Multiplexing Performance (UE-Specific Reference Symbols).....	787
8.3.1.2.1_D	FDD PDSCH Dual-layer Spatial Multiplexing for eDL-MIMO	787
8.3.1.2.1_D.1	Test purpose	787
8.3.1.2.1_D.2	Test applicability	787
8.3.1.2.1_D.3	Minimum conformance requirements	787
8.3.1.2.1_D.4	Test description.....	788
8.3.1.2.1_D.4.2	Test procedure	789
8.3.1.2.1_D.4.3	Message contents.....	789
8.3.1.2.1_D.5	Test requirement	790
8.3.2	TDD	791
8.3.2.1	TDD PDSCH Single-layer Spatial Multiplexing Performance (UE-Specific Reference Symbols)	792
8.3.2.1.1	TDD PDSCH Single-layer Spatial Multiplexing on antenna port 5 (Release 8 and forward)	792
8.3.2.1.1_1	TDD PDSCH Single-layer Spatial Multiplexing on antenna port 5 (Release 9 and forward)	794
8.3.2.1.2	TDD PDSCH Single-layer Spatial Multiplexing on antenna port 7 or 8 without a simultaneous transmission.....	796
8.3.2.1.2_D	TDD PDSCH Single-layer Spatial Multiplexing on antenna ports 7 or 8 without a simultaneous transmission for eDL-MIMO	799
8.3.2.1.3	TDD PDSCH Single-layer Spatial Multiplexing on antenna port 7 or 8 with a simultaneous transmission	803
8.3.2.1.4	TDD PDSCH Single-layer Spatial Multiplexing on antenna ports 7 or 8 with TM9 Interference Model - Enhanced Performance Requirement Type A	809
8.3.2.1.4.1	Test purpose	809
8.3.2.1.4.2	Test applicability	809
8.3.2.1.4.3	Minimum conformance requirements	809
8.3.2.1.4.4	Test description.....	811
8.3.2.1.4.5	Test requirement	814
8.3.2.2	TDD PDSCH Dual-layer Spatial Multiplexing Performance (UE-Specific Reference Symbols)	814
8.3.2.2.1	TDD PDSCH Dual-layer Spatial Multiplexing	814
8.3.2.2.1_D	TDD PDSCH Dual-layer Spatial Multiplexing for eDL-MIMO.....	817
8.3.2.2.1_D.1	Test purpose	817

8.3.2.2.1_D.2	Test applicability	817
8.3.2.2.1_D.3	Minimum conformance requirements	817
8.3.2.2.1_D.4	Test description	818
8.3.2.2.1_D.4.2	Test procedure	819
8.3.2.2.1_D.4.3	Message contents	819
8.3.2.2.1_D.5	Test requirement	820
8.4	Demodulation of PCFICH/PDCCH	821
8.4.1	FDD	821
8.4.1.1	FDD PCFICH/PDCCH Single-antenna Port Performance	821
8.4.1.1.1	Test purpose	821
8.4.1.1.2	Test applicability	821
8.4.1.1.3	Minimum conformance requirements	821
8.4.1.1.4	Test description	822
8.4.1.1.5	Test requirement	823
8.4.1.2	FDD PCFICH/PDCCH Transmit Diversity Performance	823
8.4.1.2.1	FDD PCFICH/PDCCH Transmit Diversity 2x2	823
8.4.1.2.1_1	FDD PCFICH/PDCCH Transmit Diversity 2x2 (Release 9 and forward)	825
8.4.1.2.2	FDD PCFICH/PDCCH Transmit Diversity 4x2	828
8.4.1.2.2_1	FDD PCFICH/PDCCH Transmit Diversity 4x2 (Release 9 and forward)	830
8.4.1.2.3_C	FDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC	833
8.4.1.2.3_C.1	FDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC (non-MBSFN ABS)	833
8.4.1.2.3_C.2	FDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC (MBSFN ABS)	837
8.4.2	TDD	842
8.4.2.1	TDD PCFICH/PDCCH Single-antenna Port Performance	842
8.4.2.1.1	Test purpose	842
8.4.2.1.2	Test applicability	842
8.4.2.1.3	Minimum conformance requirements	842
8.4.2.1.4	Test description	843
8.4.2.1.5	Test requirement	844
8.4.2.2	TDD PCFICH/PDCCH Transmit Diversity Performance	845
8.4.2.2.1	TDD PCFICH/PDCCH Transmit Diversity 2x2	845
8.4.2.2.1_1	TDD PCFICH/PDCCH Transmit Diversity 2x2 (Release 9 and forward)	847
8.4.2.2.1_1.2	Test applicability	847
8.4.2.2.2	TDD PCFICH/PDCCH Transmit Diversity 4x2	850
8.4.2.2.2_1	TDD PCFICH/PDCCH Transmit Diversity 4x2 (Release 9 and forward)	853
8.4.2.2.3_C	TDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC	855
8.4.2.2.3_C.1	TDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC (non-MBSFN ABS)	855
8.4.2.2.3_C.2	TDD PCFICH/PDCCH Transmit Diversity 2x2 for eICIC (MBSFN ABS)	860
8.5	Demodulation of PHICH	865
8.5.1	FDD	865
8.5.1.1	FDD PHICH Single-antenna Port Performance	865
8.5.1.1.1	Test purpose	865
8.5.1.1.2	Test applicability	865
8.5.1.1.3	Minimum conformance requirements	865
8.5.1.1.4	Test description	866
8.5.1.1.5	Test requirement	868
8.5.1.2	FDD PHICH Transmit Diversity Performance	868
8.5.1.2.1	FDD PHICH Transmit Diversity 2x2	868
8.5.1.2.1_1	FDD PHICH Transmit Diversity 2x2 (Release 9 and forward)	871
8.5.1.2.2	FDD PHICH Transmit Diversity 4x2	873
8.5.1.2.2_1	FDD PHICH Transmit Diversity 4x2 (Release 9 and forward)	876
8.5.1.2.3_C	FDD PHICH Transmit Diversity 2x2 for eICIC	878
8.5.1.2.3_C.1	FDD PHICH Transmit Diversity 2x2 for eICIC (non-MBSFN ABS)	878
8.5.2	TDD	882
8.5.2.1	TDD PHICH Single-antenna Port Performance	882
8.5.2.1.1	Test purpose	882
8.5.2.1.2	Test applicability	882
8.5.2.1.3	Minimum conformance requirements	882
8.5.2.1.4	Test description	883
8.5.2.1.5	Test requirement	885
8.5.2.2	TDD PHICH Transmit Diversity Performance	885
8.5.2.2.1	TDD PHICH Transmit Diversity 2x2	885

8.5.2.2.1_1	TDD PHICH Transmit Diversity 2x2 (Release 9 and forward)	888
8.5.2.2.2	TDD PHICH Transmit Diversity 4x2	891
8.5.2.2.2_1	TDD PHICH Transmit Diversity 4x2 (Release 9 and forward)	893
8.5.2.2.3_C	TDD PHICH Transmit Diversity 2x2 for eICIC	896
8.5.2.2.3_C.1	TDD PHICH Transmit Diversity 2x2 for eICIC (non-MBSFN A BS)	896
8.6	Demodulation of PBCH	900
8.7	Sustained downlink data rate provided by lower layers	900
8.7.1	FDD	900
8.7.1.1	FDD sustained data rate performance	900
8.7.1.1.1	Test purpose.....	900
8.7.1.1.2	Test applicability.....	901
8.7.1.1.3	Minimum requirements.....	901
8.7.1.1.4	Test description	903
8.7.1.1.5	Test requirement	905
8.7.1.1_A	FDD sustained data rate performance for CA	906
8.7.1.1_A.1	FDD Sustained data rate performance for CA (intra-band contiguous DL CA).....	906
8.7.1.1_A.1.1	Test purpose.....	906
8.7.1.1_A.1.2	Test applicability.....	906
8.7.1.1_A.1.3	Minimum requirements.....	906
8.7.1.1_A.1.4	Test description	908
8.7.1.1_A.1.5	Test requirement	910
8.7.1.1_A.2	FDD Sustained data rate performance for CA (inter-band DL CA)	910
8.7.1.1_A.2.1	Test purpose.....	910
8.7.1.1_A.2.2	Test applicability.....	910
8.7.1.1_A.2.3	Minimum requirements.....	910
8.7.1.1_A.2.4	Test description	912
8.7.1.1_A.2.5	Test requirement	914
8.7.1.1_A.2_1	FDD Sustained data rate performance for CA (inter-band DL CA) (Release 11 and forward)	915
8.7.1.1_A.2_1.1	Test purpose.....	915
8.7.1.1_A.2_1.2	Test applicability.....	915
8.7.1.1_A.2_1.3	Minimum requirements.....	915
8.7.1.1_A.2_1.4	Test description	916
8.7.1.1_A.2_1.5	Test requirement	918
8.7.2	TDD	919
8.7.2.1	TDD sustained data rate performance	919
8.7.2.1.1	Test purpose.....	919
8.7.2.1.2	Test applicability.....	920
8.7.2.1.3	Minimum requirements.....	920
8.7.2.1.4	Test description	922
8.7.2.1.5	Test requirement	924
8.7.2.1_1	TDD sustained data rate performance (Rel-10 and forward)	925
8.7.2.1_1.1	Test purpose.....	925
8.7.2.1_1.2	Test applicability.....	925
8.7.2.1_1.3	Minimum requirements.....	925
8.7.2.1_1.4	Test description	926
8.7.2.1_1.5	Test requirement	927
8.7.2.1_A	TDD sustained data rate performance for CA	927
8.7.2.1_A.1	TDD sustained data rate performance for CA (intra-band contiguous DL CA).....	927
8.7.2.1_A.1.1	Test purpose.....	928
8.7.2.1_A.1.2	Test applicability.....	928
8.7.2.1_A.1.3	Minimum requirements.....	928
8.7.2.1_A.1.4	Test description	930
8.7.2.1_A.1.5	Test requirement	931
9	Reporting of Channel State Information	932
9.1	General	932
9.2	CQI Reporting under AWGN conditions	932
9.2.1	CQI Reporting under AWGN conditions - PUCCH 1-0 (Cell-Specific Reference Symbols)	932
9.2.1.1	FDD CQI Reporting under AWGN conditions – PUCCH 1-0.....	932
9.2.1.1.1	Test purpose.....	932
9.2.1.1.2	Test applicability.....	932
9.2.1.1.3	Minimum conformance requirements	932

9.2.1.1.4	Test description	933
9.2.1.1.5	Test requirement	935
9.2.1.2	TDD CQI Reporting under AWGN conditions – PUCCH 1-0.....	935
9.2.1.2.1	Test purpose.....	935
9.2.1.2.2	Test applicability.....	935
9.2.1.2.3	Minimum conformance requirements	935
9.2.1.2.4	Test description	936
9.2.1.2.5	Test requirement	938
9.2.1.3	938	
9.2.1.3_C	FDD CQI Reporting under AWGN conditions – PUCCH 1-0 for eICIC.....	938
9.2.1.3_C.1	FDD CQI Reporting under AWGN conditions – PUCCH 1-0 for eICIC (non-MBSFN ABS).....	938
9.2.1.3_C.1.1	Test purpose.....	939
9.2.1.3_C.1.2	Test applicability.....	939
9.2.1.3_C.1.3	Minimum conformance requirements	939
9.2.1.3_C.1.4	Test description	941
9.2.1.3_C.1.5	Test requirement	943
9.2.1.4	943	
9.2.1.4_C	TDD CQI Reporting under AWGN conditions – PUCCH 1-0 for eICIC	943
9.2.1.4_C.1	TDD CQI Reporting under AWGN conditions – PUCCH 1-0 for eICIC (non-MBSFN ABS)	943
9.2.2	CQI Reporting under AWGN conditions - PUCCH 1-1 (Cell-Specific Reference Symbols).....	948
9.2.2.1	FDD CQI Reporting under AWGN conditions – PUCCH 1-1	948
9.2.2.1.1	Test purpose.....	948
9.2.2.1.2	Test applicability.....	949
9.2.2.1.3	Minimum conformance requirements	949
9.2.2.1.4	Test description	950
9.2.2.1.5	Test requirement	952
9.2.2.1_D.5	Test requirement	952
9.2.2.2	TDD CQI Reporting under AWGN conditions – PUCCH 1-1.....	952
9.2.2.2.1	Test purpose.....	952
9.2.2.2.2	Test applicability.....	952
9.2.2.2.3	Minimum conformance requirements	952
9.2.2.2.4	Test description	953
9.2.2.2.5	Test requirement	956
9.2.3	CQI Reporting under AWGN conditions - PUCCH 1-1 (CSI Reference Symbols)9.2.3.1_D FDD CQI Reporting under AWGN conditions – PUCCH 1-1 for eDL-MIMO.....	956
9.2.3.1_D.1	Test purpose.....	956
9.2.3.1_D.2	Test applicability.....	956
9.2.3.1_D.3	Minimum conformance requirements	956
9.2.3.1_D.4	Test description	957
9.2.3.1_D.5	Test requirement	961
9.2.3.2_D	TDD CQI Reporting under AWGN conditions – PUCCH 1-1 for eDL-MIMO	961
9.2.3.2_D.1	Test purpose.....	961
9.2.3.2_D.2	Test applicability.....	961
9.2.3.2_D.3	Minimum conformance requirements	961
9.2.3.2_D.4	Test description	963
9.2.3.2_D.5	Test requirement	966
9.3	CQI Reporting under fading conditions	967
9.3.1	Frequency-selective scheduling mode.....	967
9.3.1.1	CQI Reporting under fading conditions – PUSCH 3-0 (Cell-Specific Reference Symbols).....	967
9.3.1.1.1	FDD CQI Reporting under fading conditions – PUSCH 3-0.....	967
9.3.1.1.2	TDD CQI Reporting under fading conditions – PUSCH 3-0.....	970
9.3.1.2	CQI Reporting under fading conditions – PUSCH 3-1 (CSI Reference Symbols).....	974
9.3.1.2.1_D	FDD CQI Reporting under fading conditions – PUSCH 3-1 for eDL MIMO	974
9.3.1.2.2_D	TDD CQI Reporting under fading conditions – PUSCH 3-1 for eDL MIMO.....	978
9.3.2	Frequency non-selective scheduling mode.....	983
9.3.2.1	CQI Reporting under fading conditions – PUCCH 1-0 (Cell-Specific Reference Symbols)	984
9.3.2.1.1	FDD CQI Reporting under fading conditions – PUCCH 1-0	984
9.3.2.1.1_1	FDD CQI Reporting under fading conditions - PUCCH 1-0 (Release 9 and forward)	987
9.3.2.1.2	TDD CQI Reporting under fading conditions – PUCCH 1-0	988
9.3.2.2	CQI Reporting under fading conditions – PUCCH 1-1 (CSI Reference Symbols).....	993
9.3.2.2.1_D	FDD CQI Reporting under fading conditions – PUCCH 1-1 for eDL-MIMO	993
9.3.2.2.1_D.1	Test purpose.....	993

9.3.2.2.1_D.2	Test applicability	993
9.3.2.2.1_D.3	Minimum conformance requirements	993
9.3.2.2.1_D.4	Test description	994
9.3.2.2.1_D.4.2	Test procedure	995
9.3.2.2.1_D.4.3	Message contents.....	996
9.3.2.2.1_D.5	Test requirement	997
9.3.2.2.2_D	TDD CQI Reporting under fading conditions – PUCCH 1-1 for eDL-MIMO.....	998
9.3.2.2.2_D.1	Test purpose	998
9.3.2.2.2_D.2	Test applicability	998
9.3.2.2.2_D.3	Minimum conformance requirements	998
9.3.2.2.2_D.4	Test description.....	1000
9.3.2.2.2_D.4.2	Test procedure	1000
9.3.2.2.2_D.4.3	Message contents.....	1001
9.3.2.2.2_D.5	Test requirement	1003
9.3.3	Frequency-selective interference	1003
9.3.3.1	CQI Reporting under fading conditions and frequency-selective interference – PUSCH 3-0 (Cell-Specific Reference Symbols).....	1004
9.3.3.1.1	FDD CQI Reporting under fading conditions and frequency-selective interference – PUSCH 3-0.....	1004
9.3.3.1.2	TDD CQI Reporting under fading conditions and frequency-selective interference – PUSCH 3-0	1007
9.3.4	UE-selected subband CQI.....	1010
9.3.4.1	CQI Reporting under fading conditions – PUSCH 2-0 (Cell-Specific Reference Symbols).....	1011
9.3.4.1.1	FDD CQI Reporting under fading conditions – PUSCH 2-0.....	1011
9.3.4.1.2	TDD CQI Reporting under fading conditions – PUSCH 2-0.....	1013
9.3.4.2	CQI Reporting under fading conditions – PUCCH 2-0 (Cell-Specific Reference Symbols)	1016
9.3.4.2.1	FDD CQI Reporting under fading conditions – PUCCH 2-0	1016
9.3.4.2.2	TDD CQI Reporting under fading conditions – PUCCH 2-0	1020
9.3.5	Additional requirements for enhanced receiver Type A	1025
9.3.5.1	PUCCH 1-0 (Cell-Specific Reference Symbol)	1025
9.3.5.1.1	FDD CQI Reporting under fading conditions - PUCCH 1-0 - Enhanced Performance Requirement Type A	1025
9.4	Reporting of Precoding Matrix Indicator (PMI)	1028
9.4.1	Single PMI.....	1029
9.4.1.1	PMI Reporting – PUSCH 3-1 (Single PMI) (Cell-Specific Reference Symbols)	1029
9.4.1.1.1	FDD PMI Reporting – PUSCH 3-1 (Single PMI).....	1029
9.4.1.1.2	TDD PMI Reporting – PUSCH 3-1 (Single PMI)	1032
9.4.1.2	PMI Reporting – PUCCH 2-1 (Single PMI) (Cell-Specific Reference Symbols).....	1035
9.4.1.2.1	FDD PMI Reporting – PUCCH 2-1 (Single PMI)	1035
9.4.1.2.2	TDD PMI Reporting – PUCCH 2-1 (Single PMI)	1039
9.4.1.3	PMI Reporting – PUSCH 3-1 (Single PMI) (CSI Reference Symbols).....	1043
9.4.1.3.1	1043	
9.4.1.3.1_D	FDD Reporting of PMI – PUSCH 3-1 (Single PMI) for eDL MIMO.....	1043
9.4.1.3.1_D.4.2	Test procedure	1045
9.4.1.3.2_D	TDD Reporting of PMI – PUSCH 3-1 (Single PMI) for eDL-MIMO	1047
9.4.1.3.2_D.4.2	Test procedure	1049
9.4.2	Multiple PMI.....	1052
9.4.2.1	PMI Reporting – PUSCH 1-2 (Multiple PMI) (Cell-Specific Reference Symbols)	1052
9.4.2.1.1	FDD PMI Reporting – PUSCH 1-2 (Multiple PMI).....	1052
9.4.2.1.1_1	FDD PMI Reporting – PUSCH 1-2 (Multiple PMI) (Release 9 and forward).....	1054
9.4.2.1.2	TDD PMI Reporting – PUSCH 1-2 (Multiple PMI)	1057
9.4.2.1.2_1	TDD PMI Reporting – PUSCH 1-2 (Multiple PMI) (Release 9 and forward)	1060
9.4.2.2	PMI Reporting – PUSCH 2-2 (Multiple PMI) (Cell-Specific Reference Symbols)	1063
9.4.2.2.1	FDD PMI Reporting – PUSCH 2-2 (Multiple PMI).....	1063
9.4.2.2.2	TDD PMI Reporting – PUSCH 2-2 (Multiple PMI)	1066
9.4.2.3	PMI Reporting – PUSCH 1-2 (Multiple PMI) (CSI Reference Symbols).....	1069
9.4.2.3.1	1069	
9.4.2.3.1_D	FDD PMI Reporting - PUSCH 1-2 (Multiple PMI) for eDL-MIMO	1069
9.4.2.3.2_D	TDD PMI Reporting - PUSCH 1-2 (Multiple PMI) for eDL-MIMO	1073
9.5	Reporting of Rank Indicator (RI).....	1078
9.5.1	RI Reporting (Cell-Specific Reference Symbols)	1078
9.5.1.1	FDD RI Reporting– PUCCH 1-1	1078

9.5.1.1.1	Test purpose.....	1078
9.5.1.1.2	Test applicability.....	1078
9.5.1.1.3	Minimum conformance requirements	1078
9.5.1.1.4	Test description	1080
9.5.1.1.5	Test requirement	1082
9.5.1.1_1	FDD RI Reporting– PUCCH 1-1 (Release 10).....	1082
9.5.1.1_1.1	Test purpose.....	1082
9.5.1.1_1.2	Test applicability.....	1082
9.5.1.1_1.3	Minimum conformance requirements	1082
9.5.1.1_1.4	Test description	1083
9.5.1.1_1.5	Test requirement	1085
9.5.1.1_2	FDD RI Reporting– PUCCH 1-1 (Release 11).....	1085
9.5.1.1_2.1	Test purpose.....	1085
9.5.1.1_2.2	Test applicability.....	1085
9.5.1.1_2.3	Minimum conformance requirements	1085
9.5.1.1_2.4	Test description	1086
9.5.1.1_2.5	Test requirement	1087
9.5.1.2	TDD RI Reporting – PUSCH 3-1	1087
9.5.1.2.1	Test purpose.....	1087
9.5.1.2.2	Test applicability.....	1087
9.5.1.2.3	Minimum conformance requirements	1087
9.5.1.2.4	Test description	1088
9.5.1.2.5	Test requirement	1091
9.5.1.2_1	TDD RI Reporting – PUSCH 3-1 (Release 10).....	1091
9.5.1.2_1.1	Test purpose.....	1091
9.5.1.2_1.2	Test applicability.....	1091
9.5.1.2_1.3	Minimum conformance requirements	1091
9.5.1.2_1.4	Test description	1092
9.5.1.2_1.5	Test requirement	1093
9.5.1.2_2	TDD RI Reporting – PUSCH 3-1 (Release 11).....	1093
9.5.1.2_2.1	Test purpose.....	1093
9.5.1.2_2.2	Test applicability.....	1094
9.5.1.2_2.3	Minimum conformance requirements	1094
9.5.1.2_2.4	Test description	1094
9.5.1.2_2.5	Test requirement	1095
9.5.2	RI Reporting (CSI Reference Symbols).....	1095
9.5.2.1	1095	
9.5.2.1_D	FDD RI Reporting- PUCCH 1-1 for eDL-MIMO	1095
9.5.2.1_D.1	Test purpose.....	1095
9.5.2.1_D.2	Test applicability.....	1095
9.5.2.1_D.3	Minimum conformance requirements	1095
9.5.2.1_D.4	Test description	1097
9.5.2.1_D.5	Test requirement	1099
9.5.2.2_D	TDD RI Reporting- PUCCH 1-1 for eDL-MIMO	1100
9.5.2.2_D.1	Test purpose.....	1100
9.5.2.2_D.2	Test applicability.....	1100
9.5.2.2_D.3	Minimum conformance requirements	1100
9.5.2.2_D.4	Test description	1102
9.5.2.2_D.5	Test requirement	1105
9.5.3	RI Reporting (Cell-Specific Reference Symbols)	1105
9.5.3.1_C	FDD RI Reporting – PUCCH 1-0 for eICIC.....	1105
9.5.3.1_C.1	FDD RI Reporting – PUCCH 1-0 for eICIC (non-MBSFN ABS).....	1105
9.5.3.1_C.1.1	Test purpose	1105
9.5.3.1_C.1.2	Test applicability	1106
9.5.3.1_C.1.3	Minimum conformance requirements	1106
9.5.3.1_C.1.4	Test description.....	1108
9.5.3.1_C.1.4.1	Initial conditions.....	1108
9.5.3.1_C.1.4.2	Test procedure	1109
9.5.3.1_C.1.4.3	Message contents.....	1109
9.5.3.1_C.1.5	Test requirement	1109
9.5.3.2_C	TDD RI Reporting – PUCCH 1-0 for eICIC	1109
9.5.3.2_C.1	TDD RI Reporting – PUCCH 1-0 for eICIC (non-MBSFN ABS)	1109

9.5.3.2_C.1.1	Test purpose	1110
9.5.3.2_C.1.2	Test applicability	1110
9.5.3.2_C.1.3	Minimum conformance requirements	1110
9.5.3.2_C.1.4	Test description	1112
9.5.3.2_C.1.4.1	Initial conditions	1112
9.5.3.2_C.1.4.2	Test procedure	1113
9.5.3.2_C.1.4.3	Message contents	1113
9.5.3.2_C.1.5	Test requirement	1113
9.6	Additional requirements for carrier aggregation	1114
9.6.1	Periodic reporting on multiple cells (Cell-Specific Reference Symbols)	1114
9.6.1.1_A	FDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA	1114
9.6.1.1_A.1	FDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA (intra band contiguous DL CA)	1114
9.6.1.1_A.2	FDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA (inter band DL CA)	1114
9.6.1.1_A.2.1	Test purpose	1114
9.6.1.1_A.2.2	Test applicability	1114
9.6.1.1_A.2.3	Minimum conformance requirements	1114
9.6.1.1_A.2.4	Test description	1115
9.6.1.1_A.2.4.1	Initial conditions	1115
9.6.1.1_A.2.4.2	Test procedure	1115
9.6.1.1_A.2.4.3	Message contents	1116
9.6.1.1_A.2.5	Test requirement	1116
9.6.1.2_A	TDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA	1116
9.6.1.2_A.1	TDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA (intra band contiguous DL CA)	1116
9.6.1.2_A.1.1	Test purpose	1116
9.6.1.2_A.1.2	Test applicability	1116
9.6.1.2_A.1.3	Minimum conformance requirements	1116
9.6.1.2_A.1.4	Test description	1117
9.6.1.2_A.1.4.1	Initial conditions	1117
9.6.1.2_A.1.4.2	Test procedure	1117
9.6.1.2_A.1.4.3	Message contents	1118
9.6.1.2_A.1.5	Test requirement	1118
9.6.1.2_A.2	TDD CQI Reporting under AWGN conditions – PUCCH 1-0 for CA (inter band DL CA)	1118
10	MBMS Performance	1118
10.1	FDD MBMS performance (Fixed Reference Channel)	1118
10.1.1	Test purpose	1118
10.1.2	Test applicability	1118
10.1.3	Minimum conformance requirements	1118
10.1.4	Test description	1119
10.1.4.1	Initial conditions	1119
10.1.4.2	Test procedure	1120
10.1.4.3	Message contents	1120
10.1.5	Test requirement	1122
10.2	TDD MBMS performance (Fixed Reference Channel)	1122
10.2.1	Test purpose	1122
10.2.2	Test applicability	1122
10.2.3	Minimum conformance requirements	1122
10.2.4	Test description	1123
10.2.4.1	Initial conditions	1123
10.2.4.2	Test procedure	1124
10.2.4.3	Message contents	1124
10.2.5	Test requirement	1126
Annex A (normative):	Measurement Channels	1127
A.1	General	1127
A.2	UL reference measurement channels	1128
A.2.1	General	1128
A.2.1.1	Applicability and common parameters	1128
A.2.1.2	Determination of payload size	1128

A.2.1.3	Overview of UL reference measurement channels	1129
A.2.2	Reference measurement channels for FDD	1136
A.2.2.1	Full RB allocation	1136
A.2.2.1.1	QPSK	1136
A.2.2.1.2	16-QAM	1136
A.2.2.2	Partial RB allocation	1136
A.2.2.2.1	QPSK	1137
A.2.2.2.2	16-QAM	1141
A.2.2.3	Reference measurement channels for sustained downlink data rate provided by lower layers	1144
A.2.3	Reference measurement channels for TDD	1144
A.2.3.1	Full RB allocation	1144
A.2.3.1.1	QPSK	1144
A.2.3.1.2	16-QAM	1145
A.2.3.2	Partial RB allocation	1145
A.2.3.2.1	QPSK	1145
A.2.3.2.2	16-QAM	1152
A.2.3.3	Reference measurement channels for sustained downlink data rate provided by lower layers	1155
A.3	DL reference measurement channels	1155
A.3.1	General	1155
A.3.1.1	Overview of DL reference measurement channels	1156
A.3.2	Reference measurement channel for receiver characteristics	1162
A.3.2A	Downlink Reference measurement channel for TX characteristics	1168
A.3.3	Reference measurement channel for PDSCH performance requirements (FDD)	1171
A.3.3.1	Single-antenna transmission (Common Reference Symbols)	1171
A.3.3.2	Multi-antenna transmission (Common Reference Symbols)	1175
A.3.3.2.1	Two antenna ports	1175
A.3.3.2.2	Four antenna ports	1177
A.3.3.3	Reference Measurement Channel for UE-Specific Reference Symbols	1177
A.3.3.3.1	Two antenna port (CSI-RS)	1177
A.3.3.3.2	Four antenna ports (CSI-RS)	1178
A.3.4	Reference measurement channel for PDSCH performance requirements (TDD)	1181
A.3.4.1	Single-antenna transmission (Common Reference Symbols)	1181
A.3.4.2	Multi-antenna transmission (Common Reference Symbols)	1187
A.3.4.2.1	Two antenna ports	1187
A.3.4.2.2	Four antenna ports	1189
A.3.4.3	Reference Measurement Channels for UE-Specific Reference Symbols	1189
A.3.4.3.3	Two antenna ports (CSI-RS)	1191
A.3.4.3.4	Four antenna ports (CSI-RS)	1192
A.3.4.3.5	Eight antenna ports (CSI-RS)	1193
A.3.5	Reference measurement channels for PDCCH/PCFICH performance requirements	1196
A.3.5.1	FDD	1196
A.3.5.2	TDD	1197
A.3.6	Reference measurement channels for PHICH performance requirements	1198
A.3.7	[FFS]	1199
A.3.8	Reference measurement channels for MBMS performance requirements	1199
A.3.8.1	FDD	1199
A.3.8.2	TDD	1200
A.3.9	Reference measurement channels for sustained downlink data rate provided by lower layers	1202
A.3.9.1	FDD	1202
A.3.9.2	TDD	1203
A.4	CQI reference measurement channels	1204
A.4.1	Additional CSI reference measurement channels	1214
A.5	OFDMA Channel Noise Generator (OCNG)	1215
A.5.1	OCNG Patterns for FDD	1215
A.5.1.1	OCNG FDD pattern 1: One sided dynamic OCNG FDD pattern	1216
A.5.1.2	OCNG FDD pattern 2: Two sided dynamic OCNG FDD pattern	1216
A.5.1.3	OCNG FDD pattern 3: 49 RB OCNG allocation with MBSFN in 10 MHz	1217
A.5.1.4	OCNG FDD pattern 4: One sided dynamic OCNG FDD pattern for MBMS transmission	1218
A.5.1.5	OCNG FDD pattern 5: One sided dynamic 16QAM modulated OCNG FDD pattern	1218
A.5.1.6	OCNG FDD pattern 6: dynamic OCNG FDD pattern when user data is in 2 non-contiguous blocks....	1219

A.5.2	OCNG Patterns for TDD.....	1220
A.5.2.1	OCNG TDD pattern 1: One sided dynamic OCNG TDD pattern	1220
A.5.2.2	OCNG TDD pattern 2: Two sided dynamic OCNG TDD pattern	1220
A.5.2.3	OCNG TDD pattern 3: 49 RB OCNG allocation with MBSFN in 10 MHz.....	1221
A.5.2.4	OCNG TDD pattern 4: One sided dynamic OCNG TDD pattern for MBMS transmission.....	1222
A.5.2.5	OCNG TDD pattern 5: One sided dynamic 16QAM modulated OCNG TDD pattern	1223
A.5.2.6	OCNG TDD pattern 6: dynamic OCNG TDD pattern when user data is in 2 non-contiguous blocks...	1223

Annex B (normative): Propagation Conditions 1225

B.0	No interference.....	1225
B.1	Static propagation condition.....	1225
B.1.1	Definition of Additive White Gaussian Noise (AWGN) Interferer	1225
B.2	Multi-path fading Propagation Conditions	1225
B.2.1	Delay profiles	1226
B.2.2	Combinations of channel model parameters	1227
B.2.3	MIMO Channel Correlation Matrices.....	1227
B.2.3.1	Definition of MIMO Correlation Matrices	1227
B.2.3.2	MIMO Correlation Matrices at High, Medium and Low Level.....	1228
B.2.3A	MIMO Channel Correlation Matrices using cross polarized antennas	1230
B.2.3A.1	Definition of MIMO Correlation Matrices using cross polarized antennas.....	1231
B.2.3A.2	Spatial Correlation Matrices using cross polarized antennas at eNB and UE sides	1231
B.2.3A.2.1	Spatial Correlation Matrices at eNB side	1231
B.2.3A.2.2	Spatial Correlation Matrices at UE side.....	1231
B.2.3A.3	MIMO Correlation Matrices using cross polarized antennas.....	1232
B.2.3A.4	Beam steering approach.....	1232
B.2.4	Propagation conditions for CQI tests.....	1233
B.2.5	FFS	1233
B.2.6	MBSFN Propagation Channel Profile.....	1233
B.3	High speed train scenario.....	1234
B.4	Beamforming Model	1235
B.4.1	Single-layer random beamforming (Antenna port 5, 7 or 8)	1235
B.4.2	Dual-layer random beamforming (antenna ports 7 and 8)	1236
B.4.3	Generic beamforming model (antenna ports 7-14)	1236
B.5	Interference models for enhanced performance requirements Type-A.....	1237
B.5.1	Dominant interferer proportion	1237
B.5.2	Transmission mode 3 interference model	1237
B.5.3	Transmission mode 4 interference model	1237
B.5.4	Transmission mode 9 interference model	1238

Annex C (normative): Downlink Physical Channels..... 1239

C.0	Downlink signal levels	1239
C.1	General.....	1239
C.2	Set-up.....	1242
C.3	Connection	1243
C.3.0	Measurement of Transmitter Characteristics	1243
C.3.1	Measurement of Receiver Characteristics.....	1244
C.3.2	Measurement of Performance requirements	1245
C.3.3	Aggressor cell power allocation for Measurement of Performance Requirements when ABS is Configured	1246

Annex D (normative):	Characteristics of the Interfering Signal.....	1248
D.1	General.....	1248
D.2	Interference signals	1248
Annex E (normative):	Global In-Channel TX-Test.....	1249
E.1	General.....	1249
E.2	Signals and results.....	1249
E.2.1	Basic principle	1249
E.2.2	Output signal of the TX under test	1249
E.2.3	Reference signal.....	1250
E.2.4	Measurement results	1250
E.2.5	Measurement points.....	1250
E.3	Signal processing	1251
E.3.1	Pre FFT minimization process	1251
E.3.2	Timing of the FFT window	1251
E.3.3	Post FFT equalisation	1252
E.4	Derivation of the results.....	1253
E.4.1	EVM	1253
E.4.2	Averaged EVM	1254
E.4.3	In-band emissions measurement.....	1254
E.4.4	EVM equalizer spectrum flatness	1256
E.4.5	Frequency error and Carrier leakage	1256
E.4.6	EVM of De modulation reference symbols (EVM _{DMRS}).....	1257
E.4.6.1	1 st average for EVM _{DMRS}	1257
E.4.6.2	Final average for EVM _{DMRS}	1258
E.5	EVM and inband emissions for PUCCH.....	1258
E.5.1	Basic principle	1258
E.5.2	Output signal of the TX under test	1258
E.5.3	Reference signal.....	1258
E.5.4	Measurement results	1258
E.5.5	Measurement points.....	1258
E.5.6	Pre FFT minimization process	1259
E.5.7	Timing of the FFT window	1259
E.5.8	Post FFT equalisation	1259
E.5.9	Derivation of the results	1260
E.5.9.1	EVM _{PUCCH}	1260
E.5.9.2	Averaged EVM _{PUCCH}	1260
E.5.9.3	In-band emissions measurement.....	1261
E.6	EVM for PRACH.....	1262
E.6.1	Basic principle	1262
E.6.2	Output signal of the TX under test	1262
E.6.3	Reference signal.....	1262
E.6.4	Measurement results	1262
E.6.5	Measurement points.....	1263
E.6.6	Pre FFT minimization process	1263
E.6.7	Timing of the FFT window	1263
E.6.8	Post FFT equalisation	1264
E.6.9	Derivation of the results	1264
E.6.9.1	EVM _{PRACH}	1264
E.6.9.2	Averaged EVM _{PRACH}	1265
E.7	EVM with exclusion period	1265
E.7.1	General	1265
E.7.2	The model	1265
E.7.3	Illustration.....	1266
E.7.4	Formula	1269

Annex F (normative):	Measurement uncertainties and Test Tolerances	1271
F.1	Acceptable uncertainty of Test System (normative)	1271
F.1.1	Measurement of test environments	1271
F.1.2	Measurement of transmitter	1272
F.1.3	Measurement of receiver	1277
F.1.4	Measurement of performance requirements	1283
F.1.5	Measurement of Channel State Information reporting	1294
F.2	Interpretation of measurement results (normative)	1298
F.3	Test Tolerance and Derivation of Test Requirements (informative)	1299
F.3.1	Measurement of test environments	1299
F.3.2	Measurement of transmitter	1300
F.3.3	Measurement of receiver	1313
F.3.4	Measurement of performance requirements	1321
F.3.5	Measurement of Channel State Information reporting	1324
Annex G (normative):	Statistical Testing.....	1328
G.1	General.....	1328
G.2	Statistical testing of receiver characteristics	1328
G.2.1	General	1328
G.2.2	Mapping throughput to error ratio	1328
G.2.3	Design of the test.....	1329
G.2.4	Numerical definition of the pass fail limits	1329
G.2.5	Pass fail decision rules	1330
G.2.6	Test conditions for receiver tests	1331
G.2A	Statistical testing of receiver characteristics with CA	1331
G.2A.1	General	1331
G.2A.2	Mapping throughput to error ratio	1331
G.2A.4	Pass fail limits	1332
G.2A.5	void	1332
G.2A.6	Test conditions for receiver tests with CA	1333
G.3	Statistical testing of Performance Requirements with throughput	1333
G.3.1	General	1333
G.3.2	Mapping throughput to error ratio	1334
G.3.3	Design of the test.....	1334
G.3.4	Pass Fail limit	1334
G.3.5	Minimum Test time	1335
G.3.6	Test conditions for receiver performance tests.....	1345
G.3A	Statistical testing of Performance Requirements with throughput for CA	1351
G.3A.1	General	1351
G.3A.2	Mapping throughput to error ratio	1351
G.3A.3	Design of the test.....	1352
G.3A.4	Pass Fail limit	1352
G.3A.5	Minimum test time	1352
G.3A.6	Test conditions	1357
G.4	Statistical testing of Performance Requirements with probability of misdetection	1358
G.4.1	General	1358
G.4.2	Mapping the UE reaction to error ratio	1358
G.4.3	Design of the test.....	1358
G.4.4	Numerical definition of the pass fail limits	1359
G.4.5	Pass fail decision rules	1360
G.4.6	Minimum Test time	1361
G.4.7	Test conditions for receiver performance tests.....	1363
G.5	Measuring throughput ratio	1364
G.5.1	General	1364
G.5.2	Establishing t_{rnd}	1364

G.5.3	Measuring T-put	1364
G.5.4	Number of samples for throughput ratios.....	1364
G.6	Statistical testing of MBMS Performance	1367
G.6.1	General	1367
G.6.2	Mapping of MBMS Packet ratio to BLER.....	1368
G.6.3	Design of the test.....	1368
G.6.4	Test time for MBMS performance tests	1368
G.X	Theory to derive the numbers in Table G.2.4-1 (Informative).....	1369
G.X.1	Error Ratio (ER)	1369
G.X.2	Test Design.....	1369
G.X.3	Confidence level	1369
G.X.4	Introduction: Supplier Risk versus Customer Risk.....	1369
G.X.5	Supplier Risk versus Customer Risk.....	1370
G.X.6	Introduction: Standard test versus early decision concept.....	1370
G.X.7	Standard test versus early decision concept.....	1371
G.X.8	Selectivity	1371
G.X.9	Design of the test.....	1372
G.X.10	Simulation to derive the pass fail limits in Table G.2.4-1	1372
Annex H (normative): Uplink Physical Channels		1374
H.0	Uplink Signal Levels	1374
H.1	General.....	1374
H.2	Set-up.....	1375
H.3	Connection	1375
H.3.0	Measurement of Transmitter Characteristics	1375
H.3.1	Measurement of Receiver Characteristics	1376
H.3.2	Measurement of Performance Requirements	1376
Annex I (informative): Handling requirements and tests for different releases and UE capabilities.....		1377
I.1	General considerations	1377
I.2	Concrete scenarios	1378
I.2.1	Tests for minimum requirements varying between releases, without introduction of new features	1378
I.2.2	Tests for CA (Carrier aggregation).....	1379
I.2.2.1	CA Tx tests (Chapter 6)	1379
I.2.2.2	CA Rx tests (Chapter 7)	1380
I.2.2.3	CA Performance tests (Chapter 8)	1380
I.2.3	Tests for UL-MIMO (Uplink Multiple Antenna Transmission)	1381
I.2.3.1	UL-MIMO Tx tests (Chapter 6)	1381
I.2.3.2	UL-MIMO Rx tests (Chapter 7)	1381
I.2.4	Tests for eDL-MIMO (Enhanced Downlink Multiple Antenna Transmission)	1382
I.2.4.1	eDL MIMO Performance tests (Chapter 8)	1382
I.2.4.2	eDL MIMO CSI tests (Chapter 9)	1382
Annex J (informative): Change history.....		1384

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

The present document is part 1 of a multi-parts TS:

3GPP TS 36.521-1: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 1: Conformance Testing.

3GPP TS 36.521-2 [11]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 2: Implementation Conformance Statement (ICS).

3GPP TS 36.521-3 [12]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 3: Radio Resource Management (RRM) conformance testing.

1 Scope

The present document specifies the measurement procedures for the conformance test of the user equipment (UE) that contain transmitting characteristics, receiving characteristics and performance requirements as part of the 3G Long Term Evolution (3G LTE). Conformance test for the support of RRM (Radio Resource Management) are specified in TS 36.521-3 [12].

The requirements are listed in different clauses only if the corresponding parameters deviate. More generally, tests are only applicable to those mobiles that are intended to support the appropriate functionality. To indicate the circumstances in which tests apply, this is noted in the "*definition and applicability*" part of the test.

For example only Release 8 and later UE declared to support LTE shall be tested for this functionality. In the event that for some tests different conditions apply for different releases, this is indicated within the text of the test itself.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101: "E-UTRA UE radio transmission and reception".
- [3] ITU-R Recommendation SM.329-10, "Unwanted emissions in the spurious domain"
- [4] 3GPP TS 36.133: "E-UTRA requirements for support of radio resource management".
- [5] 3GPP TS 36.331: "E-UTRA Radio Resource Control (RRC): protocol specification".
- [6] 3GPP TS 36.304: "E-UTRA UE procedures in idle mode".
- [7] 3GPP TS 36.508: "Common test environments for User Equipment (UE)".
- [8] 3GPP TS 36.211: "Physical Channels and Modulation".
- [9] 3GPP TS 36.212: "E-UTRA Multiplexing and channel coding".
- [10] 3GPP TS 36.213: "E-UTRA Physical layer procedures".
- [11] 3GPP TS 36.521-2: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Implementation Conformance Statement (ICS)".
- [12] 3GPP TS 36.521-3: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Radio Resource Management (RRM) conformance testing".
- [13] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [14] 3GPP TS 36.423: "X2 application protocol (X2AP)".
- [15] 3GPP TS 36.306: "E-UTRA User Equipment (UE) radio access capabilities".
- [16] 3GPP TS 36.307: "Requirements on User Equipments (UEs) Supporting a release-independent frequency band".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Aggregated Channel Bandwidth: The RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers.

Aggregated Transmission Bandwidth Configuration: The number of resource block allocated within the aggregated channel bandwidth.

Carrier aggregation: Aggregation of two or more component carriers in order to support wider transmission bandwidths.

Carrier aggregation band: A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

Carrier aggregation bandwidth class: A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

Carrier aggregation configuration: A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

Channel edge: The lowest and highest frequency of the carrier, separated by the channel bandwidth.

Channel bandwidth: The RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell. The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

Contiguous carriers: A set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

Enhanced performance requirements type A: This defines performance requirements assuming as baseline receiver reference symbol based linear minimum mean square error interference rejection combining.

Inter-band carrier aggregation: Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

Intra-band contiguous carrier aggregation: Contiguous carriers aggregated in the same operating band.

Intra-band non-contiguous carrier aggregation: Non-contiguous carriers aggregated in the same operating band.

Maximum Output Power: The mean power level per carrier of UE measured at the antenna connector in a specified reference condition.

Mean power: When applied to E-UTRA transmission this is the power measured in the operating system bandwidth of the carrier. The period of measurement shall be at least one subframe (1ms) unless otherwise stated.

Occupied bandwidth: The width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage $\beta/2$ of the total mean power of a given emission.

Output power: The mean power of one carrier of the UE, delivered to a load with resistance equal to the nominal load impedance of the transmitter.

PMI delay: The rate in basic time unit at which PMI is updated.

Reference bandwidth: The bandwidth in which an emission level is specified.

Synchronized operation: Operation of TDD in two different systems, where no simultaneous uplink and downlink occur.

Transmission bandwidth: Bandwidth of an instantaneous transmission from a UE or BS, measured in Resource Block units.

Transmission bandwidth configuration: The highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in Resource Block units.

Transmit Diversity: Transmit diversity is based on space-frequency block coding techniques complemented with frequency-shift time diversity when four transmit antennas is used.

Unsynchronized operation: Operation of TDD in two different systems, where the conditions for synchronized operation

3.2 Symbols

For the purposes of the present document, the following symbols apply:

BW_{Channel}	Channel bandwidth
$BW_{\text{Channel_CA}}$	Aggregated channel bandwidth, expressed in MHz.
BW_{GB}	Virtual guard band to facilitate transmitter (receiver) filtering above / below edge CCs.
E_{RS}	Transmitted energy per RE for reference symbols during the useful part of the symbol, i.e. excluding the cyclic prefix, (average power normalized to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{E}_s	The averaged received energy per RE of the wanted signal during the useful part of the symbol, i.e. excluding the cyclic prefix, at the UE antenna connector; average power is computed within a set of REs used for the transmission of physical channels (including user specific RSs when present), divided by the number of REs within the set, and normalized to the subcarrier spacing F
$F_{\text{Interferer (offset)}}$	Frequency offset of the interferer
$F_{\text{Interferer}}$	Frequency of the interferer
F_C	Frequency of the carrier centre frequency
$F_{\text{CA_low}}$	The centre frequency of the <i>lowest carrier</i> , expressed in MHz.
$F_{\text{CA_high}}$	The centre frequency of the <i>highest carrier</i> , expressed in MHz.
$F_{\text{DL_low}}$	The lowest frequency of the downlink operating band
$F_{\text{DL_high}}$	The highest frequency of the downlink operating band
$F_{\text{UL_low}}$	The lowest frequency of the uplink operating band
$F_{\text{UL_high}}$	The highest frequency of the uplink operating band
$F_{\text{edge_low}}$	The <i>lower edge</i> of aggregated channel bandwidth, expressed in MHz.
$F_{\text{edge_high}}$	The <i>higher edge</i> of aggregated channel bandwidth, expressed in MHz.
F_{offset}	Frequency offset from $F_{\text{C_high}}$ to the <i>higher edge</i> or $F_{\text{C_low}}$ to the <i>lower edge</i> .
I_o	The power spectral density of the total input signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the UE antenna connector, including the own-cell downlink signal
I_{or}	The total transmitted power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{I}_{or}	The total received power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the UE antenna connector
I_{ot}	The received power spectral density of the total noise and interference for a certain RE (average power obtained within the RE and normalized to the subcarrier spacing) as measured at the UE antenna connector
L_{CRB}	Transmission bandwidth which represents the length of a contiguous resource block allocation expressed in units of resources blocks N_{cp} Cyclic prefix length
N_{DL}	Downlink EARFCN

N_{oc}	The power spectral density of a white noise source (average power per RE normalised to the subcarrier spacing), simulating interference from cells that are not defined in a test procedure, as measured at the UE antenna connector.
N_{oc1}	The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference in non-CRS symbols in ABS subframe from cells that are not defined in a test procedure, as measured at the UE antenna connector.
N_{oc2}	The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference in CRS symbols in ABS subframe from all cells that are not defined in a test procedure, as measured at the UE antenna connector.
N_{oc3}	The power spectral density of a white noise source (average power per RE normalised to the subcarrier spacing), simulating interference in non-ABS subframe from cells that are not defined in a test procedure, as measured at the UE antenna connector.
N_{oc}'	The power spectral density (average power per RE normalised to the subcarrier spacing) of the summation of the received power spectral densities of the strongest interfering cells explicitly defined in a test procedure plus N_{oc} , as measured at the UE antenna connector. The respective power spectral density of each interfering cell relative to N_{oc}' is defined by its associated DIP value.
$N_{\text{Offis-DL}}$	Offset used for calculating downlink EARFCN
$N_{\text{Offis-UL}}$	Offset used for calculating uplink EARFCN
N_{otx}	The power spectral density of a white noise source (average power per RE normalised to the subcarrier spacing) simulating eNode B transmitter impairments as at the eNode B transmit antenna connector
N_{RB}	Transmission bandwidth configuration, expressed in units of resource blocks
N_{RB_agg}	Aggregated Transmission Bandwidth Configuration The number of the aggregated RBs within the fully allocated Aggregated Channel bandwidth.
N_{RB_alloc}	Total number of simultaneously transmitted resource blocks in Aggregated Channel Bandwidth configuration.
N_{UL}	Uplink EARFCN
P	Number of cell-specific antenna ports
p	Antenna port number
P_{CMAX}	The measured configured maximum UE output power.
$P_{CMAX,c}$	The configured maximum UE output power for serving cell c .
P_{EMAX}	Maximum allowed UE output power signalled by higher layers. Same as IE $P\text{-Max}$, defined in [5].
$P_{EMAX,c}$	Maximum allowed UE output power signalled by higher layers for serving cell c . Same as IE $P\text{-Max}$, defined in [7].
$P_{\text{Interferer}}$	Modulated mean power of the interferer
$P_{\text{PowerClass}}$	$P_{\text{PowerClass}}$ is the nominal UE power (i.e., no tolerance).
P_{UMAX}	Maximum UE Power with possible power reduction due to modulation type, network signalling values and location near the edge of the band; it equals P_{CMAX} when the IE $P\text{-Max}$, defined in [5], is not signalled.
R_{av}	Minimum average throughput per RB
$RB \#$	Position of the RB in the channel bandwidth.
RB_{start}	Indicates the lowest RB index of transmitted resource blocks.
RB_{end}	Indicates the highest RB index of transmitted resource blocks.
ΔF_{OOB}	Δ Frequency of Out Of Band emission
$\Delta R_{\text{IB},c}$	Allowed reference sensitivity relaxation due to support for inter-band CA operation, for serving cell c .
$\Delta T_{\text{IB},c}$	Allowed maximum configured output power relaxation due to support for inter-band CA operation, for serving cell c .
ΔT_{C}	Allowed operating band edge transmission power relaxation.
$\Delta T_{\text{C},c}$	Allowed operating band edge transmission power relaxation for serving cell c .
σ	Test specific auxiliary variable used for the purpose of downlink power allocation, defined in Annex C.3.2.

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ABS	Almost Blank Subframe
ACLR	Adjacent Channel Leakage Ratio
ACS	Adjacent Channel Selectivity
A-MPR	Additional Maximum Power Reduction
AWGN	Additive White Gaussian Noise
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
BS	Base Station
CA	Carrier Aggregation
CA_X	CA for band X where X is the applicable E-UTRA operating band
CA_X-X	Non-contiguous intra band CA for band X where X is the applicable E-UTRA operating band
CA_X-Y	CA for band X and Band Y where X and Y are the applicable E-UTRA operating band
CC	Component Carriers
CPE	Customer Premise Equipment
CPE_X	Customer Premise Equipment for E-UTRA operating band X
CQI	Channel Quality Indicator
CW	Continuous Wave
DCI	Downlink Control Information
DIP	Dominant Interferer Proportion
eDL-MIMO	Down Link Multiple Antenna transmission
DL	Downlink
DTX	Discontinuous Transmission
DwPTS	Downlink Pilot Time-Slot
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
EPRE	Energy Per Resource Element
E-UTRA	Evolved UMTS Terrestrial Radio Access
EUTRAN	Evolved UMTS Terrestrial Radio Access Network
EVM	Error Vector Magnitude
FDD	Frequency Division Duplex
FRC	Fixed Reference Channel
FSTD	Frequency-Shift Time Diversity
HARQ	Hybrid ARQ
HD-FDD	Half-Duplex FDD
MAC	Medium Access Control
MBMS	Multimedia Broadcast Multicast Service
MCS	Modulation and Coding Scheme
MOP	Maximum Output Power
MPR	Maximum Power Reduction
MSR	Maximum Sensitivity Reduction
OCNG	OFDMA Channel Noise Generator
OFDMA	Orthogonal Frequency Division Multiple Access
OOB	Out-of-band
P-MPR	Power Management Maximum Power Reduction
PA	Power Amplifier
PBCH	Physical Broadcast Channel
PCC	Primary Component Carrier
PCCH	Paging Control Channel
PCFICH	Physical Control Format Indicator Channel
PDCCH	Physical Downlink Control Channel
PDSCH	Physical Downlink Shared Channel
PDU	Packet Data Unit
PHICH	Physical Hybrid ARQ Indicator Channel
Pm-dsg	Probability of miss-detection of the Downlink Scheduling Grant
PMI	Precoding Matrix Indicator
PRA CH	Physical Random Access Channel
PRB	Physical Resource Block

PSS	Primary Synchronization Signal
PSS_RA	PSS-to-EPRE ratio for the channel PSS
PUCCH	Physical Uplink Control Channel
PUSCH	Physical Uplink Shared Channel
RE	Resource Element
REFSENS	Reference Sensitivity power level
RI	Rank Indicator
RLC	Radio Link Control
RMC	Reference Measurement Channel
r.m.s	Root Mean Square
RNTI	Radio Network Temporary Identifier
RRC	Radio Resource Control
RS	Reference Signal
RSRP	Reference Signal Received Power
SCC	Secondary Component Carrier
SCH	Synchronization Channel
SDU	Service Data Unit
SFBC	Space-Frequency Block Coding
SINR	Signal-to-Interference-and-Noise Ratio
SNR	Signal-to-Noise Ratio
SRS	Sounding Reference Signal
SSS	Secondary Synchronization Signal
SSS_RA	SSS-to-RS EPRE ratio for the channel SSS
TDD	Time Division Duplex
TPC	Transmit Power Control
TPMI	Transmitted Precoding Matrix Indicator
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink
UL-MIMO	Up Link Multiple Antenna transmission
UMTS	Universal Mobile Telecommunications System
UpPTS	Uplink Pilot Time-Slot
UTRA	UMTS Terrestrial Radio Access
UTRAN	UMTS Terrestrial Radio Access Network
xCH_RA	xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols not containing RS
xCH_RB	xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols containing RS

4 General

Unless otherwise stated, the following reference conditions used by all test cases in this document are specified in TS 36.508 [7]:

- Connection Diagrams,
- Test Frequencies,
- Cell Settings,
- Reference Environments,
- Environmental Conditions,
- Generic Connection Setup Procedures,
- System Information (SI),
- Message Contents.

Where a test requires one of the above reference conditions that are different, this will be specified with in the test itself.

The Minimum Requirements defined in each test make no allowance for Measurement Uncertainty. Therefore, Test Tolerances are used to relax the Minimum Requirements. If the Test Requirement differs from the Minimum Requirement then the Test Tolerance applied for that test is non-zero. For each test the Test Tolerances are individually calculated to create the Test Requirements. The Test Tolerance for each test and the explanation of how the Minimum Requirement has been relaxed by the Test Tolerance is given in Annex F.3.

Downlink and Uplink transmissions are organized into radio frames with $T_f = 307200 \times T_s = 10$ ms duration. Two radio frame structures are supported in this document:

- Type 1, applicable to FDD,
- Type 2, applicable to TDD.

In clauses 6 and 7 TX and RX test cases for FDD/TDD test cases are defined. FDD and TDD test scenarios/requirements are included within the same test case. For test cases with any difference between the FDD and TDD branches the test description part of the test case has been separated in two sections to cover the two technologies. The applicability for the FDD and TDD branches are specified in TS 36.521-2.

In clause 8 the performance requirement test cases are defined. FDD and TDD performance requirement test cases are defined in different clauses accordingly to the requirements specified in TS 36.101.

Unless otherwise stated, each test case is tested for every operating band supported by the UE and repeated with the applicable test configurations (i.e. test environment, test frequencies, test channel bandwidths, channel bandwidth parameters) indicated in each test case. For test cases in clauses 6, 7, 8 the initial conditions of the downlink physical channels signal levels and downlink physical channels required are specified in Annex C.0, Annex C.1 and Annex C.2.

For test cases in clauses 6 and 7 that require measurements with maximum output power, the UE shall transmit at its maximum output power state with output power level of P_{UMAX} level. This range of maximum output power shall not be modified for any further additional relaxation.

For UL-MIMO cases, the UE output power is the sum of mean powers as measured at each antenna connector unless otherwise stated.

For test cases in clauses 6 and 7, the partial RB allocations refer to any RB allocation less than full RB allocation except 1 RB allocation.

4.1 Categorization of test requirements in CA, UL-MIMO, eDL-MIMO

The test requirements for Clauses 6 (Tx Characteristics) and 7 (Rx Characteristics), which are specific to CA, UL-MIMO, and eDL-MIMO are specified as suffix A, B, C, D where;

- a) Suffix A additional requirements need to support CA
- b) Suffix B additional requirements need to support UL-MIMO
- c) Suffix C additional requirements need to support TBD
- d) Suffix D additional requirements need to support eDL-MIMO

A terminal which supports the above features needs to meet both the general requirements and the additional requirement applicable to the additional sub-clause (suffix A, B, C and D).

A terminal which supports more than one feature (CA, UL-MIMO, and eDL-MIMO) shall meet all of the separate corresponding requirements.

NOTE 1: Test Case 6.5.2.1A, PUSCH-EVM with exclusion period, is a Release 8 non-CA test case and does not indicate a requirement to support CA.

NOTE 2: This categorization of test requirements reflects only the categorization of minimum requirements as done by RAN4 in 3GPP TS 36.101. For the categorization of the tests requirements done on behalf of RAN5 follow the information given in Annex I.

The frequency bands and channel arrangement for CA, UL-MIMO, and eDL-MIMO are specified in Clause 5, where;

- a) The clauses with suffix A specify the operating bands and channel arrangement related information for CA
- b) The clauses with suffix B specify the operating bands and channel arrangement related information for UL-MIMO
- c) The clauses with suffix C specify the operating bands and channel arrangement related information for [TBD]
- d) The clauses with suffix D specify the operating bands and channel arrangement related information for eDL-MIMO

5 Frequency bands and channel arrangement

5.1 General

The channel arrangements presented in this clause are based on the frequency bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

5.2 Operating bands

E-UTRA is designed to operate in the operating bands defined in Table 5.2-1.

Table 5.2-1: E-UTRA operating bands

E-UTRA Operating Band	Uplink (UL) eNode B receive UE transmit	Downlink (DL) eNode B transmit UE receive	Duplex Mode
	$F_{UL_low} - F_{UL_high}$	$F_{DL_low} - F_{DL_high}$	

1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
4	1710 MHz – 1755 MHz	2110 MHz – 2155 MHz	FDD
5	824 MHz – 849 MHz	869 MHz – 894 MHz	FDD
6 ¹	830 MHz – 840 MHz	875 MHz – 885 MHz	FDD
7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
9	1749.9 MHz – 1784.9 MHz	1844.9 MHz – 1879.9 MHz	FDD
10	1710 MHz – 1770 MHz	2110 MHz – 2170 MHz	FDD
11	1427.9 MHz – 1447.9 MHz	1475.9 MHz – 1495.9 MHz	FDD
12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
13	777 MHz – 787 MHz	746 MHz – 756 MHz	FDD
14	788 MHz – 798 MHz	758 MHz – 768 MHz	FDD
15	Reserved	Reserved	FDD
16	Reserved	Reserved	FDD
17	704 MHz – 716 MHz	734 MHz – 746 MHz	FDD
18	815 MHz – 830 MHz	860 MHz – 875 MHz	FDD
19	830 MHz – 845 MHz	875 MHz – 890 MHz	FDD
20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
21	1447.9 MHz – 1462.9 MHz	1495.9 MHz – 1510.9 MHz	FDD
22	3410 MHz – 3490 MHz	3510 MHz – 3590 MHz	FDD
23	2000 MHz – 2020 MHz	2180 MHz – 2200 MHz	FDD
24	1626.5 MHz – 1660.5 MHz	1525 MHz – 1559 MHz	FDD
25	1850 MHz – 1915 MHz	1930 MHz – 1995 MHz	FDD
26	814 MHz – 849 MHz	859 MHz – 894 MHz	FDD
27	807 MHz – 824 MHz	852 MHz – 869 MHz	FDD
28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
29	NA	717 MHz – 728 MHz	FDD ²
31	452.5 MHz – 457.5 MHz	462.5 MHz – 467.5 MHz	FDD
...			
33	1900 MHz – 1920 MHz	1900 MHz – 1920 MHz	TDD
34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
35	1850 MHz – 1910 MHz	1850 MHz – 1910 MHz	TDD
36	1930 MHz – 1990 MHz	1930 MHz – 1990 MHz	TDD
37	1910 MHz – 1930 MHz	1910 MHz – 1930 MHz	TDD
38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
42	3400 MHz – 3600 MHz	3400 MHz – 3600 MHz	TDD
43	3600 MHz – 3800 MHz	3600 MHz – 3800 MHz	TDD
44	703 MHz – 803 MHz	703 MHz – 803 MHz	TDD

Note 1: Band 6 is not applicable.
Note 2: Restricted to E-UTRA operation when carrier aggregation is configured. The downlink operating band is paired with the uplink operating band (external) of the carrier aggregation configuration that is supporting the configured Pcell.

5.2A Operating bands for CA

E-UTRA carrier aggregation is designed to operate in the operating bands defined in Tables 5.2A-1 and 5.2A-2.

Table 5.2A-1: Intra-band contiguous CA operating bands

E-UTRA CA Band	E-UTRA Band	Uplink (UL) operating band			Downlink (DL) operating band			Duplex Mode
		BS receive / UE transmit			BS transmit / UE receive			
		$F_{UL_low} - F_{UL_high}$			$F_{DL_low} - F_{DL_high}$			
CA_1	1	1920 MHz	–	1980 MHz	2110 MHz	–	2170 MHz	FDD
CA_7	7	2500 MHz	–	2570 MHz	2620 MHz	–	2690 MHz	FDD
CA_38	38	2570 MHz	–	2620 MHz	2570 MHz	–	2620 MHz	TDD

CA_40	40	2300 MHz	–	2400 MHz	2300 MHz	–	2400 MHz	TDD
CA_41	41	2496 MHz	–	2690 MHz	2496 MHz	–	2690 MHz	TDD

Table 5.2A-2: Inter-band CA operating bands

E-UTRA CA Band	E-UTRA Band	Uplink (UL) operating band BS receive / UE transmit			Downlink (DL) operating band BS transmit / UE receive			Duplex Mode
		F _{UL_low} – F _{UL_high}			F _{DL_low} – F _{DL_high}			
CA_1-5	1	1920 MHz	–	1980 MHz	2110 MHz	–	2170 MHz	FDD
	5	824 MHz	–	849 MHz	869 MHz	–	894 MHz	
CA_1-18	1	1920 MHz	–	1980 MHz	2110 MHz	–	2170 MHz	FDD
	18	815 MHz	–	830 MHz	860 MHz	–	875 MHz	
CA_1-19	1	1920 MHz	–	1980 MHz	2110 MHz	–	2170 MHz	FDD
	19	830 MHz	–	845 MHz	875 MHz	–	890 MHz	
CA_1-21	1	1920 MHz	–	1980 MHz	2110 MHz	–	2170 MHz	FDD
	21	1447.9 MHz	–	1462.9 MHz	1495.9 MHz	–	1510.9 MHz	
CA_2-17	2	1850 MHz	–	1910 MHz	1930 MHz	–	1990 MHz	FDD
	17	704 MHz	–	716 MHz	734 MHz	–	746 MHz	
CA_2-29	2	1850 MHz	–	1910 MHz	1930 MHz	–	1990 MHz	FDD
	29	N/A			717 MHz	–	728 MHz	
CA_3-5	3	1710 MHz	–	1785 MHz	1805 MHz	–	1880 MHz	FDD
	5	824 MHz	–	849 MHz	869 MHz	–	894 MHz	
CA_3-7	3	1710 MHz	–	1785 MHz	1805 MHz	–	1880 MHz	FDD
	7	2500 MHz	–	2570 MHz	2620 MHz	–	2690 MHz	
CA_3-8	3	1710 MHz	–	1785 MHz	1805 MHz	–	1880 MHz	FDD
	8	880 MHz	–	915 MHz	925 MHz	–	960 MHz	
CA_3-20	3	1710 MHz	–	1785 MHz	1805 MHz	–	1880 MHz	FDD
	20	832 MHz	–	862 MHz	791 MHz	–	821 MHz	
CA_4-5	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	5	824 MHz	–	849 MHz	869 MHz	–	894 MHz	
CA_4-7	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	7	2500 MHz	–	2570 MHz	2620 MHz	–	2690 MHz	
CA_4-12	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	12	699 MHz	–	716 MHz	729 MHz	–	746 MHz	
CA_4-13	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	13	777 MHz	–	787 MHz	746 MHz	–	756 MHz	
CA_4-17	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	17	704 MHz	–	716 MHz	734 MHz	–	746 MHz	
CA_4-29	4	1710 MHz	–	1755 MHz	2110 MHz	–	2155 MHz	FDD
	29	N/A			717 MHz	–	728 MHz	
CA_5-12	5	824 MHz	–	849 MHz	869 MHz	–	894 MHz	FDD
	12	699 MHz	–	716 MHz	729 MHz	–	746 MHz	
CA_5-17	5	824 MHz	–	849 MHz	869 MHz	–	894 MHz	FDD
	17	704 MHz	–	716 MHz	734 MHz	–	746 MHz	
CA_7-20	7	2500 MHz	–	2570 MHz	2620 MHz	–	2690 MHz	FDD
	20	832 MHz	–	862 MHz	791 MHz	–	821 MHz	
CA_8-20	8	880 MHz	–	915 MHz	925 MHz	–	960 MHz	FDD
	20	832 MHz	–	862 MHz	791 MHz	–	821 MHz	
CA_11-18	11	1427.9 MHz	–	1447.9 MHz	1475.9 MHz	–	1495.9 MHz	FDD
	18	815 MHz	–	830 MHz	860 MHz	–	875 MHz	

5.2B Operating bands for UL-MIMO

E-UTRA UL-MIMO in Rel-10 is designed to operate in the operating bands defined in Table 5.2-1.

5.3 TX–RX frequency separation

- a) The default E-UTRA TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation is specified in Table 5.3-1 for the TX and RX channel bandwidths defined in Table 5.4.2.1-1

Table 5.3-1: Default UE TX-RX frequency separation

E-UTRA Operating Band	TX - RX carrier centre frequency separation
1	190 MHz
2	80 MHz.
3	95 MHz.
4	400 MHz
5	45 MHz
6	45 MHz
7	120 MHz
8	45 MHz
9	95 MHz
10	400 MHz
11	48 MHz
12	30 MHz
13	-31 MHz
14	-30 MHz
17	30 MHz
18	45 MHz
19	45 MHz
20	-41 MHz
21	48 MHz
22	100 MHz
23	180 MHz
24	-101.5 MHz
25	80 MHz
26	45 MHz
27	45 MHz
28	55MHz
31	10 MHz

- b) The use of other TX channel to RX channel carrier centre frequency separation is not precluded and is intended to form part of a later release.

5.3A TX–RX frequency separation for CA

For intra-band contiguous carrier aggregation, the same TX-RX frequency separation as specified in Table 5.3-1 is applied to PCC and SCC, respectively.

5.4 Channel arrangement

5.4.1 Channel spacing

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent E-UTRA carriers is defined as following:

$$\text{Nominal Channel spacing} = (\text{BW}_{\text{Channel}(1)} + \text{BW}_{\text{Channel}(2)})/2$$

where $\text{BW}_{\text{Channel}(1)}$ and $\text{BW}_{\text{Channel}(2)}$ are the channel bandwidths of the two respective E-UTRA carriers. The channel spacing can be adjusted to optimize performance in a particular deployment scenario.

5.4.1A Channel spacing for CA

For intra-band contiguous carrier aggregation bandwidth class C, the nominal channel spacing between two adjacent E-UTRA component carriers is defined as the following:

$$\text{Nominal channel spacing} = \left\lfloor \frac{BW_{\text{Channel}(1)} + BW_{\text{Channel}(2)} - 0.1|BW_{\text{Channel}(1)} - BW_{\text{Channel}(2)}|}{0.6} \right\rfloor 0.3 \text{ [MHz]}$$

where $BW_{\text{Channel}(1)}$ and $BW_{\text{Channel}(2)}$ are the channel bandwidths of the two respective E-UTRA component carriers according to Table 5.4.2-1 with values in MHz. The channel spacing for intra-band contiguous carrier aggregation can be adjusted to any multiple of 300 kHz less than the nominal channel spacing to optimize performance in a particular deployment scenario.

For intra-band non-contiguous carrier aggregation the minimum channel spacing between E-UTRA component carriers shall be larger than the nominal channel spacing defined in this subclause.

5.4.2 Channel bandwidth

Requirements in present document are specified for the channel bandwidths listed in Table 5.4.2-1

Table 5.4.2-1: Transmission bandwidth configuration N_{RB} in E-UTRA channel bandwidths

Channel bandwidth BW_{Channel} [MHz]	1.4	3	5	10	15	20
Transmission bandwidth configuration N_{RB}	6	15	25	50	75	100

Figure 5.4.2-1 shows the relation between the Channel bandwidth (BW_{Channel}) and the Transmission bandwidth configuration (N_{RB}). The channel edges are defined as the lowest and highest frequencies of the carrier separated by the channel bandwidth, i.e. at $F_C \pm BW_{\text{Channel}}/2$.

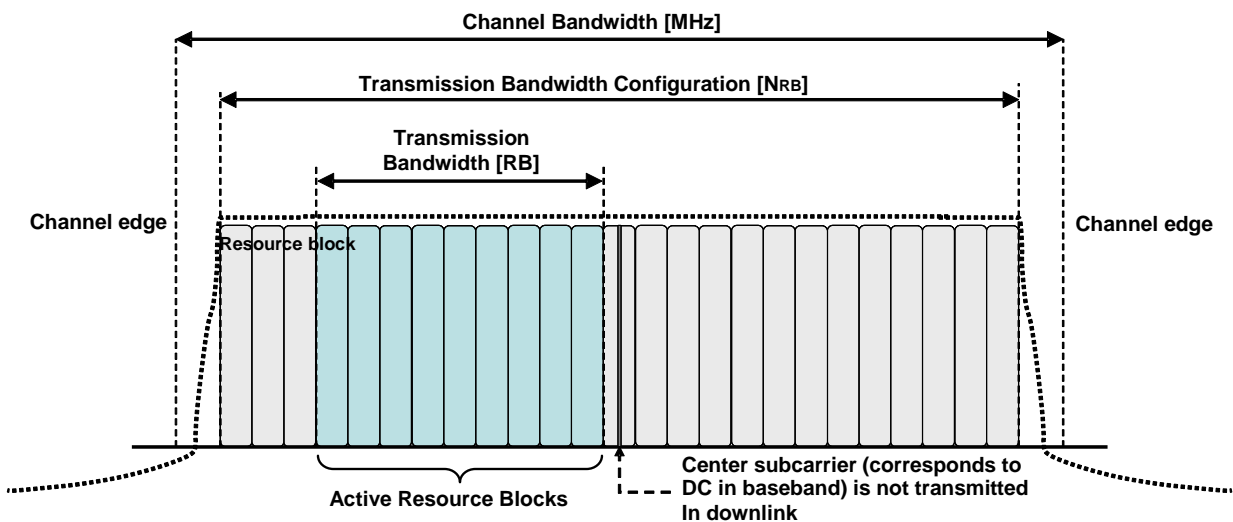


Figure 5.4.2-1: Definition of channel bandwidth and transmission bandwidth configuration for one E-UTRA carrier

5.4.2.1 Channel bandwidths per operating band

- a) The requirements in this specification apply to the combination of channel bandwidths and operating bands shown in Table 5.4.2.1-1. The transmission bandwidth configuration in Table 5.4.2-1 shall be supported for each of the specified supported channel bandwidths. The same (symmetrical) channel bandwidth is specified for both the TX and RX path.

Table 5.4.2.1-1: E-UTRA channel bandwidth

E-UTRA band / channel bandwidth						
E-UTRA Band	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
1			Yes	Yes	Yes	Yes
2	Yes	Yes	Yes	Yes	Yes ¹	Yes ¹
3	Yes	Yes	Yes	Yes	Yes ¹	Yes ¹
4	Yes	Yes	Yes	Yes	Yes	Yes
5	Yes	Yes	Yes	Yes ¹		
6			Yes	Yes ¹		
7			Yes	Yes	Yes ³	Yes ^{1,3]}
8	Yes	Yes	Yes	Yes ^[1]		
9			Yes	Yes	Yes ¹	Yes ¹
10			Yes	Yes	Yes	Yes
11			Yes	Yes ¹		
12	Yes	Yes	Yes ¹	Yes ¹		
13			Yes ¹	Yes ¹		
14			Yes ¹	Yes ¹		
...						
17			Yes ¹	Yes ¹		
18			Yes	Yes ¹	Yes ¹	
19			Yes	Yes ¹	Yes ¹	
20			Yes	Yes ¹	Yes ¹	Yes ¹
21			Yes	Yes ¹	Yes ¹	
22			Yes	Yes	Yes ¹	Yes ¹
23	Yes	Yes	Yes	Yes	Yes ^[1]	Yes ^[1]
24			Yes	Yes		
25	Yes	Yes	Yes	Yes	Yes ^[1]	Yes ^[1]
26	Yes	Yes	Yes	Yes ¹	Yes ¹	
27	Yes	Yes	Yes	Yes ¹		
28		Yes	Yes	Yes ¹	Yes ¹	Yes ^{1,2}
31	Yes	Yes ¹	Yes ¹			
...						
33			Yes	Yes	Yes	Yes
34			Yes	Yes	Yes	
35	Yes	Yes	Yes	Yes	Yes	Yes
36	Yes	Yes	Yes	Yes	Yes	Yes
37			Yes	Yes	Yes	Yes
38			Yes	Yes	Yes ³	Yes ³
39			Yes	Yes	Yes	Yes
40			Yes	Yes	Yes	Yes
41			Yes	Yes	Yes	Yes
42			Yes	Yes	Yes	Yes
43			Yes	Yes	Yes	Yes
44		Yes	Yes	Yes	Yes	Yes
Note 1:	refers to the bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (Clause 7.3) is allowed.					
Note 2:	For the 20 MHz bandwidth, the minimum requirements are specified for E-UTRA UL carrier frequencies confined to either 713-723 MHz or 728-738 MHz					
Note 3:	refers to bandwidth for which the uplink transmission bandwidth can be restricted by the network for some channel assignments in FDD/TDD co-existence scenarios in order to meet unwanted emissions requirements (Clause 6.6.3.2).					

- b) The use of different (asymmetrical) channel bandwidth for the TX and RX is not precluded and is intended to form part of a later release.

5.4.2A Channel bandwidth for CA

For intra-band contiguous carrier aggregation *Aggregated Channel Bandwidth*, *Aggregated Transmission Bandwidth Configuration* and *Guard Bands* are defined as follows, see Figure 5.4.2A-1.

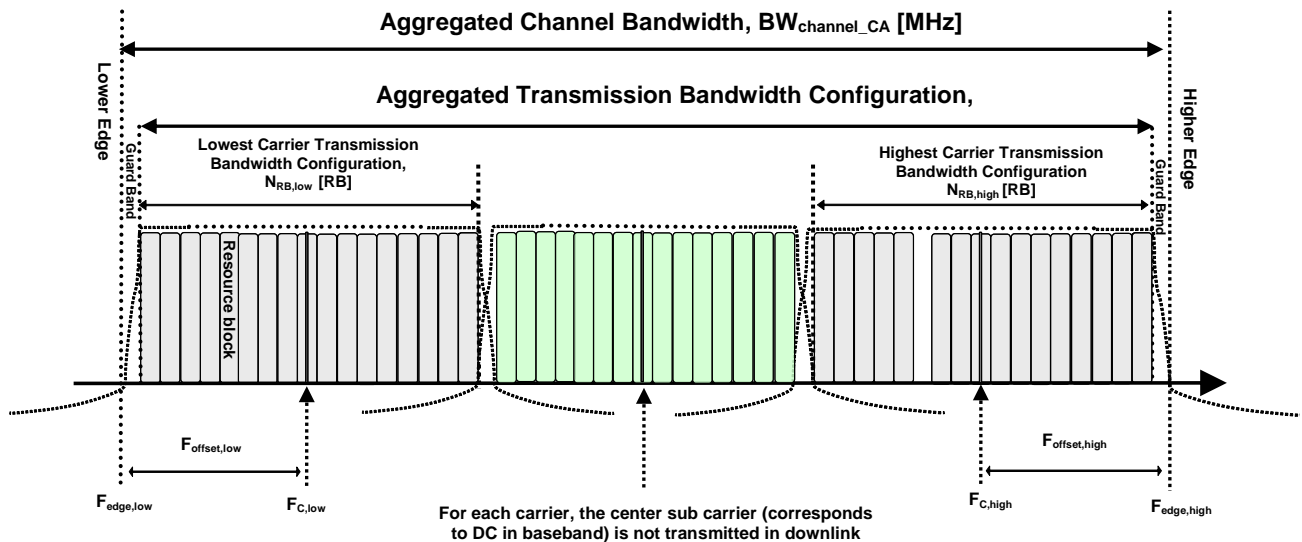


Figure 5.4.2A-1: Definition of Aggregated channel bandwidth and aggregated channel bandwidth edges

The *aggregated channel bandwidth*, $BW_{\text{Channel_CA}}$, is defined as

$$BW_{\text{Channel_CA}} = F_{\text{edge,high}} - F_{\text{edge,low}} \quad [\text{MHz}].$$

The lower bandwidth edge $F_{\text{edge,low}}$ and the upper bandwidth edge $F_{\text{edge,high}}$ of the aggregated channel bandwidth are used as frequency reference points for transmitter and receiver requirements and are defined by

$$F_{\text{edge,low}} = F_{C,\text{low}} - F_{\text{offset,low}}$$

$$F_{\text{edge,high}} = F_{C,\text{high}} + F_{\text{offset,high}}$$

The lower and upper frequency offsets depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carrier and are defined as

$$F_{\text{offset,low}} = 0.18N_{\text{RB,low}}/2 + BW_{\text{GB}} \quad [\text{MHz}]$$

$$F_{\text{offset,high}} = 0.18N_{\text{RB,high}}/2 + BW_{\text{GB}} \quad [\text{MHz}]$$

where $N_{\text{RB,low}}$ and $N_{\text{RB,high}}$ are the transmission bandwidth configurations according to Table 5.4.2-1 for the lowest and highest assigned component carrier, respectively. BW_{GB} denotes the *Nominal Guard Band* and is defined in Table 5.4.2A-1, and the factor 0.18 is the PRB bandwidth in MHz.

NOTE: The values of $BW_{\text{Channel_CA}}$ for UE and BS are the same if the lowest and the highest component carriers are identical.

Aggregated Transmission Bandwidth Configuration is the number of the aggregated RBs within the fully allocated *Aggregated Channel bandwidth* and is defined per CA Bandwidth Class (Table 5.4.2A-1).

Table 5.4.2A-1: CA bandwidth classes and corresponding nominal guard bands

CA Bandwidth Class	Aggregated Transmission Bandwidth Configuration	Maximum number of CC	Nominal Guard Band BW_{GB}
A	$N_{RB,agg} \leq 100$	1	$0.05BW_{Channel(1)}$
B	$N_{RB,agg} \leq 100$	2	FFS
C	$100 < N_{RB,agg} \leq 200$	2	$0.05 \max(BW_{Channel(1)}, BW_{Channel(2)})$
D	$200 < N_{RB,agg} \leq [300]$	FFS	FFS
E	$[300] < N_{RB,agg} \leq [400]$	FFS	FFS
F	$[400] < N_{RB,agg} \leq [500]$	FFS	FFS
Note 1: $BW_{Channel(1)}$ and $BW_{Channel(2)}$ are channel bandwidths of two E-UTRA component carriers according to Table 5.4.2-1.			

The channel spacing between centre frequencies of contiguously aggregated component carriers is defined in subclause 5.4.1A.

5.4.2A.1 Channel bandwidths per operating band for CA

The requirements for carrier aggregation in this specification are defined for carrier aggregation configurations with associated bandwidth combination sets. For inter-band carrier aggregation, a *carrier aggregation configuration* is a combination of operating bands, each supporting a carrier aggregation bandwidth class. For intra-band contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting a carrier aggregation bandwidth class.

For each carrier aggregation configuration, requirements are specified for all bandwidth combinations contained in a *bandwidth combination set*, which is indicated per supported band combination in the UE radio access capability. A UE can indicate support of several bandwidth combination sets per band combination. Furthermore, if the UE indicates support of a bandwidth combination set that is a superset of another applicable bandwidth combination set, the latter is supported by the UE even if not indicated.

Requirements for intra-band contiguous carrier aggregation are defined for the carrier aggregation configurations and bandwidth combination sets specified in Table 5.4.2A.1-1. Requirements for inter-band carrier aggregation are defined for the carrier aggregation configurations and bandwidth combination sets specified in Table 5.4.2A.1-2.

DL component carrier combinations for a given CA configuration shall be symmetrical in relation to channel centre unless stated otherwise in table 5.4.2A.1-1 or 5.4.2A.1-2.

Table 5.4.2A.1-1: E-UTRA CA configurations and bandwidth combination sets defined for intra-band contiguous CA

E-UTRA CA Configuration / Bandwidth combination set							
E-UTRA CA Configuration	E-UTRA Band	50RB+100RB (10 MHz + 20 MHz)	75RB+75RB (15 MHz + 15 MHz)	75RB+100RB (15MHz + 20 MHz)	100RB+100RB (20 MHz + 20 MHz)	Maximum aggregated bandwidth [MHz]	Bandwidth Combination Set
CA_1C	1		Yes		Yes	40	0
CA_7C	7		Yes		Yes	40	0
CA_38C	38		Yes		Yes	40	0
CA_40C	40	Yes	Yes		Yes	40	0
CA_41C	41	Yes	Yes	Yes	Yes	40	0
NOTE 1: The CA Configuration refers to an operating band and a CA bandwidth class specified in Table 5.4.2A-1 (the indexing letter). Absence of a CA bandwidth class for an operating band implies support of all classes.							
NOTE 2: For the supported CC bandwidth combinations, the CC downlink and uplink bandwidths are equal							

Table 5.4.2A.1-2: E-UTRA CA configurations and bandwidth combination sets defined for inter-band CA

E-UTRA CA configuration / Bandwidth combination set									
E-UTRA CA Configuration	E-UTRA Bands	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz	Maximum aggregated bandwidth [MHz]	Bandwidth combination set
CA_1A-5A	1				Yes			20	0
	5				Yes				
CA_1A-18A	1			Yes	Yes	Yes	Yes	35	0
	18			Yes	Yes	Yes			
CA_1A-19A	1			Yes	Yes	Yes	Yes	35	0
	19			Yes	Yes	Yes			
CA_1A-21A	1			Yes	Yes	Yes	Yes	35	0
	21			Yes	Yes	Yes			
CA_2A-17A	2			Yes	Yes			20	0
	17			Yes	Yes				
CA_2A-29A	2			Yes	Yes			20	0
	29		Yes	Yes	Yes			20	0
CA_3A-5A	3				Yes	Yes	Yes	30	0
	5			Yes	Yes				
	3				Yes				
CA_3A-7A	5			Yes	Yes			20	1
	3			Yes	Yes	Yes	Yes		
CA_3A-7A	3			Yes	Yes	Yes	Yes	40	0
	7				Yes	Yes	Yes		
CA_3A-8A	3				Yes	Yes	Yes	30	0
	8			Yes	Yes				
	3				Yes				
CA_3A-8A	8			Yes	Yes			20	1
	3				Yes				
CA_3A-20A	3			Yes	Yes	Yes	Yes	30	0
	20			Yes	Yes				
CA_4A-5A	4			Yes	Yes			20	0
	5			Yes	Yes				
CA_4A-7A	4			Yes	Yes			30	0
	7			Yes	Yes	Yes	Yes		
CA_4A-12A	4	Yes	Yes	Yes	Yes			20	0
	12			Yes	Yes				
CA_4A-13A	4			Yes	Yes	Yes	Yes	30	0
	13				Yes				
CA_4A-17A	4			Yes	Yes			20	0
	17			Yes	Yes				
CA_4A-29A	4			Yes	Yes			20	0
	29		Yes	Yes	Yes				
CA_5A-12A	5			Yes	Yes			20	0
	12			Yes	Yes				
CA_5A-17A	5			Yes	Yes			20	0
	17			Yes	Yes				
CA_7A-20A	7				Yes	Yes	Yes	30	0
	20			Yes	Yes				
CA_8A-20A	8			Yes	Yes			20	0
	20			Yes	Yes				
CA_11A-18A	11			Yes	Yes			25	0
	18			Yes	Yes	Yes			

NOTE 1: The CA Configuration refers to a combination of an operating band and a CA bandwidth class specified in Table 5.4.2A-1 (the indexing letter). Absence of a CA bandwidth class for an operating band implies support of all classes.

NOTE 2: For each band combination, all combinations of indicated bandwidths belong to the set

NOTE 3: For the supported CC bandwidth combinations, the CC downlink and uplink bandwidths are equal

5.4.2B Channel bandwidth for UL-MIMO

5.4.2B.1 Channel bandwidths per operating band for UL- MIMO

For UL-MIMO, the channel bandwidths specified in Table 5.4.2.1-1 in present document apply for the UL-MIMO operating bands.

5.4.3 Channel raster

The channel raster is 100 kHz for all bands, which means that the carrier centre frequency must be an integer multiple of 100 kHz.

5.4.3A Channel raster for CA

For carrier aggregation the channel raster is 100 kHz for all bands, which means that the carrier centre frequency must be an integer multiple of 100 kHz.

5.4.4 Carrier frequency and EARFCN

The carrier frequency in the uplink and downlink is designated by the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) in the range 0 - 65535. The relation between EARFCN and the carrier frequency in MHz for the downlink is given by the following equation, where F_{DL_low} and $N_{Offs-DL}$ are given in Table 5.4.4-1 and N_{DL} is the downlink EARFCN.

$$F_{DL} = F_{DL_low} + 0.1(N_{DL} - N_{Offs-DL})$$

The relation between EARFCN and the carrier frequency in MHz for the uplink is given by the following equation where F_{UL_low} and $N_{Offs-UL}$ are given in Table 5.4.4-1 and N_{UL} is the uplink EARFCN.

$$F_{UL} = F_{UL_low} + 0.1(N_{UL} - N_{Offs-UL})$$

Table 5.4.4-1: E-UTRA channel numbers

Band	Downlink			Uplink		
	F_{DL_low} (MHz)	$N_{Offs-DL}$	Range of N_{DL}	F_{UL_low} (MHz)	$N_{Offs-UL}$	Range of N_{UL}

1	2110	0	0 – 599	1920	18000	18000 – 18599
2	1930	600	600 – 1199	1850	18600	18600 – 19199
3	1805	1200	1200 – 1949	1710	19200	19200 – 19949
4	2110	1950	1950 – 2399	1710	19950	19950 – 20399
5	869	2400	2400 – 2649	824	20400	20400 – 20649
6	875	2650	2650 – 2749	830	20650	20650 – 20749
7	2620	2750	2750 – 3449	2500	20750	20750 – 21449
8	925	3450	3450 – 3799	880	21450	21450 – 21799
9	1844.9	3800	3800 – 4149	1749.9	21800	21800 – 22149
10	2110	4150	4150 – 4749	1710	22150	22150 – 22749
11	1475.9	4750	4750 – 4949	1427.9	22750	22750 – 22949
12	729	5010	5010 – 5179	699	23010	23010 – 23179
13	746	5180	5180 – 5279	777	23180	23180 – 23279
14	758	5280	5280 – 5379	788	23280	23280 – 23379
...						
17	734	5730	5730 – 5849	704	23730	23730 – 23849
18	860	5850	5850 – 5999	815	23850	23850 – 23999
19	875	6000	6000 – 6149	830	24000	24000 – 24149
20	791	6150	6150 – 6449	832	24150	24150 – 24449
21	1495.9	6450	6450 – 6599	1447.9	24450	24450 – 24599
22	3510	6600	6600 – 7399	3410	24600	24600 – 25399
23	2180	7500	7500 – 7699	2000	25500	25500 – 25699
24	1525	7700	7700 - 8039	1626.5	25700	25700 – 26039
25	1930	8040	8040 - 8689	1850	26040	26040 - 26689
26	859	8690	8690 - 9039	814	26690	26690 - 27039
27	852	9040	9040 – 9209	807	27040	27040 – 27209
28	758	9210	9210 – 9659	703	27210	27210 – 27659
29 ^c	717	9660	9660 – 9769		N/A	
31	462.5	9870	9870 – 9919	452.5	27760	27760 – 27809
...						
33	1900	36000	36000 – 36199	1900	36000	36000 – 36199
34	2010	36200	36200 – 36349	2010	36200	36200 – 36349
35	1850	36350	36350 – 36949	1850	36350	36350 – 36949
36	1930	36950	36950 – 37549	1930	36950	36950 – 37549
37	1910	37550	37550 – 37749	1910	37550	37550 – 37749
38	2570	37750	37750 – 38249	2570	37750	37750 – 38249
39	1880	38250	38250 – 38649	1880	38250	38250 – 38649
40	2300	38650	38650 – 39649	2300	38650	38650 – 39649
41	2496	39650	39650 - 41589	2496	39650	39650 - 41589
42	3400	41590	41590 – 43589	3400	41590	41590 – 43589
43	3600	43590	43590 – 45589	3600	43590	43590 – 45589
44	703	45590	45590 – 46589	703	45590	45590 – 46589

Note 1: The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used. This implies that the first 7, 15, 25, 50, 75 and 100 channel numbers at the lower operating band edge and the last 6, 14, 24, 49, 74 and 99 channel numbers at the upper operating band edge shall not be used for channel bandwidths of 1.4, 3, 5, 10, 15 and 20 MHz respectively.

Note 2: Restricted to E-UTRA operation when carrier aggregation is configured.

