# 3GPP TR 30.821 V0.4.0 (2011-09)

Technical Report

3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Telecommunication management; Project scheduling and open issues for SA5 (Release 11)





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Keywords Telecom management, OAM&P, Charging

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# Contents

| 1   | Scope  | 4                          |
|---|--|----------------------------|
| 2   | References   | 4                          |
| 1<br>1.1                                    | Charging for Network Provided Location Information for IMS<br>Charging for Network Provided Location Information for IMS (NWK-PL2IMS_CH) UID_490029  |                            |
| 2<br>2.1                                    | QoS Control Based on Subscriber Spending Limits  |                            |
| 3<br>3.1<br>3.1.1                           | OAM&P 11<br>Network In frastructure Management<br>IRP frame work enhancements to support Management of Converged Networks (OAM-FMC-IRP)  | 11                         |
| 3.1.2<br>3.1.3<br>3.2                       | UID_510056<br>Management for Carrier Aggregation for LTE (CA-OAM) UID_ 530049<br>Network Management for 3GPP Interworking WLAN (IWLAN-OAM&P) UID_530050<br>Performance Management  | 15<br>18<br>22             |
| 3.2.1<br>3.2.2                              | IMS Performance Management enhancements (OAM-ePM-IMS) UID_510057<br>Enhanced Management of UE based network performance measurements (OAM-ePM-UE)<br>UID_510058  | 23                         |
| 3.2.3<br>3.3<br>3.3.1<br>3.3.2              | CN performance measurements enhancement (OAM-ePM-CN) UID_520034<br>Self-Organizing Networks (SON) - OAM aspects<br>UTRAN Self-Organizing Networks (SON) management (OAM-SON-UTRAN) UID_510059<br>LTE Self-Organizing Networks (SON) coordination management (OAM-SON-COOR)<br>UID_510051                                   | 28<br>28                   |
| 4<br>4.1<br>4.2                             | Charging Management small Enhancements (CH11) UID_510052<br>Add solutions for Rc - reference point within the Online Charging System (OCS) (CH-Rc)<br>UID_470045 Moved from Rel-10<br>Charging for Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related<br>Data Bases (PEST-CH) UID_510060 | .33<br>33                  |
| 5   | Transit Inter Operator Identifier for IMS Interconnection Charging in multi operator environment (IOI_IMS_CH) UID_510029   |                            |
| 6<br>6.0<br>6.1<br>6.2<br>6.3<br>6.4<br>6.5 | Studies  | 40<br>41<br>44<br>48<br>52 |
| Anne  |  |                            |
| Anne  | x B: Change history  | .57                        |

# 1 Scope

The present document contains the up-to-date SA5 Work Item Descriptions (WIDs) and captures the status of all SA5 work items in the current Release.

This TR is used as a mean to provide input to the 3GPP work plan handled by MCC. Status list of Work items can be found in Annex A of the present document.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] <u>http://www.3gpp.org/ftp/Information/WORK\_PLAN/</u>
- [2] <u>http://www.3gpp.org/ftp/Information/WI\_Sheet/</u>

## 1 Charging for Network Provided Location Information for IMS

# 1.1 Charging for Network Provided Location Information for IMS (NWK-PL2IMS\_CH) UID\_490029

TSG SA Meeting #51SP-110125 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-110356 SA5#75, 24 - 28 Jan 2011; Sorrento, ITALY revision of SP-100638 TSG SA Meeting #49SP-100638 20-23 Sep 2010, San Antonio, USA

1 3GPP Work Area

|   | Radio Access |  |  |
|---|--------------|--|--|
| х | Core Network |  |  |
|   | Services     |  |  |

### 2 Classification of WI and linked work items

2.0 Primary classification

This work item is a ....

| 1115 W |                            |  |
|--------|----------------------------|--|
|        | Study Item (go to 2.1)     |  |
|        | Feature (go to 2.2)        |  |
| Х      | Building Block (go to 2.3) |  |
|        | Work Task (go to 2.4)      |  |

2.1 Study Item

| Related Work Item(s) (if any] |       |                        |
|-------------------------------|-------|------------------------|
| Unique ID                     | Title | Nature of relationship |
|                               |       |                        |

| Go | C | to | §3. |  |
|----|---|----|-----|--|
|    |   |    |     |  |

| 2.2 Feature | 2.2 Feature                            |                        |  |  |
|-------------|--|------------------------|--|--|
| Related Stu | Related Study Item or Feature (if any) |                        |  |  |
| Unique ID   | Title                                  | Nature of relationship |  |  |
|             |  |                        |  |  |

Go to §3.

2.3 Building Block

| Parent Feature (or Study Item) |   |   |  |
|--------------------------------|---|---|--|
| Unique ID                      | e ID   Title   TS                             |   |  |
| 480038                         | Network Provided Location Information for IMS | The present WI is a Building Block under this SA2-led<br>Rel-11 Feature |  |

This work item is ...

|   | Stage 1 (go to 2.3.1)   |  |
|---|-------------------------|--|
| Х | Stage 2 (go to 2.3.2)   |  |
| Х | Stage 3 (go to 2.3.3)   |  |
|   | Test spec (go to 2.3.4) |  |
|   | Other (go to 2.3.5)     |  |

2.3.1 Stage 1

| Source of external requirements (if any) |           |         |  |
|--|-----------|---------|--|
| Organization                             | Document  | Remarks |  |
| 3GPP SA1                                 | TS 22.115 |         |  |

 Go to §3.

 2.3.2
 Stage 2

 Corresponding stage 1 work item

 Unique ID
 Title

 TS

5

| Other source of stage 1 information |        |         |  |  |
|-------------------------------------|--------|---------|--|--|
| TS or<br>CR(s)                      | Clause | Remarks |  |  |
|                                     |        |         |  |  |
|                                     |        |         |  |  |

6

If no identified source of stage 1 information, justify: Go to §3.

2.3.3 Stage 3

| 2.0.0                                    | Cagoo |    |  |
|--|-------|----|--|
| Corresponding stage 2 work item (if any) |       |    |  |
| Unique                                   | Title | TS |  |
| ID                                       |       |    |  |
|  |       |    |  |

| Else, corresponding stage 1 work item |       |               |  |
|---------------------------------------|-------|---------------|--|
| Unique ID                             | Title | TS            |  |
| -                                     | -     | SA1 TS 22.115 |  |

| Other justification                 |        |         |  |
|-------------------------------------|--------|---------|--|
| TS or CR(s)<br>Or external document | Clause | Remarks |  |
|                                     |        |         |  |

If no identified source of stage 2 information, justify:

| Go to §3.   |           | 0 |    |
|-------------|-----------|---|----|
|             | est spec  |   |    |
| Related Wor | k Item(s) |   |    |
| Unique ID   | Title     |   | TS |
|             |           |   |    |

Go to §3.

| 2.3.5     | Other                |                        |         |  |  |
|-----------|----------------------|------------------------|---------|--|--|
| Related W | Related Work Item(s) |                        |         |  |  |
| Unique ID | Title                | Nature of relationship | TS / TR |  |  |
|           |                      |                        |         |  |  |

Go to §3.

| 2.4 VV0N              | K TASK |    |  |
|-----------------------|--------|----|--|
| Parent Building Block |        |    |  |
| Unique ID             | Title  | TS |  |
|                       |        |    |  |

3 Justification

In the IMS Charging, location information is provided currently by the UE via SIP P-Access-Network-Information header. As this information provided by the UE cannot be trusted, it is not usable for a list of features:

IMS Charging records need the current location information. The CDRs generated within IMS have to contain network provided location information, e.g. cell-ID. This applies for all CDRs from any user/session.

The destination for VoIP emergency calls shall be recorded by IMS Charging with trusted location information.

IMS Charging needs the location information to charge localized services. Network provided location information shall be available to any IMS AS subject to appropriate charging and billing.

For this reason it is required that a network trusted location information both for mobile and for fixed networks is provided.

4 Objective

The intention of this work item is to specify the solutions for IMS Charging with trusted Location information, e.g. add the cell-ID / PLMN ID the UE is camped on available for LTE to the IMS Charging when the operator needs to record this information for charging purposes.

5 Service Aspects

Not identified in this work item.

6 MMI-Aspects

N/A

7 Charging Aspects

Trusted location information shall be added to IMS Charging. The location information shall be provided for both offline and online charging mechanisms, irrespective of the access type, and across a comprehensive set of services. Additionally, network operators require that this information can be exchanged between and evaluated by several network operators.

8 Security Aspects

Not identified in this work item.

9 Impacts

| Affects:   | UICC apps | ME | AN | CN | Others |
|------------|-----------|----|----|----|--------|
| Yes        |           |    |    | Х  |        |
| No         | Х         | Х  |    |    |        |
| Don't know |           |    | х  |    | Х      |

10 Expected Output and Time scale

| New spe     | ecrica | ations   |                   |                              |               |          |                                   |                      |
|-------------|--------|--|-------------------|------------------------------|---------------|----------|-----------------------------------|----------------------|
| [If Study   | ltem,  | one TR is anticip  | pated]            |                              |               |          |                                   |                      |
| Spec<br>No. | Title  | Prime rsp. WG  | 2ndary rsp. WG(s) | Presented for in<br>plenary# | nformation at | Approve  | ed at plenary#                    | Comments             |
|             |        | ting specifications  |                   |                              |               |          |                                   |                      |
| L           |        | ,  | 6]                |                              |               |          |                                   |                      |
| Spec<br>No. | CR     | Subject  |                   |                              | Approved at p | olenary# | Comments                          |                      |
| 32.240      |        | Charging information utilization   |                   |                              | SA#54 (12/20  | )11)     | Stage 2:Chargii<br>principles     | ng architecture and  |
| 32.260      |        | Describe the addition of Network provide loca<br>information to IMS Charging |                   | vide location                | SA#54 (12/20  | )11)     | Stage 2: IMS Cl<br>and principles | narging architecture |
| 32.298      |        | Define the corresponding ASN.1 definition                                    |                   | nition                       | SA#55 (03/20  | 12)      | Stage 3: CDR d                    | lefinition           |

7

11 Work item rapporteur(s)

Gerald Görmer, Nokia Siemens Networks

### 12 Work item leadership

SA5

| 13 Supporting Individual Members |  |
|----------------------------------|--|
| Supporting IM name               |  |
| AT&T                             |  |
| Deutsche Telekom                 |  |
| Ericsson                         |  |
| Nokia Siemens Networks           |  |
| Openet                           |  |
|                                  |  |

# 2 QoS Control Based on Subscriber Spending Limits

## 2.1 Charging for QoS Control Based on Subscriber Spending Limits (QoS\_SSL) UID\_500029

3GPP TSG SA Meeting #53 SP-110519 Fukoka, Japan; 19-21 Sep 2011 3GPP TSG-SA5 (Telecom Management) S5-112662 SA5#78, 22-26 August 2011, Istanbul, Turkey

Source: SA5 Title: Revised SP-100644 WID on QoS Control Based on Subscriber Spending Limits (QoS\_SSL) Document for:Approval Agenda Item: 7.2 New Charging Work Item proposals

| 1 3G | 1 3GPP Work Area * |  |  |
|------|--------------------|--|--|
|      | Radio Access       |  |  |
| Х    | Core Network       |  |  |
| Х    | Services           |  |  |

2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... \*

|   | Study Item (go to 2.1)     |
|---|----------------------------|
| Х | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
|   | Work Task (go to 2.4)      |

2.1 Study Item

| Related Work Item(s) (if any] |       |                        |  |
|-------------------------------|-------|------------------------|--|
| Unique ID                     | Title | Nature of relationship |  |
|                               |       |                        |  |

| Go to §3.    |                               |                        |
|--------------|-------------------------------|------------------------|
| 2.2 Feature  |                               |                        |
| Related Stud | dy Item or Feature (if any) * |                        |
| Unique ID    | Title                         | Nature of relationship |
|              |                               |                        |

Go to §3.

| 2.3 Building | 2.3 Building Block             |    |  |  |
|--------------|--------------------------------|----|--|--|
| Parent Feat  | Parent Feature (or Study Item) |    |  |  |
| Unique ID    | Title                          | TS |  |  |
|              |                                |    |  |  |

This work item is ... \*

| Х | Stage 1 (go to 2.3.1)   |
|---|-------------------------|
| Х | Stage 2 (go to 2.3.2)   |
| Х | Stage 3 (go to 2.3.3)   |
|   | Test spec (go to 2.3.4) |
|   | Other (go to 2.3.5)     |

2.3.1 Stage 1

| Source of external requirements (if any) * |                  |  |  |  |  |
|--|------------------|--|--|--|--|
| Organization                               | Document Remarks |  |  |  |  |
|  |                  |  |  |  |  |

Go to §3.

2.3.2 Stage 2 \*

Corresponding stage 1 work item

8

| Unique ID | Title | TS |
|-----------|-------|----|
|           |       |    |

9

| Other souro    | e of stage 1 information |         |
|----------------|--------------------------|---------|
| TS or<br>CR(s) | Clause                   | Remarks |
|                |                          |         |

If no identified source of stage 1 information, justify: \* Go to §3.

2.3.3 Stage 3 \*

| Corresponding stage 2 work item (if any) |       |    |  |
|--|-------|----|--|
|  | Title | TS |  |
|  |       |    |  |

TS

| Else, corresponding stage 1 work item |       |  |  |  |  |
|---------------------------------------|-------|--|--|--|--|
| Unique ID                             | Title |  |  |  |  |

| Other justification  |        |         |
|----------------------|--------|---------|
| TS or CR(s)          | Clause | Remarks |
| Or external document |        |         |
|                      |        |         |

If no identified source of stage 2 information, justify: \* Go to  $\S3$ .

| 2.3.4 T    | est spec * |    |
|------------|------------|----|
| Related Wo | rk Item(s) |    |
| Unique ID  | Title      | TS |
|            |            |    |

### Go to §3.

| 2.3.5     | Other *     |                        |         |
|-----------|-------------|------------------------|---------|
| Related W | ork Item(s) |                        |         |
| Unique ID | Title       | Nature of relationship | TS / TR |
|           |             |                        |         |

Go to §3.

2.4 Work task \*

| Parent Building Block |       |    |  |  |  |
|-----------------------|-------|----|--|--|--|
| Unique ID             | Title | TS |  |  |  |
|                       |       |    |  |  |  |

3 Justification \*

Currently, a mobile operator has several means to control subscribers' usage of the network resources, such as admission control policies, negotiation of QoS at session setup, etc. However, a mobile operator needs to have a much finer granularity of control of the subscribers' usage of the network resources by linking the subscribers' data session QoS with a spending limit. This gives the operator the ability to deny a subscriber access to particular services if the subscriber's data session could be modified when this spending level is reached. This could be done depending on e.g. the type of service being used by the subscriber, the subscriber's spending limit and amount already spent, and operator's charging models. This allows the operator to have an additional means of shaping the subscriber's traffic in order to avoid subscribers monopolising the network resource at any one time. Since support for roaming scenarios is needed, it needs to be possible to provide support for roaming subscribers without having dedicated support in the visited network.

Upon triggers based on the operator's charging models, the subscriber could be given the opportunity to purchase additional credit that increases the spending limit.

4 Objective \*

Stage 1 objectives. To provide use cases and service requirements that allow:

Modification of QoS based on subscriber's spending limits

Enforcing of spending limits for roaming subscribers without having dedicated support in the visited network The work item is expected to create CRs to TS 22.115.

Stage 2 objectives:

Provide stage 2 specification for the requirements identified in TS 22.115.

SA5 TS 32.240 for charging document umbrella

SA5 TS 32.296 for the modification of the OCS architecture and logical function definition for spending limit control

SA5 TS 32.299 for potential impact on Diameter application description

5 Service Aspects

This work item aims to provide the operator a means to control access to certain services and QoS of data sessions based on a subscriber's spending limits.

6 MMI-Aspects

None identified.

7 Charging Aspects

Charging aspects are part of this work item.

8 Security Aspects None identified.

9 Impacts \*

Affects:UICC<br/>appsMEANCNOthersYesxxxNoxxxDon't knowxxX

10 Expected Output and Time scale \*

| New sp   | ecítica | ations *                                   |                       |                              |  |          |                     |                               |          |          |  |
|----------|---------|--|-----------------------|------------------------------|--|----------|---------------------|-------------------------------|----------|----------|--|
| . ,      | ltem    | , one TR is antici                         | ipated]               |                              |  |          |                     |                               |          |          |  |
| Spec     | Title   | Prime rsp. WG                              | 2ndary rsp. WG(s)     | Presented for information at |  |          | n at                | Approved at plenary# Comments |          | Comments |  |
| No.      |         |  |                       | plenary#                     | #  |          |                     |                               |          |          |  |
|          |         |  |                       |                              |  |          |                     |                               |          |          |  |
|          |         | ting specification                         |                       |                              |  |          |                     |                               |          |          |  |
| [None in | the c   | case of Study Ite                          | ms]                   |                              |  |          |                     |                               |          |          |  |
| Spec     | CR      | Subject                                    |                       |                              |  | Approv   | ed at plenar        | y#                            | Comments |          |  |
| No.      |         |  |                       |                              | -  |          |                     |                               |          |          |  |
| 22.115   |         |  |                       |                              | SA#53  | (Sep     | Stage 1 CRs         |                               |          |          |  |
|          |         |  |                       |                              | 2011)  |          |                     |                               |          |          |  |
|          |         |  |                       |                              |  | 0.1.0.01 |                     |                               |          |          |  |
| 23.203   |         | Policy and charging control architecture   |                       | ture                         | SA#54(Dec SA2 Sta<br>2011)                     |          | SA2 Stage           | 5                             |          |          |  |
| 32.240   |         | Potential new description for charging     |                       | ng                           | , U  |          | SA5 Stage           | 2 CRs                         |          |          |  |
|          |         | document umbrella 2012)                    |                       |                              |  |          |                     |                               |          |          |  |
| 32.296   |         | New feature description will be considered |                       | idered                       | ``   |          | SA5 Stage 2 CRs     |                               |          |          |  |
|          |         |  |                       |                              | 2012) Modification of the OCS architecture and |          | nd logical function |                               |          |          |  |
|          |         |  |                       |                              |  |          |                     | or spending limit             | control  |          |  |
| 32.299   |         |  | t on Diameter applica | ation                        | SA#56  | (Jun     | SA5 Stage           |                               |          |          |  |
|          |         | description w ill b                        | be considered         |                              | 2012)  |          |                     | on description will be        |          |          |  |
|          |         | [  |                       |                              |  |          | considered          |                               | 1        |          |  |
| 1        | 1       |  |                       |                              |  | 1        |                     |                               | 1        |          |  |

11 Work item rapporteur(s) \*

SA1: Mona Mustapha, Vodafone

SA2: TBD

SA5: Gerald GOERMER (Nokia Siemens Networks), Mingjun SHAN (Huawei)

12 Work item leadership \*

SA1

| Supporting IM name     |
|------------------------|
| Vodafone               |
| Verizon Wireless       |
| AT&T                   |
| Alcatel-Lucent         |
| Ericsson               |
| NTT DoCoMo             |
| NEC                    |
| Nokia Siemens Networks |
| Openet                 |
| Huawei                 |
| China Mobile           |
| ZTE                    |

## 3 OAM&P 11

## 3.1 Network Infrastructure Management

### 3.1.1 IRP framework enhancements to support Management of Converged Networks (OAM-FMC-IRP) UID\_510056

TSG SA Meeting #51SP-110139 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-111490 SA5#76, 28 Feb - 4 Mar 2011; San Diego, USA *revision of S5-111199* 1 3GPP Work Area \*

| JOFF  | WUIK Alea |
|-------|-----------|
| <br>5 |           |

| х | Radio Access |
|---|--------------|
| х | Core Network |
|   | Services     |
|   |              |

2 Classification of WI and linked work items

2.0 Primary classification  $\,^*$ 

This work item is a ... \*

|   | Study Item (go to 2.1)     |
|---|----------------------------|
| Х | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
|   | Work Task (go to 2.4)      |

### 2.1 Study Item

| Related Work Item(s) (if any] |       |                        |
|-------------------------------|-------|------------------------|
| Unique ID                     | Title | Nature of relationship |
|                               |       |                        |

Go to §3.

| 2.2 Feature                              |       |                        |
|--|-------|------------------------|
| Related Study Item or Feature (if any) * |       |                        |
| Unique ID                                | Title | Nature of relationship |
|  |       |                        |

Go to §3.

| 2.3 Building Block             |       |    |
|--------------------------------|-------|----|
| Parent Feature (or Study Item) |       |    |
| Unique ID                      | Title | TS |
|                                |       |    |

This work item is ... \*

| Stage 1 (go to 2.3.1)   |  |
|-------------------------|--|
| Stage 2 (go to 2.3.2)   |  |
| Stage 3 (go to 2.3.3)   |  |
| Test spec (go to 2.3.4) |  |
| Other (go to 2.3.5)     |  |

2.3.1 Stage 1

| Source of external requirements (if any) * |          |         |
|--|----------|---------|
| Organization                               | Document | Remarks |
|  |          |         |

Go to §3.

| 2.3.2 | Stage 2 * |
|-------|-----------|
|       |           |

| Corresponding stage 1 work item |       |    |
|---------------------------------|-------|----|
| Unique ID                       | Title | TS |
|                                 |       |    |

| Other source of stage 1 information |        |         |
|-------------------------------------|--------|---------|
| TS or                               | Clause | Remarks |
| CR(s)                               |        |         |
|                                     |        |         |

#### If no identified source of stage 1 information, justify:\*

Go to §3.

| 2.3.3                                    | Stage 3 * |    |
|--|-----------|----|
| Corresponding stage 2 work item (if any) |           |    |
| Unique ID                                | Title     | TS |
|  |           |    |

| Else, corresponding stage 1 work item |       |    |  |  |
|---------------------------------------|-------|----|--|--|
| Unique ID                             | Title | TS |  |  |
|                                       |       |    |  |  |

| Other justification  |        |         |  |  |
|----------------------|--------|---------|--|--|
| TS or CR(s)          | Clause | Remarks |  |  |
| Or external document |        |         |  |  |
|                      |        |         |  |  |

### If no identified source of stage 2 information, justify:\*

| Go to §3.  |            |    |
|------------|------------|----|
| 2.3.4 T    | est spec * |    |
| Related Wo | rk Item(s) |    |
| Unique ID  | Title      | TS |
|            |            |    |

Go to §3.

| 2.3.5 (    | Other *              |                        |       |  |
|------------|----------------------|------------------------|-------|--|
| Related Wo | Related Work Item(s) |                        |       |  |
| Unique ID  | Title                | Nature of relationship | TS/TR |  |
|            |                      |                        |       |  |

Go to §3.

| 2.4 Wor     | k task *              |    |  |  |
|-------------|-----------------------|----|--|--|
| Parent Buik | Parent Building Block |    |  |  |
| Unique ID   | Title                 | TS |  |  |
|             |                       |    |  |  |

### 3 Justification \*

In a fixed mobile convergent (FMC) network, the services offered to end users need resources from networks of different technologies.

NM standards of different technologies are the responsibilities of various standardization organizations (SDOs). Various SDOs may have defined different NM protocols to manage their respective network resources. Their managed resource models are mostly different from one another. Different parts of the large FMC network may be managed by different organizations.

Our key challenge of IRP Framework Enhancements in support of Management of Converged Networks is to recommend a set of specifications whose implementations would a) reduce FMC operators' CAPEX and OPEX and b) facilitate OSS to integrate the various NM services consumed into the so-called "end-to-end" view of the FMC network under management.

Since definitions of network resource models are from different SDOs and the provision of the "end-to-end" view requires defined relations among network resource models, this WI will produce a model architecture, called Federated Network Model (FNM), that identifies various network resource models and their relations among each other.

Since some specifications recommended, including the network resource models, are from other SDO than 3GPP, this WI needs also to produce recommendations on NM standard governance and working procedures with SDOs involved. 4 Objective \*

Based on the recommendations and studied results of [1, 2, 3], the objectives of this WI are to:

Create a new TS series on Management of Converged Networks; its stage 1 specification will capture the Requirements, benefits, context and use cases for the Management of Converged Networks; its stage 2 specification will capture the list of NRM IRPs and Interface IRPs that support the requirements for Management of Converged Networks; this new TS series will also capture the Working Procedures and Governance that are required to be established to support requirements for Management of Converged Networks.

Issue CRs to "TS 32.101: Telecommunication management; Principles and high level requirements" to capture the concept, general requirement and FNM;

Issue CRs to "TS 32.622: Telecommunication management; Configuration Management (CM); Generic network resources Integration Reference Point (IRP); Network Resource Model (NRM)" to align its model to support the Management of Converged Networks;

Issue CRs to various NRM IRP specifications (e.g. Transport Network Interface IRP) to support the Management of Converged Networks;

Issue CRs to "TS 32.152: Telecommunication management; IRP IS UML repertoire to capture new stereotypes required for the support of Resource Model Enhancements in support of Management of Converged Networks;

Keep backward compatibility with existing 3GPP NRMs as much as possible.

This WI will also take into account use cases and requirements developed by NGMN relating to the management of converged networks.

Ref [1]: 3GPP TR 32.833: Study on Management of Converged Networks;

Ref [2]: 3GPP TR 32.828: Study on Alignment of 3GPP Generic NRM IRP and TMF SID Model;

Ref [3]: 3GPP TR 32.831: Study on Alignment of 3GPP Performance Management and TIP Performance Management; 5 Service Aspects

- No impact.
- 6 MMI-Aspects
- No impact.
- 7 Charging Aspects

No impact.

8 Security Aspects

No impact.

9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  | Х  |        |
| No         | Х    | Х  |    |    | Х      |
| Don't know |      |    |    |    |        |

10 Expected Output and Time scale \*

|            |               | ne TR is anticip |            |             |                | - 1.4       |          |
|------------|---------------|------------------|------------|-------------|----------------|-------------|----------|
| Spec No.   | Title         |                  | Prime      | ,           | Presented for  | Approved at | Comments |
|            |               |                  | rsp. WG    |             | information at | plenary#    |          |
| 00.104     | Manaa         | and and af       | 0.45       |             | plenary#       | Manah       |          |
| 32.xy1     |               | gement of        | SA5        |             | Dec 2011 SA-   |             |          |
|            |               | rged Networks;   |            |             | 54             | 2012 SA-    |          |
|            |               | rements          |            |             |                | 55          |          |
| 32.xy2     |               | gementof         | SA5        |             | Dec 2011 SA-   |             |          |
|            |               | rged Networks;   |            |             | 54             | 2012 SA-    |          |
|            | Stage         | 2                |            |             |                | 55          |          |
| 32.xy3     | Manag         | gement of        | SA5        |             | Dec 2011 SA-   | March       |          |
| •          | Conve         | rged Networks;   |            |             | 54             | 2012 SA-    |          |
|            |               | nance &          |            |             |                | 55          |          |
|            | Workir        | ng Procedures    |            |             |                |             |          |
| Affected e |               | specifications * | 1          |             |                |             |          |
|            |               | se of Study Item | sl         |             |                |             |          |
| Spec No.   | CR            | Subject          |            |             | Approved a     | at plenary# | Comments |
| 32.xyz     |               | Various NRM IF   | ۲Ps        |             | December       | 2011 SA-54  |          |
| 32.101     |               | Principles and I | high level | requireme   | nts December   | 2011 SA-54  |          |
| 32.152     |               | UML repertoire   | •          |             | December       | 2011 SA-54  |          |
| 32.622     |               | Generic networ   |            | es Integrat | ion December   | 2011 SA-54  |          |
|            |               | Referenœ Poir    |            | •           |                |             |          |
|            | Resource Mode |                  |            |             |                |             |          |

11 Work item rapporteur(s)

Thomas Tovinger, Ericsson

Olaf Pollakowski, Nokia Siemens Networks

12 Work item leadership \*

SA5

| Supporting IM name     |
|------------------------|
| Alcatel-Lucent         |
| Ericsson               |
| Huawei                 |
| Motorola               |
| NEC                    |
| Nokia Siemens Networks |

3GPP

### 3.1.2 Management for Carrier Aggregation for LTE (CA-OAM) UID\_ 530049

3GPP TSG SA Meeting #53SP-110520 Fukoka, Japan; 19-21 Sep 2011 3GPP TSG SA WG5 (Telecom Management) Meeting #78 S5-112694 22 - 26 August 2011; Istanbul, Turkey *revision of S5-112339* 

1 3GPP Work Area \*

| Х | Radio Access |
|---|--------------|
|   | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

 This work item is a ... \*

 Study Item (go to 2.1)

 Feature (go to 2.2)

 Building Block (go to 2.3)

 X
 Work Task (go to 2.4)

2.1 Study Item

| Related Wo |       |                        |  |  |
|------------|-------|------------------------|--|--|
| Unique ID  | Title | Nature of relationship |  |  |
|            |       |                        |  |  |

### Go to §3.

| Related Stu | dy Item or Feature (if any) * |                        |
|-------------|-------------------------------|------------------------|
| Unique ID   | Title                         | Nature of relationship |
|             |                               |                        |

### Go to §3.

| 2.3 Building E | block   |     |
|----------------|---|-----|
| Parent Featur  | re (or Study Item)  |     |
| Unique ID      | Title   | TS  |
| 510051         | Rel-11 Operations, Administration, Maintenance and Provisioning (OAM&P) | N/A |

This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |  |
|---|-------------------------|--|
|   | Stage 2 (go to 2.3.2)   |  |
| Х | Stage 3 (go to 2.3.3)   |  |
|   | Test spec (go to 2.3.4) |  |
|   | Other (go to 2.3.5)     |  |

2.3.1 Stage 1

| Source of external requirements (if any) * |          |         |  |
|--|----------|---------|--|
| Organization                               | Document | Remarks |  |
|  |          |         |  |

Go to §3.

| 2.3.2 S                         | Stage 2 * |    |  |
|---------------------------------|-----------|----|--|
| Corresponding stage 1 work item |           |    |  |
| Unique ID                       | Title     | TS |  |
|                                 |           |    |  |

| Other source of stage 1 information |        |         |  |  |
|-------------------------------------|--------|---------|--|--|
| TS or                               | Clause | Remarks |  |  |
| CR(s)                               |        |         |  |  |
|                                     |        |         |  |  |

If no identified source of stage 1 information, justify: \* Go to  $\S3.$ 

15

### 2.3.3 Stage 3 \*

| <u> </u> |  |  |
|----------|--|--|
| Correspo | nding stage 2 work item (if any)                         |  |
| Unique   | Title  | TS   |
| ID       |  |  |
| 460007   | Carrier Aggregation for LTE (LTE_CA)                     | TSG RAN Rel-10 Feature   |
| 460107   | Core part: Carrier Aggregation for LTE<br>(LTE_CA-Core)  | 36.101, 36.104, 36.133, 36.211, 36.212, 36.213, 36.300, 36.302, 36.306, 36.321, 36.331, 36.413, 36.423, 37.104 |
| 460207   | Perf. part: Carrier Aggregation for LTE<br>(LTE_CA-Perf) | 36.101, 36.104, 36.133, 36.141, 37.141, new TR (36.807, 36.808)  |

16

| Else, corresponding stage 1 work item |       |    |  |
|---------------------------------------|-------|----|--|
| Unique ID                             | Title | TS |  |
|                                       |       |    |  |

## Other justification

| TS or CR(s)          | Clause | Remarks |
|----------------------|--------|---------|
| Or external document |        |         |
|                      |        |         |

If no identified source of stage 2 information, justify: \*

Go to §3.

2.3.4 Test spec \*

| Related Work Item(s) |       |    |  |
|----------------------|-------|----|--|
| Unique ID            | Title | TS |  |
|                      |       |    |  |

Go to §3.

| 2.3.5 C    | Other *    |                        |         |
|------------|------------|------------------------|---------|
| Related Wo | rk Item(s) |                        |         |
| Unique ID  | Title      | Nature of relationship | TS / TR |
|            |            |                        |         |

Go to §3.

2.4 Work task \*

| Parent Building Blo | ck   |     |
|---------------------|--|-----|
| Unique ID           | Title  | TS  |
| 510151              | Network Infrastructure Management            | N/A |
| 510251              | Performance Management                       | N/A |
| 510351              | Self-Organizing Networks (SON) - OAM aspects | N/A |
|                     |  |     |

3 Justification \*

*Carrier Aggregation* (CA) in LTE is a feature supported from ReI-10. In *Carrier Aggregation* (CA), two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths (up to 100MHz).

CCs are LTE Rel-8/9 compatible. Nevertheless, existing mechanisms (e.g. barring) may be used to avoid Rel-8/9 UEs to camp on a CC.

CA is supported for both contiguous and non-contiguous CCs.

CCs originating from the same eNB need not to provide the same coverage.

When CA is configured, the UE only has one RRC connection with the network.

At RRC connection establishment/re-establishment/handover, only the Primary Cell (PCell) provides the NAS mobility information (e.g. TAI), and at RRC connection re-establishment/handover, only the PCell provides the security input. PCell can only be changed with handover procedure (i.e. with security key change and RACH procedure).

Depending on UE capabilities, Secondary Cells (SCells) can be configured to form together with the PCell a set of serving cells. For each SCell the usage of uplink resources by the UE in addition to the downlink ones is configurable (the number of DL SCCs (*Secondary Component Carriers*) configured must always be larger or equal to the number of UL SCCs and no SCell can be configured for usage of uplink resources only).

To support CA, the management aspects required for CA also need to be addressed.

The management aspects that need to be particularly taken into account for CA include:

performance measurements and KPIs to evaluate the E-UTRAN that supports CA, for example how to measure number of users/E-RABs in the measured EUtran Cell which acts as Pcell or Scell for some UEs;

required configurations to support CA;

enhancements to support SON functionalities for CA in NM-centralized, EM-centralized and distributed SON architectures, e.g., to take the radio bearer reconfiguration/failures with Scell(s) also into account in MRO; energy saving perspective in CA.

4 Objective \*

The objectives of this work item are to:

identify required performance measurements and KPIs to support CA management

specify required configurations for CA management

identify the use cases and requirements for SON functionality Self-establishment of eNodeB, E-UTRAN ANR, MRO, MLB, CCO and Self-healing in CA, and provide solutions

identify the use cases and requirements for Energy Saving in CA and provide solution extensions

address other management aspects for CA if any

To fulfil the objectives above, coordination with RAN groups may be needed.

To minimize the backward impact introduced by CA management, the existing mechanisms and definitions shall be reused as much as possible.

5 Service Aspects

N/A 6 MMI-Aspects

N/A

7 Charging Aspects

N/A 8 Security Aspects

N/A

9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  |    |        |
| No         | Х    |    |    | Х  | Х      |
| Don't know |      | Х  |    |    |        |

10 Expected Output and Time scale \*

| New specifications *                |    |
|-------------------------------------|----|
| If Study Item one TR is anticipated | 11 |

| Spec     | Title   | Prime rsp.      | 2ndary rsp. WG(s) | Presented for info | rmation at plenary# | Approved at           | Comments   |
|----------|---------|-----------------|-------------------|--------------------|---------------------|-----------------------|------------|
| No.      |         | WG              |                   |                    |                     | plenary#              |            |
|          |         |                 |                   |                    |                     |                       |            |
| Affected | d exist | ing specificati | ons *             |                    |                     |                       |            |
|          |         | ase of Study    |                   |                    |                     |                       |            |
| Spec     | CR      | Subject         | Approved a        | at plenary#        | Comments            |                       |            |
| No.      |         |                 |                   |                    |                     |                       |            |
| 32.425   |         |                 | SA#57 Sep         | 2012               | E-UTRAN perf        | ormance measureme     | nts        |
| 32.761   |         |                 | SA#57 Sep         | 2012               | E-UTRAN NRI         | MIRP Requirements     |            |
| 32.762   |         |                 | SA#57 Sep         |                    |                     | VIRP Information Ser  | vice       |
| 32.766   |         |                 | SA#57 Sep         | 2012               | E-UTRAN NRI         | M IRP Solution Sets   |            |
| 32.521   |         |                 | SA#57 Sep         | 2012               |                     | RM IRP Requirements   |            |
| 32.522   |         |                 | SA#57 Sep         | 2012               |                     | RM IRP Information S  | ervice     |
| 32.526   |         |                 | SA#57 Sep         | 2012               | SON Policy N        | RM IRP Solution Sets  |            |
| 32.450   |         |                 | SA#57 Sep         | 2012               | E-UTRAN KPI         | : Definitions         |            |
| 32.451   |         |                 | SA#57 Sep         | 2012               | E-UTRAN KPI         | : Requirements        |            |
| 32.511   |         |                 | SA#57 Sep         | 2012               | ANR Concepts        | and Requirements      |            |
| 32.541   |         |                 | SA#57 Sep         | 2012               | Self-healing R      | equirements           |            |
| 32.551   |         |                 | SA#57 Sep         | 2012               |                     | Management: Conce     | pts and    |
|          |         |                 |                   |                    | Requirements        |                       | -          |
| 32.501   |         |                 | SA#57 Sep         |                    |                     | ment of eNodeBs: Rec  |            |
| 32.502   |         |                 | SA#57 Sep         |                    |                     | ment of eNodeBs: Info |            |
| 32.506   |         |                 | SA#57 Sep         | 2012               | Self-establishr     | ment of eNodeBs: Sol  | ution Sets |

Work item rapporteur(s) \* 11

Nokia Siemens Networks, Yizhi Yao (<u>vizhi.yao@nsn.com</u>) Ericsson, Per Elmdahl (<u>per.elmdahl@ericsson.com</u>)

12 Work item leadership \*

SA5

| Supporting IM name     |  |  |
|------------------------|--|--|
| Nokia Siemens Networks |  |  |
| Ericsson               |  |  |
| China Mobile           |  |  |
| China Unicom           |  |  |
| ZTE                    |  |  |
| HUAWEI Technologies    |  |  |
| Alcatel-Lucent         |  |  |

## 3.1.3 Network Management for 3GPP Interworking WLAN (IWLAN-OAM&P) UID\_530050

3GPP TSG SA Meeting #53SP-110521

Fukoka, Japan; 19-21 Sep 2011

3GPP TSG SA WG5 (Telecom Management) Meeting #78 S5-112696

22 - 26 August 2011; Istanbul, Turkey revision of S5-112557

1 3GPP Work Area \*

| X | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

| This w | This work item is a *      |  |  |
|--------|----------------------------|--|--|
|        | Study Item (go to 2.1)     |  |  |
|        | Feature (go to 2.2)        |  |  |
|        | Building Block (go to 2.3) |  |  |
| Х      | Work Task (go to 2.4)      |  |  |

2.1 Study Item

| Related Work Item(s) (if any] |       |                        |  |  |
|-------------------------------|-------|------------------------|--|--|
| Unique ID                     | Title | Nature of relationship |  |  |
|                               |       |                        |  |  |

Go to §3.

| 2.2 Feature                              |       |                        |  |  |
|--|-------|------------------------|--|--|
| Related Study Item or Feature (if any) * |       |                        |  |  |
| Unique ID                                | Title | Nature of relationship |  |  |
|  |       |                        |  |  |

Go to §3.

2.3 Building Block

| Parent Feature (or Study Item) |                                      |     |  |
|--------------------------------|--------------------------------------|-----|--|
| Unique ID                      | Unique ID Title TS                   |     |  |
| 510051                         | Rel-11 Operations, Administration,   | N/A |  |
|                                | Maintenance and Provisioning (OAM&P) |     |  |

This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |  |
|---|-------------------------|--|
|   | Stage 2 (go to 2.3.2)   |  |
| Х | Stage 3 (go to 2.3.3)   |  |
|   | Test spec (go to 2.3.4) |  |
|   | Other (go to 2.3.5)     |  |

2.3.1 Stage 1

| Source of external requirements (if any) * |                               |  |  |  |
|--|-------------------------------|--|--|--|
| Organization                               | Organization Document Remarks |  |  |  |
|  |                               |  |  |  |

Go to §3.

| 2.3.2      | Stage 2 *                       |    |  |  |  |
|------------|---------------------------------|----|--|--|--|
| Correspond | Corresponding stage 1 work item |    |  |  |  |
| Unique ID  | Title                           | TS |  |  |  |
|            |                                 |    |  |  |  |

| Other source of stage 1 information |        |         |  |  |
|-------------------------------------|--------|---------|--|--|
| TS or<br>CR(s)                      | Clause | Remarks |  |  |
|                                     |        |         |  |  |

If no identified source of stage 1 information, justify: \* Go to \$3.

#### 2.3.3 Stage 3 \*

| Correspond | Corresponding stage 2 work item (if any)   |           |  |
|------------|--|-----------|--|
| Unique ID  | Title  | TS        |  |
| 31012      | Rel-6 WLAN-UMTS Interw orking Rel-6 (WLAN)   | TS 23.234 |  |
| 32110      | Rel-7 WLAN Interw orking – Private Netw ork access<br>from WLAN 3GPP IP Access (WLANPNA) | TS 23.234 |  |
| 370049     | Rel-8 Mobility betw een 3GPP-WLAN Interw orking<br>and 3GPP Systems (IWLAN_Mob)          | TS 23.327 |  |

19

| Else, corres | ponding stage 1 work item |    |
|--------------|---------------------------|----|
| Unique ID    | Title                     | TS |
|              |                           |    |

| Other justification  |        |         |  |  |  |
|----------------------|--------|---------|--|--|--|
| TS or CR(s)          | Clause | Remarks |  |  |  |
| Or external document |        |         |  |  |  |
|                      |        |         |  |  |  |

If no identified source of stage 2 information, justify: \*

Go to §3.

| 2.3.4     | Test spec * |    |
|-----------|-------------|----|
| Related W | ork Item(s) |    |
| Unique ID | Title       | TS |
|           |             |    |

Go to §3.

| 2.3.5 C    | Other *    |                        |         |
|------------|------------|------------------------|---------|
| Related Wo | rk ltem(s) |                        |         |
| Unique ID  | Title      | Nature of relationship | TS / TR |
|            |            |                        |         |

## Go to §3.

| 2.4 Work     | k task *                          |     |
|--------------|-----------------------------------|-----|
| Parent Build | ling Block                        |     |
| Unique ID    | Title                             | TS  |
| 510151       | Network Infrastructure Management | N/A |
| 510251       | Performance Management            | N/A |

3 Justification \*

Network Resource Model (NRM) and Performance Management (PM) are important for operators to manage their networks.

However, information management objects and performance data serving for inter-working architecture between 3GPP system and WLAN have not been defined yet.

The inter-working architecture between 3GPP system and WLAN has been specified in several SA2 specifications. Regarding to 3GPP network interworking with WLAN, the following TS specification is proposed to specify IWLAN architecture and corresponding procedures

3GPP TS 23.234: "3GPP system to Wireless Local Area Network (WLAN) interworking; System description".

3GPP TS 23.327: "Mobility between 3GPP-Wireless Local Area Network(WLAN) interworking and 3GPP systems".

TS 23.234 provides the system description for inter-working between 3GPP systems and WLANs. Specifically, the nonroaming and roaming WLAN inter-working reference models are presented. Some new network elements have been introduced as listed below.

WAG WLAN Access Gateway

PDG Packet Data Gateway

3GPP AAA Server

3GPP AAA proxy

Accordingly, some new reference points (Wn, Wi, Wu, Gn', etc) have been introduced as well.

Depending on the the IWLAN architecture, TS 23.327 has addressed some issues related to IP mobility, in which Home Agent (HA) and a new reference point H1 are introduced.

Regarding to evolved 3GPP interworking with WLAN, the following TS specification is proposed to specify IWLAN architecture and corresponding procedures

3GPP TS 23.402: "Architecture enhancements for non-3GPP accesses".

TS 23.402 provides the architecture for interworking between Evolved 3GPP Packet Switched network and WLAN. Evolved Packet Data Gateway (ePDG) has been introduce to handle untrusted non-3GPP IP access. Several new reference points (s2a, s2b and s2c) have been introduced to perform IP mobility.

Management Information Objects and Performance Management data for these new network elements and interfaces should be added in order to fulfil the management requirements from the growing 3GPP/WLAN interworking network. 4 Objective \*

The objectives for this work item are to:

Specify NRM IRP requirements for 3GPP inter-working WLAN

Specify NRM for 3GPP inter-working WLAN including new defined network elements (WAG, PDG, 3GPP AAA

server/proxy and HA) and related reference points (Wn, Wi, Wp, etc)

Specify Solution Set for 3GPP inter-working WLAN

Specify performance measurements for 3GPP inter-working WLAN

Specify NRM IRP requirements for evolved 3GPP network inter-working WLAN

Specify NRM for evolved 3GPP network inter-working WLAN including new defined network elements (ePDG, 3GPP AAA server/proxy, HA, LMA and MAG) and related reference points (s2a, s2b and s2c)

Specify performance measurements for evolved 3GPP inter-working WLAN

Specify Solution Set for evolved 3GPP inter-working WLAN

5 Service Aspects N/A

6 MMI-Aspects N/A

7 Charging Aspects N/A

8 Security Aspects N/A

9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  | Х  |        |
| No         | Х    | Х  |    |    | Х      |
| Don't know |      |    |    |    |        |

10 Expected Output and Time scale \*

|               | Item, one TR is anticipat  |                  |                      |   |                         |          |
|---------------|--|------------------|----------------------|---|-------------------------|----------|
| Spec No.      | Title  | Prime<br>rsp. WG | 2ndary<br>rsp. WG(s) | Presented for<br>information at<br>plenary# | Approved at<br>plenary# | Comments |
| TS<br>xx.xxx  | 3GPP interworking<br>WLAN Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Requirements                                | SA5              |                      | SA#56 Jun<br>2012                           | SA#57 Sep<br>2012       |          |
| TS<br>xx.xxx  | 3GPP interworking<br>WLAN Network<br>Resource Model<br>(NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)      | SA5              |                      | SA#56 Jun<br>2012                           | SA#57<br>Sep 2012       |          |
| TS<br>xx.xxx  | 3GPP interworking<br>WLAN Network<br>Resource Model<br>(NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitions | SA5              |                      | SA#56 Jun<br>2012                           | SA#57<br>Sep 2012       |          |
| TS<br>xx.4 xx | Performance<br>Management (PM);<br>Performance<br>measurements for<br>3GPP interworking<br>WLAN  | SA5              |                      | SA#56 Jun<br>2012                           | SA#57<br>Sep 2012       |          |

| 21       |        | 3 | BGPP TR | 30.821 | V0.4.0 | (2 |
|----------|--------|---|---------|--------|--------|----|
|          |        |   |         |        |        |    |
| SA#56 Ju | n S∆#5 | 7 |         |        |        |    |

| xx.xxx interworking WLAN<br>Resource Model<br>(NRM);<br>Integration Reference<br>Point (IRP);<br>Requirements<br>TS Evolved 3GPP SA5<br>xx.xxx interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)<br>TS Evolved 3GPP SA5<br>XX.xxx interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)<br>TS Evolved 3GPP SA5<br>XX.xxx interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitions<br>TS Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN<br>Management (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN<br>Management (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN<br>Affected existing spedifications * | TS          | Evolved 3GPP      | SA5           | SA#56 Jun   | SA#57    |                               |  |
|--|-------------|-------------------|---------------|-------------|----------|-------------------------------|--|
| (NRM);<br>Integration Reference<br>Point (IRP);<br>RequirementsSA5SA#56 Jun<br>2012SA#57TSEvolved 3GPPSA5SA#56 Jun<br>2012SA#57xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57Xx.4xxManagement (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA#57Sep 2012   | XX. XXX     |                   |               | 2012        | Sep 2012 |                               |  |
| Integration Reference<br>Point (IRP);<br>RequirementsSA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>SOULT (IRP);<br>Information Reference<br>Point (IRP);<br>Information Reference<br>Point (IRP);<br>Integration Reference<br>Point (IRP);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>SA5SA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>  |             |                   |               |             |          |                               |  |
| Point (IRP);<br>RequirementsSA5SA#56 JunSA#57TSEvolved 3GPPSA5SA#56 JunSA#57xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 JunSA#57TSEvolved 3GPP<br>(IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57   |             |                   |               |             |          |                               |  |
| RequirementsSA5SA#56 JunSA#57TSEvolved 3GPPSA5SA#56 JunSA#57xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 JunSep 2012TSEvolved 3GPP<br>(IS)SA5SA#56 Jun<br>2012SA#57xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57  |             |                   |               |             |          |                               |  |
| TS Evolved 3GPP SA5 SA#56 Jun SA#57<br>xx.xxx interworking WLAN Network Resource Model (NRM);<br>Integration Reference Point (IRP);<br>Information Service (IS)<br>TS Evolved 3GPP SA5 SA#56 Jun 2012 Sep 2012<br>TS Evolved 3GPP SA5 SA#56 Jun 2012 Sep 2012<br>Network Resource Model (NRM);<br>Integration Reference Point (IRP);<br>Solution Set (SS) definitions<br>TS Performance SA5 SA#56 Jun 2012 Sep 2012<br>TS Performance SA5 SA#56 Jun 2012 Sep 2012  |             |                   |               |             |          |                               |  |
| Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57TSEvolved 3GPP<br>(IS)SA5SA#56 Jun<br>2012SA#57xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57   | TS          |                   | SA5           | SA#56 Jun   | SA#57    |                               |  |
| Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57<br>Sep 2012TS<br>xx.xxxEvolved 3GPP<br>interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012SA#57<br>Sep 2012TS<br>xx.4xxPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57<br>Sep 2012  | XX. XXX     | interworking WLAN |               | 2012        | Sep 2012 |                               |  |
| Integration Reference<br>Point (IRP);<br>Information Service<br>(IS)SA5SA#56 Jun<br>2012SA#57TS<br>xx.xxxEvolved 3GPP<br>interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA#56 Jun<br>2012SA#57TS<br>vs.4xxPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA#56 Jun<br>2012SA#57  |             | Network Resource  |               |             |          |                               |  |
| Point (IRP);<br>Information Service<br>(IS)SA5SA#56 JunSA#57TSEvolved 3GPP<br>interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 JunSA#57TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLAN2012Sep 2012  |             |                   |               |             |          |                               |  |
| (IS)SA5SA#56 JunSA#57TSEvolved 3GPP<br>interworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitionsSA5SA#56 Jun<br>2012Sep 2012TSPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57<br>Sep 2012   |             | Point (IRP);      |               |             |          |                               |  |
| xx.xxxinterworking WLAN<br>Network Resource<br>Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitions2012Sep 2012TS<br>xx.4 xxPerformance<br>measurements for<br>evolved 3GPP<br>interworking WLANSA5SA#56 Jun<br>2012SA#57<br>Sep 2012   |             | (IS)              |               |             |          |                               |  |
| Network Resource       Model (NRM);         Integration Reference       Point (IRP);         Solution Set (SS)       definitions         TS       Performance         xx.4 xx       Management (PM);         Performance       SA5         measurements for         evolved 3GPP         interworking WLAN   | TS          |                   | SA5           |             |          |                               |  |
| Model (NRM);<br>Integration Reference<br>Point (IRP);<br>Solution Set (SS)<br>definitions     A#56 Jun       TS     Performance<br>Management (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN     SA# 56 Jun   | XX. XXX     |                   |               | 2012        | Sep 2012 |                               |  |
| Integration Reference       Point (IRP);         Solution Set (SS)       definitions         TS       Performance         xx.4 xx       Management (PM);         Performance       SA5         measurements for         evolved 3GPP         interworking WLAN   |             |                   |               |             |          |                               |  |
| Point (IRP);       Solution Set (SS)         definitions       definitions         TS       Performance         xx.4 xx       Management (PM);         Performance       SA5         measurements for         evolved 3GPP         interworking WLAN   |             |                   |               |             |          |                               |  |
| Solution Set (SS)<br>definitions     SA5     SA#56 Jun     SA#57       TS     Performance     SA5     SA#56 Jun     SA#57       xx.4 xx     Management (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN     SA5     SA#56 Jun     SA#57   |             |                   |               |             |          |                               |  |
| definitions     SA5     SA#56 Jun     SA#57       TS     Performance     SA5     SA#56 Jun     SA#57       xx.4 xx     Management (PM);     2012     Sep 2012       Performance     measurements for     evolved 3GPP     interworking WLAN  |             |                   |               |             |          |                               |  |
| xx.4xx Management (PM);<br>Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN  |             |                   |               |             |          |                               |  |
| Performance<br>measurements for<br>evolved 3GPP<br>interworking WLAN   | TS          | Performance       | SA5           | SA#56 Jun   | SA#57    |                               |  |
| measurements for<br>evolved 3GPP<br>interworking WLAN  | xx.4 xx     |                   |               | 2012        | Sep 2012 |                               |  |
| evolved 3GPP<br>interworking WLAN  |             | Performance       |               |             |          |                               |  |
| interworking WLAN  |             |                   |               |             |          |                               |  |
|  |             |                   |               |             |          |                               |  |
| IARTECTED EXISTING SDECITICATIONS  | Affe ate al |                   |               |             |          |                               |  |
| [None in the case of Study Items]  |             |                   | 1             |             |          |                               |  |
| Spec No. CR Subject Approved at plenary# Comments  |             |                   |               | Approved at | plenarv# | Comments                      |  |
| TS Add 3GPP interworking WLAN SA#57 Sep 2012 Configuration Management;   |             |                   | working WLAN  | SA#57 Sep   | 0 2012   |                               |  |
| 32,632 management Core Network Resources IR  | 32.632      | management        | 0             |             |          | Core Network Resources IRP;   |  |
|  | то          |                   |               | 0.04//57.0  | 0010     | Network Resource Model        |  |
| Network Resource Model   | . •         |                   | working WLAN  | SA#57 Sep   | 2012     |                               |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;   | 32.030      | management        |               |             |          | Solution Set Definitions      |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP  | TS          | Add 3GPP inte     | working WLAN  | SA#57 Sep   | 2012     | Configuration Management;     |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions  | 32.752      | management        | -             |             |          |                               |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   | те          |                   | working W/LAN | SV#57 Sor   | 2012     | IRP; Network Resource Model   |  |
| Image: Network Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core network resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core network resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN network resources<br>IRP; Network Resource Model   |             |                   | WORKING WEAN  | Sr#37 Sep   | 2012     |                               |  |
| TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;   | 52.750      | manayement        |               |             |          | IRP; Solution Set definitions |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         32.756       management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   |             |                   |               | Ī           |          |                               |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         32.756       management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   |             |                   |               |             |          |                               |  |
|  | 32.032      | management        |               |             |          |                               |  |
| Network Desource Medel   | TS          | Add 3GPP inte     | working WLAN  | SA#57 Sep   | 2012     | Configuration Management;     |  |
| Network Resource Model   | . •         |                   | working WLAN  | SA#57 Sep   | 2012     |                               |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;   | 32.636      | management        |               |             |          |                               |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP  |             |                   | working WLAN  | SA#57 Sep   | 2012     | Configuration Management;     |  |
| Netw ork Resource Model           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions           TS         Add 3GPP interworking WLAN         SA#57 Sep 2012         Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions  | 32.752      |                   | J             |             |          |                               |  |
| TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   | TS          | Add 3CPP inte     | working WI AN | SA#57 Sor   | 2012     | Configuration Management      |  |
| TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP; Netw ork Resource Model   |             |                   |               |             |          |                               |  |
| TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model  | 02.100      | Indiagonent       |               |             |          |                               |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         32.756       management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   |             |                   |               |             |          |                               |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP, Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         32.756       management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   |             |                   |               | 1           |          |                               |  |
| Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>Core netw ork resources IRP<br>Solution Set Definitions         32.752       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources<br>IRP; Netw ork Resource Model         TS       Add 3GPP interworking WLAN       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources         32.756       Management       SA#57 Sep 2012       Configuration Management;<br>GERAN netw ork resources   |             |                   |               |             |          | 1                             |  |

11 Work item rapporteur(s) \*
 Gang Chen (<u>Chengang@chinamobile.com</u>), Jian Li (lijian@chinamobile.com)
 12 Work item leadership \*

SA5 13

| Supporting IM name |
|--------------------|
| China Mobile       |
| AT&T               |
| Intel              |
| Huwei              |
| ZTE                |
| ETRI               |
| Deutsche Telecom   |
|                    |

## 3.2 Performance Management

# 3.2.1 IMS Performance Management enhancements (OAM-ePM-IMS) UID\_510057

TSG SA Meeting #51SP-110134

21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-110518 SA5#75, 24 - 28 Jan 2011; Sorrento, ITALY *revision of S5-110494* 

1 3GPP Work Area \*

|   | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... \*

|   | Study Item (go to 2.1)     |
|---|----------------------------|
|   | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
| Х | Work Task (go to 2.4)      |

#### This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |  |
|---|-------------------------|--|
|   | Stage 2 (go to 2.3.2)   |  |
| Х | Stage 3 (go to 2.3.3)   |  |
|   | Test spec (go to 2.3.4) |  |
|   | Other (go to 2.3.5)     |  |

#### 2.3.3 Stage 3 \*

| Correspond | Corresponding stage 2 work item (if any)                           |                         |  |
|------------|--|-------------------------|--|
| Unique ID  | D Title TS   |                         |  |
| 410034     | Stage 2 - IMS Service Continuity Enhancements: Service, Policy and | 23.838, 23.237, 23.292, |  |
|            | Interactions Rel-9   | 23.216                  |  |
| 410035     | Stage 2 - IMS Centralized Services Rel-9                           | 23.292, 23.883          |  |
| 450029     | Stage 2 - IMS Inter-UE Transfer enhancements                       | 23.237, 23.292, 23.831  |  |
| 470028     | Stage 2 for IMS Emergency Session Enhancements Rel-10              | 23.167                  |  |

| Else, corres | Else, corresponding stage 1 work item                 |                                |            |                      |
|--------------|---|--------------------------------|------------|----------------------|
| Unique ID    | Title   |                                | TS         |                      |
| 400044       | Stage 1 for eMMTel Rel-9                              |                                | 22.173     |                      |
| 440152       | Stage 1 - IMS Service Continuity – Inter De           | evice Transfer enhancements    | 22.228     |                      |
| 470027       | Stage 1 for IMS Emergency Session Enhancements Rel-10 |                                | 22.101     |                      |
| 2.4 Wor      | 2.4 Work task *                                       |                                |            |                      |
| Parent Buil  | Parent Building Block                                 |                                |            |                      |
| Unique ID    | ID Title TS   |                                |            |                      |
| 5100xy       | Performance Management (OAM11-PM)                     | Note: this is a Rel-11 umbrell | a BB (no d | edicated WID needed) |

3 Justification \*

Performance Management (PM) is important for operators to manage their networks. Currently performance measurements for IMS have been defined in SA5 TS 32.409 but the content is still far away from completion. Although some IMS supplementary services related measurements have been described in TS 32.409, a number of additional ones still need to be defined such as ACR, MCID, ECT, CCBS, CCNR, CW, CF, CD, CUG, 3PTY, MWI, FA, CAT, etc.

Furthermore, with the evolved IMS specifications in 3GPP stage 1 and stage 2, not only MMTel AS supplementary services but also other IMS based services e.g., emergency call services IMS Centralized Services and IMS Service Continuity have been studied and standardised in 3GPP. In order to monitor and evaluate the whole IMS network performance, the related IMS services performance management enhancements should be made. 4 Objective \*

For IMS performance measurement enhancement work, a set of performance management measurement definitions shall be defined based on well described use cases.

### **Release 11**

The following are examples of IMS performance measurements for standardization: Emergency session related measurements MMTel AS and supplementary services related measurements IMS Service Continuity related measurements 5 Service Aspects N/A 6 MMI-Aspects N/A 7 Charging Aspects N/A 8 Security Aspects N/A 9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    |    | Х  |        |
| No         | Х    | Х  | Х  |    | Х      |
| Don't know |      |    |    |    |        |

10 Expected Output and Time scale \*

| New specifications *<br>[If Study Item, one TR is anticipated] |  |                  |                      |                              |                |         |  |                     |
|--|--|------------------|----------------------|------------------------------|----------------|---------|--|---------------------|
| Spec<br>No.  |  | Prime rsp.<br>WG | 2ndary rsp.<br>WG(s) | Presented for in<br>plenary# | nformation at  | Approve | d at plenary#                          | Comments            |
|  | Affected existing specifications * [None in the case of Study Items] |                  |                      |                              |                |         |  |                     |
| Spec<br>No.  | CR   | Subject          |                      |                              | Approved at pl | enary#  | Comments                               |                     |
| 32.409   |  |                  |                      |                              | SA#54 Dec 20   | 11      | Performance measure<br>Subsystem (IMS) | ments IP Multimedia |
|  |  |                  |                      |                              |                |         |  |                     |
|  |  |                  |                      |                              |                |         |  |                     |

- Work item rapporteur(s) \* Guo Wenjie, ZTE Corporation 11
- 12 Work item leadership \* SA5
- Supporting Individual Members \* 13

| Supporting IM name |
|--------------------|
| ZTE                |
| China Mobile       |
| China Unicom       |
| Vodafone           |
| Orange             |

### 3.2.2 Enhanced Management of UE based network performance measurements (OAM-ePM-UE) UID 510058

TSG SA Meeting #51SP-110135 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-110537 SA5#75, 24 - 28 Jan 2011, Sorrento, ITALY revision of S5-110517

1 3GPP Work Area \*

| - |              |  |  |
|---|--------------|--|--|
| Х | Radio Access |  |  |
| Х | Core Network |  |  |
|   | Services     |  |  |

2 Classification of WI and linked work items

2.0 Primary classification \*

| This w | This work item is a *      |  |
|--------|----------------------------|--|
|        | Study Item (go to 2.1)     |  |
|        | Feature (go to 2.2)        |  |
|        | Building Block (go to 2.3) |  |

Work Task (go to 2.4)

Go to §3.

Х

| 2.3 E | Building E | Block |  |  |
|-------|------------|-------|--|--|
|       |            |       |  |  |

| Parent Feature (or Study Item) |                  |   |
|--------------------------------|------------------|---|
| Unique ID                      | Title            | TS  |
| 5100xy                         | OAM&P 11 (OAM11) | Note: this is a Rel-11 umbrella Feature (no |
|                                |                  | dedicated WID needed)                       |

24

This work item is ... \*

| 11110 11 |                         |  |
|----------|-------------------------|--|
|          | Stage 1 (go to 2.3.1)   |  |
| Х        | X Stage 2 (go to 2.3.2) |  |
| Х        | X Stage 3 (go to 2.3.3) |  |
|          | Test spec (go to 2.3.4) |  |
|          | Other (go to 2.3.5)     |  |

Go to §3.

2.4 Work task \*

| Parent Building Block |                                   |  |
|-----------------------|-----------------------------------|--|
| Unique ID             | Title                             | TS   |
| 5100xy                | Performance Management (OAM11-PM) | Note: this is a Rel-11 umbrella BB (no dedicated WID needed) |

3 Justification \*

SA5 Rel-10 work on "Management of UE based network performance measurements" (UID\_470042) provides the solutions for supporting of MDT R AN functionality in Rel-10. But there are some important enhancement required to be further investigated based on Rel-10 agreement on the following aspect:

Accurate location acquisition:

From operator point of view, accurate location is important for MDT and it's the base for accurate analysis. Accurate location information can improve the granularity in coverage hole detection, coverage map visualization, and further coverage optimization, etc.

The location availability was intensively discussed in Rel-10 but could not be finalized due to time limitation. The following location coordination options were discussed to acquire the accurate location for MDT measurements in Rel-10. It would be beneficial to continue the work in Rel-11:

Location coordination in eNB

Location coordination in MME

Location coordination in UE

Device capability: More operator controllable device capability criteria like battery status, memory size, position capabilities etc. may be needed for better control of the selection of UE for the MDT campaign.

Support of more MDT measurements according to the TSG RAN discussion on MDT (if needed).

The work in SA5 has dependencies with other SA or RAN groups and the features in this work item will require co-

ordination/discussion with the related groups.

Investigate whether MDT needs to be enhanced to further reduce OPEX.

4 Objective

Enhance MDT OAM requirements and solutions for UMTS and LTE in line with the RAN Rel-10 work on "Minimization of Drive Tests for E-UTRAN and UTRAN" (UID\_460003).

The following aspects are required to be specified:

Define the procedures/mechanisms to get accurate location information.

Investigate the possible enhanced device capability criteria to provide better operator control for UE selection and define the corresponding management and procedure support.

Define the configuration support to more MDT measurements according to RAN working progress.

Investigate whether MDT can be further enhanced to further reduce OPEX and define the corresponding solutions. The operations for MDT management and procedures for MDT data propagation will make use of the existin g SA5 solutions as much as possible.

5 Service Aspects
N/A
6 MMI-Aspects
N/A
7 Charging Aspects
N/A
8 Security Aspects
N/A
9 Impacts \*

| Affects: | UICC<br>apps | ME | AN | CN | Others |
|----------|--------------|----|----|----|--------|
| Yes      |              |    | Х  | Х  |        |

| No         | Х | Х |  |   |
|------------|---|---|--|---|
| Don't know |   |   |  | Х |

10 Expected Output and Time scale \*

| New spe     | ecifica | ations *  |                                  |  |             |                      |   |                     |
|-------------|---------|---|----------------------------------|--|-------------|----------------------|---|---------------------|
| [If Study   |         | one TR is anticip   |                                  |  |             |                      |   |                     |
| Spec<br>No. | Title   | Prime rsp. WG   | 2ndary rsp. WG(s)                | Presented for information at<br>plenary# |             | Approved at plenary# |   | Comments            |
|             |         | ing specifications<br>ase of Study Iter                             |                                  |  |             |                      |   |                     |
| Spec<br>No. |         | Subject   |                                  |  | Approved at | plenary#             | Comments  |                     |
| 32.421      |         | Enhanced Management of UE based network<br>performance measurements |                                  | Inetwork                                 | SA#56 Jun 2 | 012                  | Trace concepts a                                | nd requirements     |
| 32.422      |         | Enhanced Management of UE based network<br>performance measurements |                                  | Inetwork                                 | SA#57 Sep 2 | 2012                 | Trace control and<br>management                 | configuration       |
| 32.423      |         | Enhanced Management of UE based network<br>performance measurements |                                  | Inetwork                                 | SA#58 Dec 2 | 2012                 | Trace data definiti                             | ion and management  |
| 32.441      |         | Enhanced Management of UE based network<br>performance measurements |                                  | Inetwork                                 | SA#56 Jun 2 | 012                  | Trace Manageme                                  | nt IRP Requirements |
| 32.442      |         | Enhanced Mana<br>performance me                                     | gement of UE based<br>asurements | Inetwork                                 | SA#57 Sep 2 | 2012                 | Trace Management IRP Informatic<br>Service (IS) |                     |
| 32.446      |         | Enhanced Mana<br>performance me                                     | gement of UE based<br>asurements | Inetwork                                 | SA#58 Dec 2 | 2012                 | Trace Managemen<br>(SS) definitions             | nt IRP Solution Set |

Work item rapporteur(s) \*
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 Work item leadership \*

12 SA5 13

| Supporting IM name     |
|------------------------|
| Huawei                 |
| Alcatel-Lucent         |
| Orange                 |
| China Mobile           |
| Nokia Siemens Networks |
| Ericsson               |
| Motorola Solutions     |
| Vodafone               |
| ZTE                    |
| NEC                    |
| AT&T                   |
| Deutsche Telekom       |

# 3.2.3 CN performance measurements enhancement (OAM-ePM-CN) UID\_520034

3GPP TSG SA Meeting #52 SP-110275 Bratislava, Slovakia, 06 – 08 June, 2011 3GPP TSG-SA5 (Telecom Management) S5-112134 SA5#77, 9-13 May 2011; Shenzhen, P.R. China revision of S5-111868, S5-112111

1 3GPP Work Area \*

| • • |              |  |  |  |  |  |
|-----|--------------|--|--|--|--|--|
|     | Radio Access |  |  |  |  |  |
| Х   | Core Network |  |  |  |  |  |
|     | Services     |  |  |  |  |  |

2 Classification of WI and linked work items

2.0 Primary classification \*

| This wor | This work item is a … *    |  |  |  |
|----------|----------------------------|--|--|--|
|          | Study Item (go to 2.1)     |  |  |  |
|          | Feature (go to 2.2)        |  |  |  |
|          | Building Block (go to 2.3) |  |  |  |
| X        | Work Task (go to 2.4)      |  |  |  |

2.4 Work task \*

| Parent Buil | ding Block                        |  |
|-------------|-----------------------------------|--|
| Unique ID   | Title                             | TS   |
| 510251      | Performance Management (OAM11-PM) | Note: this is a Rel-11 umbrella BB (no dedicated WID needed) |

3 Justification \*

Performance Management (PM) is important for operators to manage their networks. Currently performance measurements for core network have been defined in TS 32.406 and TS 32.407. According to network operation and management requirement, specific measurements related to common core network for GSM and UMTS need to be enhanced, such as handover, MO and MT related measurements must be distinguished with GSM and UMTS, since they are very useful to analyze network load balance and predict traffic etc.

In the case of Direct Tunnel function enabled, which is in Iu mode that allows the SGSN to establish a direct user plane tunnel between RAN and GGSN (for connectivity with GGSN through Gn/Gp) or S-GW (for connectivity through S4) within the PS domain, the network performance should be monitored, but the related measurements are not defined in current specification TS 32.406 and TS 32.426.

4 Objective

For core network performance measurement enhancement work, a set of performance management measurement definitions shall be defined based on well described use cases.

The following are examples of core network performance measurements for standardization:

- Intra-MscServer or inter-MscServer handover performance based on ALink and lucsLink

- MO and MT calls related measurements based on ALink and lucsLink

- Direct Tunnel related measurements.

5 Service Aspects

N/A 6 MMI-Aspects

N/A

7 Charging Aspects

N/A

8 Security Aspects

N/A 9 Impacts \*

Affects:UICC<br/>appsMEANCNOthersYesXXXNoXXXXDon't knowImage: Constraint of the second s

### 10 Expected Output and Time scale \*

| New spe<br>[If Study |       | tions *<br>one TR is antic            | ipated]              |                                       |                      |          |
|----------------------|-------|---------------------------------------|----------------------|---------------------------------------|----------------------|----------|
| Spec<br>No.          | Title | Prime rsp. WG                         | 2ndary rsp.<br>WG(s) | Presented for information at plenary# | Approved at plenary# | Comments |
|                      |       | ing specification<br>ase of Study Ite |                      |                                       |                      |          |

| Spec<br>No. | CR | Subject | Approved at plenary# | Comments   |
|-------------|----|---------|----------------------|--|
| 32.406      |    |         | SA#54 Dec 2011       | Performance measurements; Core Network (CN) Packet Switched (PS) domain  |
| 32.407      |    |         | SA#54 Dec 2011       | Performance measurements; Core Network (CN) Circuit Switched (CS) domain |
| 32.426      |    |         | SA#54 Dec 2011       | Performance measurements Evolved Packet Core network (EPC)               |

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 Work item leadership \*

12 SA5

| narviddar Merribers    |
|------------------------|
| Supporting IM name     |
| China Mobile           |
| Deutsche Telekom       |
| ZTE                    |
| Nokia Siemens Networks |
| Huawei                 |

## 3.3 Self-Organizing Networks (SON) - OAM aspects

### 3.3.1 UTRAN Self-Organizing Networks (SON) management (OAM-SON-UTRAN) UID\_510059

3GPP TSG SA Meeting #53 SP-110518

Fukoka, Japan; 19-21 Sep 2011

3GPP TSG SA WG5 (Telecom Management) Meeting #78 S5-112587

22 - 26 August 2011; Istanbul, Turkey revision of S5-112325

| 1 | 1 3GPP Work Area * |  |  |  |  |
|---|--------------------|--|--|--|--|
| Х | Radio Access       |  |  |  |  |
|   | Core Network       |  |  |  |  |
|   | Services           |  |  |  |  |

2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... \*

|   | Study Item (go to 2.1)     |
|---|----------------------------|
|   | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
| Х | Work Task (go to 2.4)      |

2.4 Work task \*

| Parent Building Block |                                      |  |  |
|-----------------------|--------------------------------------|--|--|
| Unique ID             | Title                                | TS   |  |
| 5100xy                | Self-Organizing Networks (SON) - OAM | Note: this is a Rel-11 umbrella BB (no dedicated WID |  |
|                       | aspects                              | needed)  |  |

3 Justification \*

For LTE, SON (Self-Organizing Networks) concept and many features have been discussed and standardised. The SON target is to maintain network quality and performance with minimum manual intervention from the operator. Introducing SON functions into the UTRAN legacy is also very important for operators to minimize OPEX. Automatic Neighbour Relation (ANR) function, specified in the LTE context, automates the discovery of neighbour relations. ANR can help the operators to avoid the burden of manual neighbour cell relations management. TSG RAN introduced SON ANR in UTRAN as well (UID\_480020 Automatic Neighbour Relation (ANR) for UTRAN). Self-optimization functionalities will monitor and analyze performance measurements, notifications, and self-test results and will automatically trigger re-configuration actions on the affected network node(s) when necessary. This will significantly reduce manual interventions and replace them with automatically triggered re-optimizations or reconfigurations thereby helping to reduce operating expenses.

Minimization of Drive Tests (MDT) for E-UTRAN and UTRAN is an important topic in 3GPP Rel-10.

With the help of standardized UTRAN MDT solutions, Capacity and Coverage Optimization (CCO) for UTRAN should also be considered in UTRAN SON activities.

4 Objective \*

A) Identify the management aspects for the following SON use cases in the context of UTRAN:

- Automatic Neighbour Relation (ANR), including
- Intra-UTRAN ANR,
- UTRAN IRAT ANR from UTRAN to GERAN, and
- UTRAN IR AT ANR from UTRAN to E-UTRAN.

Self-optimization

Capacity and Coverage Optimization (CCO)

Other self-optimization use cases are FFS

B) Specify UTRAN SON management solutions capturing the unique aspects of UTRAN SON management

C) Specify common SON management solution capturing the common SON management part of E-UTRAN and UTRAN D) Update if needed existing SON management specs according to UTRAN SON and common SON management

agreement

E) In addition to UTRAN ANR, identify management aspect for E-UTRAN IRAT ANR, i.e.

- ANR from E-UTRAN to GER AN,
- ANR from E-UTRAN to UTRAN, and
- ANR from E-UTRAN to CDMA2000.
- 5 Service Aspects

N/A

6 MMI-Aspects

N/A

- 7 Charging Aspects
- N/A

8 Security Aspects

N/A

9 Impacts \*

| Affects:   | UICC apps | ME | AN | CN | Others |
|------------|-----------|----|----|----|--------|
| Yes        |           |    | Х  |    |        |
| No         | Х         | Х  |    |    |        |
| Don't know |           |    |    | Х  | Х      |

10 Expected Output and Time scale \*

| New spe   | New specifications *                   |               |             |               |               |         |                          |                             |
|-----------|--|---------------|-------------|---------------|---------------|---------|--------------------------|-----------------------------|
| [If Study | [If Study Item, one TR is anticipated] |               |             |               |               |         |                          |                             |
| Spec      | Title                                  | Prime rsp.    | 2ndary rsp. | Presented for | information   | Approve | ed at plenary#           | Comments                    |
| No.       |  | WG            | WG(s)       | at plenary#   |               |         |                          |                             |
|           |  |               |             |               |               |         |                          |                             |
|           |  | ing specifica |             |               |               |         |                          |                             |
| [None in  | the c                                  | ase of Stud   | y ltems]    |               |               |         |                          |                             |
| Spec      | CR                                     | Subject       |             |               | Approved at p | lenary# | Comments                 |                             |
| No.       |  |               |             |               |               |         |                          |                             |
| 32.405    |  |               | NSON Manage |               | SA#57 Sep 2   | 012     | PM; Performance measure  | · · · · ·                   |
| 32.500    |  | Add UTRAN     | NSON Manage | ment          | SA#56 Jun 20  | )12     | SON Concepts and require | ements                      |
| 32.511    |  | Add UTRAN     | NSON Manage | ment          | SA#56 Jun 20  | )12     | Automatic Neighbour Rela |                             |
|           |  |               |             |               |               |         | Concepts and requirement |                             |
| 32.521    |  |               | NSON Manage |               | SA#56 Jun 20  | )12     | SON Policy NRM IRP Req   |                             |
| 32.522    |  | Add UTRAN     | NSON Manage | ment          | SA#57 Sep 2   | 012     | SON Policy NRM IRP Info  | rmation Service (IS)        |
| 32.526    |  |               | SON Manage  |               | SA#57 Sep 2   | 012     | SON Policy NRM IRP Solu  | tion Set (SS) definitions   |
| 32.642    |  |               | NSON Manage |               | SA#57 Sep 2   | 012     | CM; UTRAN netw ork reso  | urces IRP NRM               |
| 32.646    |  | Add UTRAN     | NSON Manage | ment          | SA#57 Sep 2   | 012     |                          | urces IRP Solution Set (SS) |
|           |  |               |             |               |               |         | definitions              |                             |

11 Work item rapporteur(s) \*

Huawei (Kai ZHANG, kai.zhangkai@huawei.com)

12 Work item leadership \*

SA5

13 Supporting Individual Members \*

| Supporting IM name     |  |  |
|------------------------|--|--|
| Huawei Technologies    |  |  |
| Orange                 |  |  |
| Deutsche Telekom       |  |  |
| Vodafone               |  |  |
| AT&T                   |  |  |
| Ericsson               |  |  |
| QUALCOMM               |  |  |
| Alcatel-Lucent         |  |  |
| Telecom Italia         |  |  |
| Nokia Siemens Networks |  |  |

### 3.3.2 LTE Self-Organizing Networks (SON) coordination management (OAM-SON-COOR) UID\_510051

3GPP TSG SA Meeting #53SP-110522 Fukoka, Japan; 19-21 Sep 2011 3GPP TSG SA WG5 (Telecom Management) Meeting #78 S5-112711 22 - 26 August 2011; Istanbul, Turkey *revision of S5-112708* 

1 3GPP Work Area \*

| Х | Radio Access |
|---|--------------|
|   | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

| THIS W | This work tieft is a       |  |  |
|--------|----------------------------|--|--|
|        | Study Item (go to 2.1)     |  |  |
|        | Feature (go to 2.2)        |  |  |
|        | Building Block (go to 2.3) |  |  |
| Х      | Work Task (go to 2.4)      |  |  |

2.1 Study Item

| Related Work Item(s) (if any] |                              |  |  |  |
|-------------------------------|------------------------------|--|--|--|
| Unique ID                     | Title Nature of relationship |  |  |  |
|                               |                              |  |  |  |

Go to §3.

| 2.2 Feature |  |                        |  |  |
|-------------|--|------------------------|--|--|
| Related Stu | Related Study Item or Feature (if any) * |                        |  |  |
| Unique ID   | Title                                    | Nature of relationship |  |  |
|             |  |                        |  |  |

Go to §3.

| 2.3 Building Block             |       |    |  |  |
|--------------------------------|-------|----|--|--|
| Parent Feature (or Study Item) |       |    |  |  |
| Unique ID                      | Title | TS |  |  |
|                                |       |    |  |  |

This work item is ... \*

| Stage 1 (go to 2.3.1)   |
|-------------------------|
| Stage 2 (go to 2.3.2)   |
| Stage 3 (go to 2.3.3)   |
| Test spec (go to 2.3.4) |
| Other (go to 2.3.5)     |

2.3.1 Stage 1

| Source of external requirements (if any) * |          |         |  |
|--|----------|---------|--|
| Organization                               | Document | Remarks |  |
|  |          |         |  |

Go to §3.

| 2.3.2 S     | tage 2 *                        |    |  |  |
|-------------|---------------------------------|----|--|--|
| Correspondi | Corresponding stage 1 work item |    |  |  |
| Unique ID   | Title                           | TS |  |  |
|             |                                 |    |  |  |

| Other source | e of stage 1 information |         |
|--------------|--------------------------|---------|
| TS or        | Clause                   | Remarks |
| CR(s)        |                          |         |
|              |                          |         |

If no identified source of stage 1 information, justify: \*

Go to §3. 2.3.3 Stage 3 \*

| Corresponding stage 2 work item (if any) |       |    |  |  |  |
|--|-------|----|--|--|--|
| Unique ID                                | Title | TS |  |  |  |
|  |       |    |  |  |  |

| Else, corresponding stage 1 work item |          |  |  |  |  |
|---------------------------------------|----------|--|--|--|--|
| Unique ID                             | Title TS |  |  |  |  |
|                                       |          |  |  |  |  |

| Other justification                 |        |         |  |  |  |
|-------------------------------------|--------|---------|--|--|--|
| TS or CR(s)<br>Or external document | Clause | Remarks |  |  |  |
|                                     |        |         |  |  |  |

If no identified source of stage 2 information, justify: \*

Go to §3. 2.3.4 Test spec \*

| Related Wo |       |    |
|------------|-------|----|
| Unique ID  | Title | TS |
|            |       |    |

Go to §3. 2.3.5 Other \*

| Related Wor | rk Item(s) |                        |         |
|-------------|------------|------------------------|---------|
| Unique ID   | Title      | Nature of relationship | TS / TR |
|             |            |                        |         |

31

Go to §3.

| Parent Building Block |                                      |  |  |  |  |  |
|-----------------------|--------------------------------------|--|--|--|--|--|
| Unique ID             | Title                                | TS   |  |  |  |  |
| 5100xy                | Self-Organizing Networks (SON) - OAM | Note: this is a Rel-11 umbrella BB (no dedicated WID |  |  |  |  |
|                       | aspects                              | needed)  |  |  |  |  |

3 Justification \*

For LTE, SON (Self-Organizing Networks) concept and many features have been discussed and standardised. 3GPP Rel-10 work mainly focused on independent SON function. As more and more SON function management solutions being standardized, the SON coordination is becoming more important. The SON coordination has the following aspects:

1) Coordination between Configuration Management via ltf-N and configuration changes made by SON functions below ltf-N.

2) Coordination between different SON functions. Note the coordination here is not a general statement which means the coordination is needed between every SON functions. The coordination should be analyzed on a case by case basis. The coordination is usually needed for preventing or resolving the conflicting configuration parameter changes triggered by different SON functions and/or between SON function(s) and bulk/basic CM.

Besides this kind of typical case, the coordination includes other cases that there are some relations between SON functions and these relations should be cared to make these SON functions run well and not make negative impacts on each other. The coordination between Cell Outage Compensation and Energy Saving Management, which is described in TS 32.522, is a good example of that.

### 4 Objective \*

Specify SON coordination solutions for the following aspects:

1) Coordination between Configuration Management (bulk/basic CM) via Itf-N and configuration parameters changes made by SON functions below ltf-N. The SON coordination solutions may include management of SON policies (e.g., preference, weight, etc.) and methods for preventing or resolving conflicts between bulk/basic CM and SON functions. 2) Coordination between different SON functions below ltf-N based on case by case approach. SA5 will do any necessary changes on the ltf-N interface, and may send liaison to RAN work groups for any changes required on the X2 interface. The SON coordination solutions may include management of SON policies (e.g., preference, weight, etc.) and methods for preventing or resolving conflicts between SON functions.

Coordination between configuration changes triggered by EM centralized SON functions and distributed SON functions. Coordination between configuration changes triggered by distributed SON functions.

Coordination between SON functions to make these SON functions run well and not make negative impacts on each other.

5 Service Aspects N/A
6 MMI-Aspects N/A
7 Charging Aspects N/A
8 Security Aspects N/A
9 Impacts \*

| Affects:   | UICC apps | ME | AN | CN | Others |
|------------|-----------|----|----|----|--------|
| Yes        |           |    | Х  |    |        |
| No         | Х         | Х  |    |    |        |
| Don't know |           |    |    | Х  | Х      |

### 10 Expected Output and Time scale \*

| New sp    | ecifica | ations *     |              |             |                         |        |                            |          |
|-----------|---------|--------------|--------------|-------------|-------------------------|--------|----------------------------|----------|
| [If Study | ltem    | , one TR is  | anticipated] |             |                         |        |                            |          |
| Spec      | Title   | Prime        | 2ndary rsp.  | Presented f | or                      | Approv | ed at plenary#             | Comments |
| No.       |         | rsp. WG      | WG(s)        | information | information at plenary# |        |                            |          |
|           |         |              |              |             |                         |        |                            |          |
| Affected  | exist   | ting specifi | cations *    |             |                         |        |                            |          |
|           |         | case of Stu  | udy Items]   |             |                         |        |                            |          |
| Spec      | CR      | Subject      |              |             | Approved a              | t      | Comments                   |          |
| No.       |         |              |              |             | plenary#                |        |                            |          |
| 32.500    |         | Add LTE S    | SON Coordina | ation       | SA#56 Jun               | 2012   | SON Concepts and requirem  | ents     |
|           |         | Manageme     | ent          |             |                         |        |                            |          |
| 32.521    |         | Add LTE S    | SON Coordina | ation       | SA#56 Jun               | 2012   | SON Policy NRM IRP Require | ements   |
|           |         | Manageme     | ent          |             |                         |        |                            |          |

| 32.522 | Add LTE SON Coordination<br>Management |                | SON Policy NRM IRP Information Service (IS)   |
|--------|--|----------------|---|
| 32.526 | Add LTE SON Coordination<br>Management | SA#57 Sep 2012 | SON Policy NRM IRP Solution Set (SS) definitions  |
| 32.762 | Add LTE SON Coordination<br>Management |                | E-UTRAN Network Resource Model (NRM) Integration Reference<br>Point (IRP): Information Service (IS)                   |
| 32.766 | Add LTE SON Coordination<br>Management |                | E-UTRAN Network Resource Model (NRM) Integration Reference<br>Point (IRP): Solution Set (SS) definitions              |
| 32.425 | Add LTE SON Coordination<br>Management | SA#57 Sep 2012 | Performance Management (PM); Performance measurements<br>Evolved Universal Terrestrial Radio Access Network (E-UTRAN) |

11 Work item rapporteur(s) \*
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Nokia Siemens Networks (Clemens Suerbaum, clemens.suerbaum@nsn.com)
12 Work item leadership \*

12 SA5

| Supporting IM name     |
|------------------------|
| Huawei Technologies    |
| Nokia Siemens Networks |
| AT&T                   |
| China Unicom           |
| Deutsche Telekom       |
| ETRI                   |
| Intel                  |
| Ericsson               |
| PIWorks                |
| ZTE                    |
| Alcatel-Lucent         |

## 4 Charging Management small Enhancements (CH11) UID\_510052

## 4.1 Add solutions for Rc - reference point within the Online Charging System (OCS) (CH-Rc) UID\_470045 Moved from Rel-10

TSG SA Meeting #51SP-110129 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-111437 Meeting SA5#76, 28 February - 4 March 2011, San Diego, USA *revision of SP-100078* 

Technical Specification Group Services and System AspectsTSGS#47(10)0078 Meeting #47; Vienna, Austria; 22-25 March 2010 3GPP TSG-SA5 (Telecom Management) S5-100993 Meeting SA5#70, 1 - 5 Mar 2010, Xiamen, China *revision of S5-100822* 

1 3GPP Work Area \*

|   | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \* This work item is a ... \*

| 11110 W |                            |  |  |  |
|---------|----------------------------|--|--|--|
|         | Study Item (go to 2.1)     |  |  |  |
|         | Feature (go to 2.2)        |  |  |  |
| Х       | Building Block (go to 2.3) |  |  |  |
|         | Work Task (go to 2.4)      |  |  |  |

2.3 Building Block

| Parent Feature (or Study Item) |  |   |  |  |  |
|--------------------------------|--|---|--|--|--|
| Unique ID                      | Title  | TS  |  |  |  |
| 5100xy                         | Charging Managementsmall Enhancements (CH11) | Note: this is a Rel-11 umbrella Feature (no dedicated WID needed) |  |  |  |

This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |
|---|-------------------------|
|   | Stage 2 (go to 2.3.2)   |
| Х | Stage 3 (go to 2.3.3)   |
|   | Test spec (go to 2.3.4) |
|   | Other (go to 2.3.5)     |

2.3.3 Stage 3 \*

| Correspond | ding stage 2 work item (if any)   |           |
|------------|---|-----------|
| Unique ID  | Title   | TS        |
| 410044     | Rel-10 SA5 Study on Rc Reference Point Functionalities and Message Flows (FS_CH_Rc) | TR 32.825 |

| Else, corresponding stage 1 work item |          |   |  |  |  |  |
|---------------------------------------|----------|---|--|--|--|--|
| Unique ID                             | Title    | TS  |  |  |  |  |
| SA1 various                           | Charging | TS 22.115 (Service aspects; Charging and billing) |  |  |  |  |

3 Justification \*

This work item is triggered by the SA5 Rel-10 study TR 32.825 containing the analysis for requirements, functionality, basic operations and message flows of Rc reference point.

The output of TR 32.825 needs to be incorporated into the corresponding TSs as optional solutions for the Rc reference point (reference point between Online Charging Function (OCF) and Account Balance Management Function (ABMF) within OCS).

4 Objective \*

This work item aims to specify the solutions for Rc reference point based on the recommendation in TR 32.825, including functionality, basic operations, message flows, parameter definitions and protocol implementation.

5 Service Aspects
None
6 MMI-Aspects
None
7 Charging Aspects
This is a charging work item.
8 Security Aspects
None
9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    |    | Х  |        |
| No         | Х    | Х  | Х  |    | Х      |
| Don't know |      |    |    |    |        |

10 Expected Output and Time scale \*

|          |       |   |                 |                      |       | cations *                        |                         |  |
|----------|-------|---|-----------------|----------------------|-------|----------------------------------|-------------------------|--|
|          |       |   |                 |                      |       | R is anticip                     |                         |  |
| Spec No. | Title |   | Prime rsp<br>WG | 2ndary rsp.<br>WG(s) | info  | sented for<br>rmation at<br>ary# | Approved at<br>plenary# | Comments   |
|          |       |   |                 |                      |       |                                  | *                       |  |
|          |       |   |                 |                      |       | specification                    |                         |  |
|          |       |   | [No             | ne in the ca         | ase ( | of Study Ite                     | ems]                    |  |
| Spec No. | CR    | Subject   |                 |                      |       | Approved at                      | t plenary#              | Comments   |
| 32.296   |       | Options to add<br>operations, me<br>parameter defi<br>Annex | ssage flo       | ws and               |       | SA#55 Mai                        |                         | Telecommunication<br>management; Charging<br>management; Online<br>Charging System (OCS):<br>Applications and interfaces |
| 32.299   |       | Diameter proto  | col Implic      | ations               |       | SA#55 Mai                        | -                       | Telecommunication<br>management; Charging<br>management; Diameter<br>charging applications                               |

11 Work item rapporteur(s) \*

Mingjun Shan, Huawei [shan.mingjun@huawei.com]

12 Work item leadership \*

SA5

| Supporting IM name     |  |  |
|------------------------|--|--|
| Huawei                 |  |  |
| China Mobile           |  |  |
| ZTE                    |  |  |
| AT&T                   |  |  |
| Openet                 |  |  |
| Amdocs                 |  |  |
| Deutsche Telekom       |  |  |
| Nokia Siemens Networks |  |  |
|                        |  |  |

## 4.2 Charging for Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related Data Bases (PEST-CH) UID\_510060

TSG SA Meeting #51SP-110127 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-110535 SA5#75, 24 - 28 Jan 2011; Sorrento, ITALY *revision of SP-110502* 

TSG SA Meeting #51SP-110126 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-111374

SA5#76, 28 Feb - 4 Mar 2011; San Diego, USA revision of S5-111309 3GPP Work Area \*

|   | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

| This wo | This work item is a *      |  |  |
|---------|----------------------------|--|--|
|         | Study Item (go to 2.1)     |  |  |
|         | Feature (go to 2.2)        |  |  |
| Х       | Building Block (go to 2.3) |  |  |
|         | Work Task (go to 2.4)      |  |  |

### 2.3 Building Block

| Parent Feature (or Study Item) |        |  |    |  |
|--------------------------------|--------|--|----|--|
|                                | Unique | Title  | TS |  |
|                                | ID     |  |    |  |
|                                | 500006 | Rel-10 PEST (Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related            | -  |  |
|                                |        | Databases)   |    |  |
|                                | 5100xy | Charging for PEST is specified in ReI-11 due to the freezing of 3GPP ReI-10 in Mar 2011, as a Building Block | -  |  |
|                                |        | under the generic SA5 Feature Umbrella: Charging Management small Enhancements (CH11).                       |    |  |

This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |
|---|-------------------------|
|   | Stage 2 (go to 2.3.2)   |
| Х | Stage 3 (go to 2.3.3)   |
|   | Test spec (go to 2.3.4) |
|   | Other (go to 2.3.5)     |

2.3.3 Stage 3 \*

| Corresponding stage 2 work item (if any) |   |   |  |  |  |  |
|--|---|---|--|--|--|--|
| Unique ID                                | Title   | TS  |  |  |  |  |
| 500106                                   | Stage 2 for Policy Enhancements for<br>Sponsored Connectivity and Coherent Access | 23.002, 23.203  |  |  |  |  |
|  | to Policy related Data Bases (Rel-10)   | SA#49 work completed as SA2 CRs approved under TEI10 (SP-10557) |  |  |  |  |

3 Justification \*

This work item provides the Charging functionality for the Rel-10 Feature-Level work item PEST (Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related Databases) UID\_500006.

With the emerging of innovative IP services, the transactional data usage is becoming more and more prevalent on the mobile. For example, the user downloads a purchased ebook from an online store; the user purchases and downloads a game from an operator store; the user views free trailer clip from an online library to determine whether to buy the entire movie or not. In many cases, the Sponsor (e.g., Application service provider) pays for the user's data usage in order to allow the user to access the Application Service Provider's services. This enables additional revenue opportunities for both the Application service providers and the operators.

### Release 11

In particular, such dynamic data usage provided by the Sponsor allows the operator to increase revenues from the users with limited data plans. The user may have limited data plans allowing only a nominal data volume per month and the Sponsor may dynamically sponsor additional volume for the user to allow access to the services offered by the Application service providers.

36

The PCC framework can be enhanced to enable such use cases, in particular, it allows the operator to provide service control based on such sponsored services. For example, it allows a dynamic IP flow to be excluded from the user's data plan since a Sponsor might sponsor the data usage for the identified IP flows. For example, the user may use the limited data plan to browse an online store for interested books; but once a book is purchased, the data usage for downloading the book can be granted for free. In addition, the IP flow may also be granted certain level of QoS (e.g. video streaming).

TR 23.813 studied the feasibility of these scenarios of sponsored connectivity in the key issue 1 and converged into a set of extensions to the PCC procedures which will allow the operator to provide sponsored connectivity to sponsor entities.

In addition to Key Issue 1, SA2 also studied the feasibility of Key issue 2 - Coherent access to Policy related databases within TR 23.813. It enables UDR (User Data Repository) in the PCC architecture as an optional functional entity where PCC related subscriber data can be stored and retrieved by the PCRF through the Ud interface. This deployment scenario does not require SPR and allows the PCRF access to the PCC related subscriber data stored in the UDR.

### 4 Objective \*

The objective is to enhance existing PS/EPS charging with support for the sponsored connectivity sessions in alignment of the Stage 2 TS 23.203.

### 5 Service Aspects

Covered by the Rel-10 Feature-Level work item PEST (Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related Databases) UID\_500006.

### 6 MMI-Aspects

Covered by the Rel-10 Feature-Level work item PEST (Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related Databases) UID\_500006.

### 7 Charging Aspects

This is a Charging Work Item

### 8 Security Aspects

Covered by the Rel-10 Feature-Level work item PEST (Policy Enhancements for Sponsored Connectivity and Coherent Access to Policy related Databases) UID 500006.

### 9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    |    | Х  |        |
| No         | Х    | Х  | Х  |    | Х      |
| Don't know |      |    |    |    |        |

### 10 Expected Output and Time scale \*

| New specifications * [If Study Item, one TR is anticipated] |   |               |                           |                                       |   |                      |  |          |  |
|---|---|---------------|---------------------------|---------------------------------------|---|----------------------|--|----------|--|
| Spec<br>No.   | Titl  | Prime rsp. WC |                           | Presented for information at plenary# |   | Approved at plenary# |  | Comments |  |
|   | Affected existing specifications *<br>[None in the case of Study Items] |               |                           |                                       |   |                      |  |          |  |
| Spec<br>No.   | CR  | RSubject      |                           | Approved at pl                        | at plenary# Comments                                |                      |  |          |  |
| 32.251  | 1 Sponsored connectivity sessions charging<br>enhancements              |               | SA#56 Jun 2012            |                                       | Charging Data Record (CDR) parameter<br>description |                      |  |          |  |
| 32.298  | 3 CDR enhancements  |               | SA#56 Jun 2012            |                                       | Packet Switched (PS) domain charging                |                      |  |          |  |
| 32.299  | Diameter charging application enhancements                              |               | SA#56 Jun 20 <sup>-</sup> | 12 Diameter charging applications     |   | applications         |  |          |  |

- Work item rapporteur(s) \*
   Patrik Teppo (patrik teppo at ericsson dot com)
   Work item leadership \*
   SA5

- 13 Supporting Individual Members \*

| Supporting IM name     |
|------------------------|
| Alcatel-Lucent         |
| AT&T                   |
| Ericsson               |
| Nokia Siemens Networks |

#### 5

## Transit Inter Operator Identifier for IMS Interconnection Charging in multi operator environment (IOI\_IMS\_CH) UID\_510029

TSG SA Meeting #51SP-110132 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-110422

SA5#75, 24 - 28 Jan 2011; Sorrento, ITALY revision of S5-110248

| 1 3GI | 1 3GPP Work Area * |  |  |  |  |
|-------|--------------------|--|--|--|--|
|       | Radio Access       |  |  |  |  |
| Х     | Core Network       |  |  |  |  |
|       | Services           |  |  |  |  |

38

2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... '

|   | Study Item (go to 2.1)     |
|---|----------------------------|
| Х | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
|   | Work Task (go to 2.4)      |

This work item is ... \*

|   | Stage 1 (go to 2.3.1)   |
|---|-------------------------|
| Х | Stage 2 (go to 2.3.2)   |
| Х | Stage 3 (go to 2.3.3)   |
|   | Test spec (go to 2.3.4) |
|   | Other (go to 2.3.5)     |

2.3.3 Stage 3 \*

| Corresponding stage 2 work item (if any) |       |   |  |  |
|--|-------|---|--|--|
| Unique ID                                | Title | TS  |  |  |
|  |       | Rel-11 CT1 TS 24.229 (IP multimedia call control<br>protocol based on Session Initiation Protocol (SIP)<br>and Session Description Protocol (SDP); Stage 3) |  |  |

3 Justification \*

Charging requirements defined in SA1 TS 22.115 contain Interconnection Charging (see Clause 4.3.3), where IMS transit scenarios are explicitly listed.

In IMS transit scenarios, one to many transit operators are between the originating and terminating operator. The interconnection business of operators may require to identify all carriers involved in the transit scenario for charging purposes.

Currently, interconnection charging in IMS is only possible where maximum two carriers are included in the charging process, since the P-Charging-Vector Header only contains two Inter Operator Identifiers ("orig-ioi" and "term-ioi"). Thus, transit carriers can not be identified so far. This work item intends to close this gap.

4 Objective

In order to improve the IMS charging capabilities to reflect transit scenarios in multi operator environments, a Transit IOI shall be added to the IMS Charging data. This parameter will help to identify all carriers involved in the transit scenario. Since IMS Charging already populates several charging parameters (orig-ioi, tem-ioi, icid) based on the P-Charging-Vector defined in the CT1 TS 24.229 (IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3), and CT3 TS 29.165 (Inter-IMS Network to Network Interface (NNI), Stage 3) also supports the transfer of the P-Charging-Vector between interconnected networks in case of a trust relationship, the Transit IOI shall be added to the P-Charging-Vector as well.

Enhancements of the P-Charging-Vector affect 3GPP specifications outside SA5 (e.g. CT1 TS 24.229) and therefore need to be coordinated with CT1 and CT3.

A new Offline- and/or Online Charging AVP for the Transit IOI needs to be added to IMS charging.

5 Service Aspects

None

6 MMI-Aspects

None

7 Charging Aspects This is a Charging work item

#### 8 Security Aspects

None

9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    |    | Х  |        |
| No         | Х    | Х  | Х  |    | Х      |
| Don't know |      |    |    |    |        |

39

10 Expected Output and Time scale \*

| [If Study                          | Item  | , one TR is ant | icipated]   |                              |                      |          |
|------------------------------------|-------|-----------------|-------------|------------------------------|----------------------|----------|
| Spec                               | Title | Prime rsp.      | 2ndary rsp. | Presented for information at | Approved at plenary# | Comments |
| No.                                |       | WG              | WG(s)       | plenary#                     |                      |          |
|                                    |       |                 |             |                              |                      |          |
| Affected existing specifications * |       |                 |             |                              |                      |          |

| Allected | 613   | ung        | sμc  | cilicat | 10115  |
|----------|-------|------------|------|---------|--------|
| [None in | the o | case       | e of | Study   | ltems] |
| -        |       | <b>.</b> . |      | -       | -      |

| Spec   | CR | Subject                                       | Approved at    | Comments   |  |  |
|--------|----|---|----------------|--|--|--|
| No.    |    |   | plenary#       |  |  |  |
| 32.240 |    | Charging Architecture and Principles          | SA#54 Dec 2011 | Modify the description for Inter-network correlation |  |  |
| 32.260 |    | Update Charging Principles for Inter Operator | SA#54 Dec 2011 | Add new Transit IOI parameter to IP Multimedia       |  |  |
|        |    | Identifier description (Stage 2)              |                | Subsystem (IMS) charging                             |  |  |
| 32.298 |    | Align TS 32.298 w ith Stage 2 TS 32.260       | SA#55 Mar 2012 | Add new Transit IOI parameter to Charging Data       |  |  |
|        |    |   |                | Record (CDR) parameter description                   |  |  |
| 32.299 |    | Align TS 32.299 w ith Stage 2 TS 32.260       | SA#55 Mar 2012 | Add new Transit IOI AVP to Diameter charging         |  |  |
|        |    |   |                | application  |  |  |

11 Work item rapporteur(s) \* Matthias Seibel, Deutsche Telekom [matthias.seibel@telekom.de]

Work item leadership \* 12

SA5

Coordination needed with CT1 and CT3.

Supporting Individual Members \* 13

| Supporting IM name    |  |  |
|-----------------------|--|--|
| AT&T                  |  |  |
| China Mobile          |  |  |
| Deutsche Telekom      |  |  |
| Ericsson              |  |  |
| Huawei                |  |  |
| Nokia Siemens Network |  |  |

## 6 Studies

## 6.0 Study on Usage Monitoring Control Enhancement (FS\_UMONC) UID\_520035

TSG SA Meeting #51SP-110349 Bratislava, Slovakia, 06 - 08 June, 2011

1 3GPP Work Area \*

|   | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... \*

| Х | Study Item (go to 2.1)     |
|---|----------------------------|
|   | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |

3 Justification \*

Usage monitoring control has been introduced into PCC since Rel-9 which provides the operator the capability to enforce dynamic policy decisions based on total network usage in real-time. It was enhanced under SAPP Work Item in rel-11 to support usage monitoring for services that are detected by the TDF. It need to be studied if the following requirements can be fulfilled within the existing PCC framework or extensions are needed:

How one service/application can be included in more than one monitoring group.

How a service data flow/application can be disabled from the existing usage monitoring group of services/group of applications.

How to exclude the usage of a particular service data flow/application from the accumulated usage for the IP-CAN session/TDF session.

Operators may have different usage allowance for the same service data flow/application or IP-CAN/TDF session in the different condition, e.g. leisure and busy hour, roaming and non-roaming. It is useful to optimize the procedure to reduce concurrent signalling caused by allowance change due to such condition, e.g. by keeping the accumulated usage value when the usage threshold is changed, but report it only when the next report (e.g. session termination, report on demand from PCRF etc.) is done i.e. to avoid many simultaneous reports.

Furthermore it is needed to study following capability :

How to apply usage control for a subscriber group e.g. the members of a family or a company, or a group of devices belonging to a subscriber, that share the same usage allowance threshold.

4 Objective \*

This Study item aims to investigate if enhancements to the existing PCC architecture are needed.

For those that are needed, specify the enhancements to the policy control architecture to lift the possible restrictions of the usage monitoring control as mentioned in the justification part

Specifically, potential enhancements may include:

Derive possible requirements and architecture enhancement for monitoring of one service/application for multiple purposes (can be included in more than one monitoring group).

Derive possible requirements for and study how a service data flow/application can be disabled from the existing usage monitoring group of services/group of applications.

Study the need for and derive possible requirements for excluding the usage of a particular service data flow/application from the accumulated usage for the IP-CAN session/TDF session.

How to optimize the procedure to reduce IP-CAN session/TDF session signalling in general, e.g. by keeping the accumulated usage value when the usage threshold is changed, but report it only when the next report (e.g. session termination, report on demand from PCRF etc.) is done.

Furthermore this study item will investigate various solutions on:

Derive possible requirements and architecture enhancement for usage control for a subscriber group e.g. the members of a family or a company subscriber, or a group of devices belonging to a subscriber that share the same usage allowance threshold.

5 Service Aspects

The proposed work will not impact specific services but is likely to have some impact on aspects of service delivery. 6 MMI Aspects

N/A

# 7 Charging Aspects N/A. 8 Security Aspects N/A 9 Impacts \*

| Affects:   | UICC<br>apps | ME | AN | CN | Others |
|------------|--------------|----|----|----|--------|
| Yes        |              |    |    | Х  |        |
| No         | Х            | Х  | Х  |    |        |
| Don't know |              |    |    |    | Х      |

10 Expected Output and Time scale \*

| Spec No.   | Title    |                                       |     | rsp. WG(s) | Presented for<br>information at<br>plenary# | Approved at<br>plenary# | Comments |
|------------|----------|---------------------------------------|-----|------------|---|-------------------------|----------|
| 23.8xx     | 0        | Monitoring<br>I Enhancement           | SA2 |            | SA#54(Dec<br>2011)                          | SA#55(Mar<br>2012)      |          |
| 32.8xx     | Monito   | on Usage<br>ring Control<br>nhanœment | SA5 |            | SA#54(Dec<br>2011)                          | SA#55(Mar<br>2012)      |          |
| Affected e | existing | specifications *                      |     |            |   |                         | ·        |
| [None in   | the case | of Study Items]                       |     |            |   |                         |          |
| Spec No.   | CR       | Subject                               |     |            | Approved a                                  | t plenary#              | Comments |

11 Work item rapporteur(s) \*

Zaifeng Zong (<u>zong.zaifeng@zte.com.cn</u>) (SA2) Mian Li (<u>Li.Mian@zte.com.cn</u>) (SA1) Hui Cai (<u>Sarah.Cai@huawei.com</u>) (SA5) 12 Work item leadership \*

- SA2 (primary) SA1 (secondary) SA5 (secondary)
- 13 Supporting Individual Members \*

| Supporting IM name   |
|----------------------|
| China Telecom        |
| China Unicom         |
| KDDI                 |
| BT                   |
| Telecom Italia       |
| ZTE                  |
| Allot Communications |
| Tekelec              |
| Bridgewater          |
| GENBAND              |
| Hitachi              |
| Huawei               |
| Openet               |
| Vodafone             |
| NTT Docomo           |

## 6.1 Study on version handling (FS\_OAM\_VH) UID\_470050 Moved from ReI-10

Technical Specification Group Services and System Aspects TSGS#47(10)0082 Meeting #47; Vienna, Austria; 22-25 March 2010 3GPP TSG-SA5 (Telecom Management) S5-100338 Meeting SA5#70, 1-5 Mar 2010, Xiamen, P.R. China

1 3GPP Work Area \*

| Х | Radio Access |
|---|--------------|
| Х | Core Network |

| S  | Services  |                               |                        |  |  |  |
|--|---|-------------------------------|------------------------|--|--|--|
|  |   |                               |                        |  |  |  |
| 2 Classi   | fication of WI a                                      | nd linked work items          |                        |  |  |  |
|  | ry classification                                     |                               |                        |  |  |  |
|  | item is a *   |                               |                        |  |  |  |
| x S  | tudy Item (go to                                      | o 2.1)                        |                        |  |  |  |
| F  | eature (go to 2                                       | .2)                           |                        |  |  |  |
| B  | uilding Block (g                                      | go to 2.3)                    |                        |  |  |  |
| V  | Vork Task (go t                                       | o 2.4)                        |                        |  |  |  |
| I  |   |                               |                        |  |  |  |
| 2.1 Study  | Item  |                               |                        |  |  |  |
| Related V  | /ork ltem(s) (if a                                    | any)                          |                        |  |  |  |
| Unique ID  | Title   |                               | Nature of relationship |  |  |  |
|  |   |                               |                        |  |  |  |
|  | •   |                               |                        |  |  |  |
| Go to §3.  |   |                               |                        |  |  |  |
| 2.2 Featu  |   |                               |                        |  |  |  |
| Related S  | tudy Item or Fe                                       | ature (if any) *              |                        |  |  |  |
| Unique ID  | Title   |                               | Nature of relationship |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
| Go to §3.  |   |                               |                        |  |  |  |
| 2.3 Buildir  |   |                               |                        |  |  |  |
|  | ature (or Study                                       | /Item)                        |                        |  |  |  |
| Unique ID  |   | ,                             | TS                     |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
| This work  | item is *   |                               |                        |  |  |  |
|  | tage 1 (go to 2                                       | .3.1)                         |                        |  |  |  |
|  | tage 2 (go to 2                                       |                               |                        |  |  |  |
|  | tage 3 (go to 2                                       | 3 3)                          |                        |  |  |  |
|  |   |                               |                        |  |  |  |
|  | Test spec (go to 2.3.4)           Other (go to 2.3.5) |                               |                        |  |  |  |
|  | $rac{1}{2.3}$   | 5)                            |                        |  |  |  |
| 0.0.4  | 01  |                               |                        |  |  |  |
| 2.3.1  | Stage 1   | ····· /: f ···· ) *           |                        |  |  |  |
|  |   | rements (if any) *            |                        |  |  |  |
| Organizat  | ion Docu  | ment                          | Remarks                |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
| Go to §3.  | 04  |                               |                        |  |  |  |
| 2.3.2  | Stage 2 *   |                               |                        |  |  |  |
| Corresponding stage 1 work item Unique ID Title TS |   |                               |                        |  |  |  |
| Unique ID  | TS  |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
|  | irce of stage 1 i                                     | nformation                    |                        |  |  |  |
| TS or  | TS or Clause Remarks                                  |                               |                        |  |  |  |
| CR(s)  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
| If no ident  | ified source of                                       | stage 1 information, justify: | *                      |  |  |  |
| Go to §3.  |   | 0                             |                        |  |  |  |
| 2.3.3  | Stage 3 *   |                               |                        |  |  |  |
|  |   | vork item (if any)            |                        |  |  |  |
| Unique ID  | TS  |                               |                        |  |  |  |
| Offique ib   | Title   |                               |                        |  |  |  |
| <u> </u>   |   |                               |                        |  |  |  |
| Elso corr  | esponding stop  | e 1 work item                 | 1                      |  |  |  |
|  | esponding stag  |                               |                        |  |  |  |
| Unique ID  | Title   |                               | TS                     |  |  |  |
|  |   |                               |                        |  |  |  |
|  |   |                               |                        |  |  |  |
| Other just   |   |                               |                        |  |  |  |
| TS or CR   |   | Clause                        | Remarks                |  |  |  |
|  |   | 1                             |                        |  |  |  |
| Or externa   | al document   |                               |                        |  |  |  |

If no identified source of stage 2 information, justify: \*

Go to §3. 2 3 4 Test spec \*

| Z.3.4 I              | estspec |    |  |  |  |
|----------------------|---------|----|--|--|--|
| Related Work Item(s) |         |    |  |  |  |
| Unique ID            | Title   | TS |  |  |  |
|                      |         |    |  |  |  |

Go to §3.

| 2.3.5     | Other *     |                        |         |
|-----------|-------------|------------------------|---------|
| Related W | ork Item(s) |                        |         |
| Unique ID | Title       | Nature of relationship | TS / TR |
|           |             |                        |         |

Go to §3.

| 2.4 Work     | k task *   |    |
|--------------|------------|----|
| Parent Build | ling Block |    |
| Unique ID    | Title      | TS |
|              |            |    |

#### 3 Justification \*

There are a number of issues and inconsistencies with version handling in the current set of SA5 specifications (e.g. IRP specifications, PM specifications, Trace specifications).

Issue 1: Network Resource Model (NRM) object version handling

In today's set of standard IRP specifications, there is support for an IRPManager to retrieve a list from the IRPAgent about which IRPVersion(s) (of the NRM IRP SSs) that the IRPAgent supports, one or more. But if the IRPAgent supports more than one IRPVersion, there is no standardised way to know which IRPVersion that a particular Managed Object (MO) instance belongs to. Thus, there is an information gap on Itf-N which needs to be filled.

Issue 2: Version handling of Interface IRPs versus NRM IRPs

For IRPManager to obtain the IRPVersion(s) of an IRPAgent's supported Interface IRPs and supported NRM IRPs, IRPManager needs different operations. It might be beneficial for IRPManager to use identical/similar/same operation (to achieve some level of consistency) to obtain the two different kind of information.

Issue 3: Version handling of management information such as alarms, measurements and trace data Currently there is no version handling defined in SA5 for management information such as alarms, measurements and trace data.

Issue 4: SOA support

As we have recently (ReI-9) introduced SOA (Service Oriented Architecture) for IRPs, we should also study if and how the version handling can satisfy the needs of SOA, and how SOA may provide capabilities for a coherent version handling (e.g. registration & discovery).

#### 4 Objective \*

To perform a study comprising the following steps:

Document current version handling in SA5 specifications

Identify and agree on the use cases and requirements for for a coherent version handling approach.

Identify alternative solutions with their pros and cons to support the identified requirements. The solutions may comprise rules in the IRP methodology documentation as well as enhancements of existing or new IRP specifications, while utilizing SOA capabilities.

Agree on one of the proposed alternative solutions and document that as a recommendation in the Technical Report's conclusions.

5 Service Aspects N/A 6 MMI-Aspects N/A 7 Charging Aspects N/A 8 Security Aspects N/A 9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  | Х  |        |
| No         | Х    | Х  |    |    |        |
| Don't know |      |    |    |    | Х      |

10 Expected Output and Time scale \*

| Spec No.     | Title            | e TR is anticipa                   | IPrime | Ondon/ | Dropontod for                            | Approved et             | Comments |
|--------------|------------------|------------------------------------|--------|--------|--|-------------------------|----------|
| Spec No.     | nue              |                                    | -      |        | Presented for<br>information at plenary# | Approved at<br>plenary# | Comments |
| TR<br>32.830 | Study of handlin | on version<br>ng                   | SA5    |        | TSG SA#50 Dec<br>2010                    | TSG SA#54<br>Dec 2011   |          |
| [None in     | the case         | specifications *<br>of Study Items |        |        | I  | I                       |          |
| Spec No.     | CR               | Subject                            |        |        | Approved at plenary                      | #                       | Comments |
|              |                  |                                    |        |        |  |                         |          |
|              |                  |                                    |        |        |  |                         |          |
|              |                  |                                    |        |        |  |                         |          |
|              |                  |                                    |        |        |  |                         |          |

Work item rapporteur(s) \* 11

Thomas Tovinger, Ericsson

12 SA5 Work item leadership \*

13 Supporting Individual Members \*

| Supporting IM name     |
|------------------------|
| Ericsson               |
| Nokia Siemens Networks |
| Huawei                 |
| Alcatel-Lucent         |
| China Mobile           |

#### Study on Management of Converged Networks 6.2 (FS\_ManCon) UID\_480047 Moved from Rel-10

#### 1 3GPP Work Area \*

| Х | Radio Access |
|---|--------------|
| Х | Core Network |
|   | Services     |

#### 2 Classification of WI and linked work items

2.0 Primary classification \*

This work item is a ... \*

| Х | Study Item (go to 2.1)     |
|---|----------------------------|
|   | Feature (go to 2.2)        |
|   | Building Block (go to 2.3) |
|   | Work Task (go to 2.4)      |

2.1 Study Item

| Related Work Item(s) (if any] |       |                        |
|-------------------------------|-------|------------------------|
| Unique ID                     | Title | Nature of relationship |
|                               |       |                        |

| Go to §3.<br>2.2 Feature               |  |  |  |
|--|--|--|--|
| Related Stu                            | Related Study Item or Feature (if any) * |  |  |
| Unique ID Title Nature of relationship |  |  |  |
|  |  |  |  |

Go to §3.

| 2.3 Building Block |                                |  |  |  |
|--------------------|--------------------------------|--|--|--|
| Parent Feat        | Parent Feature (or Study Item) |  |  |  |
| Unique ID Title TS |                                |  |  |  |
|                    |                                |  |  |  |

This work item is ... \* Stage 1 (go to 2.3.1)

|   | Stage 2 (go to 2.3.2)   |  |
|---|-------------------------|--|
|   | Stage 3 (go to 2.3.3)   |  |
|   | Test spec (go to 2.3.4) |  |
| Х | Other (go to 2.3.5)     |  |

2.3.1 Stage 1

| Source of external requirements (if any) * |          |         |
|--|----------|---------|
| Organization                               | Document | Remarks |
|  |          |         |

45

Go to §3.

2.3.2 Stage 2 \*

| Corresponding stage 1 work item |       |    |
|---------------------------------|-------|----|
| Unique ID                       | Title | TS |
|                                 |       |    |

| Other source of stage 1 information |        |         |
|-------------------------------------|--------|---------|
| TS or<br>CR(s)                      | Clause | Remarks |
|                                     |        |         |

#### If no identified source of stage 1 information, justify:\*

| Go to §3.                                |                 |    |  |  |
|--|-----------------|----|--|--|
| 2.3.3 S                                  | 2.3.3 Stage 3 * |    |  |  |
| Corresponding stage 2 work item (if any) |                 |    |  |  |
| Unique ID                                | Title           | TS |  |  |
|  |                 |    |  |  |

| Else, corresponding stage 1 work item |       |    |
|---------------------------------------|-------|----|
| Unique ID                             | Title | TS |
|                                       |       |    |

| Other justification  |        |         |
|----------------------|--------|---------|
| TS or CR(s)          | Clause | Remarks |
| Or external document |        |         |
|                      |        |         |

#### If no identified source of stage 2 information, justify:\*

Go to §3.

| 2.3.4      | Test spec *          |  |  |
|------------|----------------------|--|--|
| Related Wo | Related Work Item(s) |  |  |
| Unique ID  | Unique ID Title TS   |  |  |
|            |                      |  |  |

Go to §3.

| 2.3.5 (    | Other *     |                        |         |
|------------|-------------|------------------------|---------|
| Related Wo | ork Item(s) |                        |         |
| Unique ID  | Title       | Nature of relationship | TS / TR |
|            |             |                        |         |

Go to §3.

| 00.030.      |            |    |
|--------------|------------|----|
| 2.4 Work     | < task *   |    |
| Parent Build | ling Block |    |
| Unique ID    | Title      | TS |
|              |            |    |

3 Justification \*

The management of fixed and mobile networks is currently structured along silos with different management approaches and little interaction between them. Also the operations departments of the service providers operate today in a quite independent manner. All this leads to high CAPEX and OPEX.

Ever increasing cost pressure requires reducing OPEX and CAPEX. One possibility to do so is by common management of existing as well as converged networks. Common management of existing as well as converged networks is here defined by the following:

• Harmonisation and alignment of management approaches: For harmonisation of generic model parts and FM Interface between TMF and 3GPP there are already two Study Items in place in 3GPP. Additionally joint working groups have been formed to drive the work forward. A new study on the PM Interface is under consideration as well.

46

- Identification of operational problems and their solutions: In today's networks there is little or no interaction between the management silos for wireless and wireline management. Removal of these silos will provide solutions for management problems that cannot be addressed today. A main challenge lies in the identification of the potential that can be leveraged by the new harmonized and converged management approach.
- **Management of the converged network**: As the network converges and allows for more services, also this network as such needs to be managed.

The challenges of convergence cannot be solved by 3GPP alone. Instead a close cooperation with other relevant SDOs and industry fora is required.

#### 4 Objective \*

The main objectives of this study can be summarized as follows

- Develop use case for
  - o (a) Common management of existing multi-technology networks (e.g. wireline, wireless)
  - o (b) Management of converged networks
- Identify the main operational problems to be addressed and for which solutions should be provided
- Provide a high level solution proposal for each of the main problems identified above
- Identify SDOs and industry fora which should be involved in the work on convergence
- Set up the required relationships to the above identified bodies

It is not within the scope of this study to address harmonisation of management interfaces. As mentioned above, this is addressed in dedicated study and work items.

5 Service Aspects None. 6 MMI-Aspects None. 7 Charging Aspects None. 8 Security Aspects None. 9 Impacts \*

| Affects:   | UICC<br>apps | ME | AN | CN | Others |
|------------|--------------|----|----|----|--------|
| Yes        | 4990         |    | Х  | Х  |        |
| No         | Х            | Х  |    |    | Х      |
| Don't know |              |    |    |    |        |

10 Expected Output and Time scale \*

|          | New specifications *          |         |      |             |   |                         |          |  |
|----------|-------------------------------|---------|------|-------------|---|-------------------------|----------|--|
|          |                               |         |      |             | ne TR is anticip                            |                         |          |  |
| Spec No. | Title                         |         | WG   | WG(s)       | Presented for<br>information at<br>plenary# | Approved at<br>plenary# | Comments |  |
| 32.833   | Study of<br>of Conv<br>Networ |         | SA5  |             | SA#54<br>Dec 2011                           | SA#54<br>Dec 2011       |          |  |
|          |                               |         | Affe | cted existi | ng specificatio                             | ns *                    |          |  |
|          |                               |         |      |             | ase of Study Iter                           |                         |          |  |
| Spec No. | CR                            | Subject |      |             | Approved at                                 | plenary#                | Comments |  |
|          |                               |         |      |             |   |                         |          |  |
|          |                               |         |      |             |   |                         |          |  |
|          |                               |         |      |             |   |                         |          |  |
|          |                               |         |      |             |   |                         |          |  |
|          |                               |         |      |             |   |                         |          |  |

Work item rapporteur(s) \*
 Olaf Pollakowski, Nokia Siemens Networks
 Work item leadership \*

12 SA5

13 Supporting Individual Members \*

| Supporting IM name     |  |  |  |
|------------------------|--|--|--|
| Alcatel-Lucent         |  |  |  |
| Deutsche Telekom       |  |  |  |
| Ericsson               |  |  |  |
| Huawei                 |  |  |  |
| Nokia Siemens Networks |  |  |  |
| Vodafone               |  |  |  |
| ZTE                    |  |  |  |
| Motorola               |  |  |  |
| Orange                 |  |  |  |
| Piworks                |  |  |  |
| China Unicom           |  |  |  |

#### 6.3 Study on User Data Convergence (UDC) information model handling and provisioning: Example Use Cases (FS\_UDC\_AppUseCase) UID\_490039 Moved from Rel-10

| 1 3GPP Work A                         | Area *                                    |                        |  |  |
|---------------------------------------|---|------------------------|--|--|
| Radio Ac                              |   |                        |  |  |
| x Core Ne                             | etwork                                    |                        |  |  |
| Services                              | 3   |                        |  |  |
| 2 Classification                      | n of WI and linked work items             |                        |  |  |
| 2.0 Primary class                     |   |                        |  |  |
| This work item is                     |   |                        |  |  |
|                                       | em (go to 2.1)                            |                        |  |  |
|                                       | (go to 2.2)                               |                        |  |  |
|                                       | Block (go to 2.3)                         |                        |  |  |
| VVORK Ta                              | ask (go to 2.4)                           |                        |  |  |
| 2.1 Study Item                        |   |                        |  |  |
| Related Work Iter                     | m(s) (if any]                             |                        |  |  |
| Unique ID Titl                        |   | Nature of relationship |  |  |
|                                       |   | ·                      |  |  |
|                                       |   |                        |  |  |
| Go to §3.                             |   |                        |  |  |
| 2.2 Feature                           | em or Feature (if any) *                  |                        |  |  |
| Unique ID Titl                        |   | Nature of relationship |  |  |
|                                       |   |                        |  |  |
|                                       |   |                        |  |  |
| Go to §3.                             |   |                        |  |  |
| 2.3 Building Bloc                     | k   |                        |  |  |
| Parent Feature (                      |   |                        |  |  |
| Unique ID Title                       | e   | TS                     |  |  |
|                                       |   |                        |  |  |
| This work item is                     | *   |                        |  |  |
| This work item is                     | (go to 2.3.1)                             |                        |  |  |
| Stage 2                               | (go to 2.3.2)                             |                        |  |  |
|                                       | (go to 2.3.3)                             |                        |  |  |
|                                       | ec (go to 2.3.4)                          |                        |  |  |
|                                       | o to 2.3.5)                               |                        |  |  |
|                                       |   |                        |  |  |
| 2.3.1 Stage                           |   |                        |  |  |
|                                       | al requirements (if any) *                |                        |  |  |
| Organization                          | Document                                  | Remarks                |  |  |
|                                       |   |                        |  |  |
| Go to §3.                             |   |                        |  |  |
| 2.3.2 Stage                           | 2 *                                       |                        |  |  |
| Correspondings                        |   |                        |  |  |
| Unique ID Titl                        |   | TS                     |  |  |
| · · · · · · · · · · · · · · · · · · · |   |                        |  |  |
| ·                                     |   |                        |  |  |
|                                       | stage 1 information                       |                        |  |  |
|                                       | ause                                      | Remarks                |  |  |
| CR(s)                                 |   |                        |  |  |
|                                       |   |                        |  |  |
| If no identified s                    | source of stage 1 information, justify: * |                        |  |  |
| Go to §3.                             | source of stage 1 mormation, justify.     |                        |  |  |
| 2.3.3 Stage                           | 3*  |                        |  |  |
|                                       | Corresponding stage 2 work item (if any)  |                        |  |  |

| Else, corres   | ponding stag | e 1 work item |         |
|----------------|--------------|---------------|---------|
| Unique ID      | Title        |               | TS      |
|                |              |               |         |
|                |              |               |         |
| Other justifie | cation       |               |         |
| TS or CR(s)    |              | Clause        | Remarks |
| Or external    | document     |               |         |
|                |              |               |         |

## If no identified source of stage 2 information, justify:\*

| GO 10 S3.  |            |    |
|------------|------------|----|
| 2.3.4 T    | est spec * |    |
| Related Wo | rk Item(s) |    |
| Unique ID  | Title      | TS |
|            |            |    |

| Go to §3.<br>2.3.5 C | )ther *    |                        |         |
|----------------------|------------|------------------------|---------|
| Related Wo           | rk Item(s) |                        |         |
| Unique ID            | Title      | Nature of relationship | TS / TR |
|                      |            |                        |         |

#### Go to §3.

| 2.4 Work     | k task *              |    |  |  |  |
|--------------|-----------------------|----|--|--|--|
| Parent Build | Parent Building Block |    |  |  |  |
| Unique ID    | Title                 | TS |  |  |  |
|              |                       |    |  |  |  |

#### 3 Justification \*

TS 32.181 *Framework for Model Handling and Management* discusses various types of information and data models associated with the architecture of User Data Convergence (UDC). These include the Common Baseline Information Model (CBIM), the Specialized Information Model (SpIM), Application Information Models (AIMs), Application Data Models (ADMs), and the Consolidated Data Model (CDM) of the User Data Repository (UDR). Consider Figure 8.1-1 of TS 32.181 showing the operational environment of the UDC displayed below.

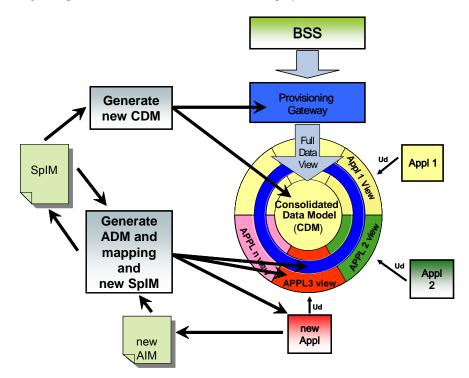


Figure 8.1-1: Evolution of the CDM in a UDR

In addition to the models previously mentioned, this figure introduces the aspect of the Provisioning Gateway, which is essential for the operation of the UDR. The Provisioning Gateway (part of the UDR) provides a single logical point for access to provisioning of user data for **all** services in the UDR. The Provisioning Gateway is shown supporting an interaction with the operator's BSS; by implication this interaction is associated with the provisioning of user subscription and service data in the UDR via the Provisioning Gateway. Although not stated in Release 9 of this specification, there must be a relationship between the BSS interaction with the Provisioning Gateway and the SA5 specifications dealing with Subscription Management, including TS 32.140, TS 32.141, TS 32.172, TS.32.175, and TS 32.176.

50

This study is proposed to analyze the progression of information models displayed on the left hand side of the above figure using some specific application examples, in particular the applications of HSS-IMS and MMTel. It is proposed to start with a list of Application Data Elements, standardized within 3GPP in such specifications as TS 23.008 and TS 29.364, and investigate how these Application data elements could be used to populate - CBIM-derived entities of an Application Information Model. The investigation would proceed to examine the integration and consolidation of the AIM with example scenario SpIMs to produce new SpIMs. This study also to provide preliminary findings concerning the requirements for the BSS interactions to the Provisioning Gateway as new applications become operational in the UDR and to explore the relationship between this interaction and the Subscription Management (SuM) Network Resource Model (NRM) Integration Reference Point (IRP) Information Service found in TS 32.172 especially for re-use by applications specified outside 3GPP.

#### 4 Objective \*

Develop understanding, guidelines, and preliminary requirements for the BSS provisioning capabilities and information model handling when new applications and related services are implemented in the operator's UDR by doing the following:

- Develop several example initial SpIMs for discussion purposes
- Examine what BSS interactions with the Provisioning Gateway would be required to support provisioning of user service and subscription data in the UDR for these SpIMs
- Study the relationship to the information model of TS 32.172
- Develop understanding of CBIM-derived entities of Application Information Models (AIM) for HSS-IMS and MMTel from application data elements available in TS 23.008, TS 29.364 and other relevant standards
- Discuss the integration and consolidation of these AIM entities with the example initial SpIMs above
- Evaluate the potential changes to the BSS provisioning interactions for the implementation of HSS-IMS and MMTel applications in the UDR and implications concerning the information model of TS 32.172.

This work item focuses on the progression of information models and resulting BSS provisioning implications as new applications and services are implemented in the UDR and do not seek to derive findings concerning the Ud reference point.

- 5 Service Aspects
- N/A 6 MMI-Asp
  - MMI-Aspects N/A
- 7 Charging Aspects N/A
- 8 Security Aspects
- N/A 9 Impacts \*

| Affects:   | UICC<br>apps | ME | AN | CN | Others |
|------------|--------------|----|----|----|--------|
| Yes        |              |    |    | Х  |        |
| No         | Х            | Х  | Х  |    | Х      |
| Don't know |              |    |    |    |        |

#### 10 Expected Output and Time scale \*

|              | New specifications *  |                     |                    |   |                   |          |  |
|--------------|---|---------------------|--------------------|---|-------------------|----------|--|
|              | [If S   | tudy Item, one TR   | is anticipated]    |   |                   |          |  |
| Spec No      | Title   | Prime rsp. WG       | 2ndary rsp. WG(s)  | Presented<br>for<br>information<br>at plenary |                   | Comments |  |
| TR<br>32.901 | Study on User Data Convergence (UDC)<br>information model handling and<br>provisioning: Example Use Cases | SA5                 |                    | SA#54<br>Dec 2011                             | SA#55 Mar<br>2012 |          |  |
|              | Aff   | ected existing sp   | ecifications *     |   |                   |          |  |
|              | [N  | lone in the case of | Study Items]       |   |                   |          |  |
| Spec Nd CR   |   | Subject             | Approved at plenar | y# Com  | ments             |          |  |
|              |   |                     |                    |   |                   |          |  |

11 Work item rapporteur(s) \*

Nick Mazzarella, Alcatel-Lucent

- 12 Work item leadership \*
- 13 Supporting Individual Members \*

|                  | Supporting IM name |
|------------------|--------------------|
| Alcatel Lucent   |                    |
| Orange           |                    |
| AT&T             |                    |
| China Mobile     |                    |
| Deutsche Telekom |                    |
|                  |                    |
|                  |                    |
|                  |                    |
|                  |                    |

## 6.4 Study on OAM aspects of inter-RAT Energy Saving (FS\_OAM\_ES\_iRAT) UID\_510045

TSG SA Meeting #51SP-110138 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-111491 Meeting SA5#76, 28 Feb – 4 March 2011, San Diego, USA revision of S5-111342

1 3GPP Work Area

| Core Network<br>Services | Х | Radio Access |
|--------------------------|---|--------------|
| Services                 |   | Core Network |
|                          |   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification

This work item is a ...

| 1113 4 |                            |  |  |  |  |  |
|--------|----------------------------|--|--|--|--|--|
| Х      | Study Item (go to 2.1)     |  |  |  |  |  |
|        | Feature (go to 2.2)        |  |  |  |  |  |
|        | Building Block (go to 2.3) |  |  |  |  |  |
|        | Work Task (go to 2.4)      |  |  |  |  |  |

2.1 Study Item

| Related Wo | Related Work Item(s) (if any]  |  |  |  |  |  |
|------------|--|--|--|--|--|--|
| Unique ID  | Title  | Nature of relationship                                 |  |  |  |  |
| 430044     | Study on Telecommunication Management;<br>Energy Savings Management<br>(FS_OAM_ESM) Rel-10 | TR 32.826  |  |  |  |  |
| 470037     | OAM aspects of Energy Saving in Radio<br>Networks (OAM-ES) Rel-10                          | TSs 32.425, 32.762, 32.763, 32.765, 32.767, new 32.551 |  |  |  |  |

Go to §3.

3 Justification

Sustainable development is a long-term commitment in which all of us should take part. As part of sustainable development, our fight against global warming should be without respite.

Most mobile network operators aim at reducing their greenhouse emissions, by several means such as limiting their networks' energy consumption. Furthermore, energy costs are rising and form a growing share of the operational expenses of mobile network operators.

SA5 up to now has defined Energy Saving Management functionality for LTE (OAM aspects of Energy Saving in Radio Networks (OAM-ES) UID\_470037. Such definitions are not yet present for 3G and 2G mobile networks. But also for these a standardized Energy Saving Management functionality is required. This study will also involve Inter-RAT Energy Saving Management, e.g. when network redundancy is leveraged.

By initiating this Study and the intended follow-up implementation Work Item about OAM aspects of Inter-RAT Energy Savings, SA5 hopes to contribute to the protection of our environment and the environment of future generations. 4 Objective

The objective of this study is to:

Identify the most important Inter-RAT energy saving scenarios and use cases

Identify OAM based concept and requirements for these use cases

Analyse how existing IRPs can be re-used, adapted or extended to fulfil these requirements or if a new IRP is needed.

Select information that should be used to decide if an energy saving cell shall enter or leave energy saving mode.

New elements in IRPs, adaptations or extensions to IRPs may be considered in this study item, but the study item is not limited to them:

LTE ES management functionality for Inter-RAT scenarios Suitable traffic load measurements (potentially with short granularity periods) Energy consumption measurements "low consumption mode" of network resources Adjust Network Resources Models

Note that SA5 is willing to work in cooperation with RAN WGs where needed.

The time frame for this study item is intentionally set very short. It is intended to create, based on the results of the study, within the Rel-11 time frame a dedicated work item for OAM aspects of Inter-RAT Energy Saving.

\*) Note: The following concrete use cases were considered when this study item was created: General scenario: There are two RAT layers.

Use case 1: cells of the different RATs are collocated and have a similar coverage area.

Use case 2: cells of one RATs are not collocated and have a significantly smaller coverage area than the other RAT. Typically cells of the RAT working on higher frequency can be switched off / brought into lower energy consumption mode in low traffic periods, can be switched on again if traffic indicators shows higher traffic than typical in backing cell (potentially also other information can be used to decide if switching cell/s on can really bring benefits to the customer). 5 Service Aspects

None 6 MMI-Aspects None 7 Charging Aspects None 8 Security Aspects None 9 Impacts

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  |    |        |
| No         | Х    | Х  |    |    | Х      |
| Don't know |      |    |    |    |        |

10 Expected Output and Time scale

| New specifications<br>[If Study Item, one TR is a              | nticipated       | ]                       |   |                         |   |
|--|------------------|-------------------------|---|-------------------------|---|
| Title  | Prime<br>rsp. WG | 2ndary<br>rsp.<br>WG(s) | Presented for<br>information at<br>plenary# | Approved at<br>plenary# | Comments  |
| TR 32.834Study on OAM<br>aspects of inter-RAT<br>Energy Saving | SA5              |                         | SA#53, Sep 2011                             | SA#54, Dec<br>2011      | The TR shall describe use cases, concepts and<br>requirements for Inter-RAT Energy Savings<br>Management and proposals how to re-use, adapt,<br>extend existing IRPs. |
| Affected existing specifica<br>[None in the case of Study      |                  |                         |   | •                       | -   |
| Spec No.   | CR               | Subject                 | Approved at<br>plenary#                     | Comments                |   |
|  |                  | 1                       | 1   |                         |   |

11 Work item Rapporteur(s)

Nokia Siemens Networks (clemens.suerbaum@nsn.com)

12 Work item leadership

SA5

13 Supporting Individual Members

| Supporting IM name     |  |  |  |  |
|------------------------|--|--|--|--|
| Deutsche Telekom       |  |  |  |  |
| Nokia Siemens Networks |  |  |  |  |
| Telia Sonera           |  |  |  |  |
| Orange                 |  |  |  |  |
| Motorola               |  |  |  |  |
| Huawei                 |  |  |  |  |
| Vodafone               |  |  |  |  |
| Ericsson               |  |  |  |  |
| Alcatel-Lucent         |  |  |  |  |

## 6.5 Study on management of Heterogeneous Networks (FS\_OAM\_HetNet) UID\_510046

TSG SA Meeting #51SP-110140 21 - 23 Mar 2011, Kansas City, USA

3GPP TSG-SA5 (Telecom Management) S5-111492 SA5#76, 28 Feb - 4 Mar 2011; San Diego, USA revision of S5-111345

| Work Area * |
|-------------|
|             |

| Х | Radio Access |
|---|--------------|
|   | Core Network |
|   | Services     |

2 Classification of WI and linked work items

2.0 Primary classification \*

| This w | ork item is a *            |
|--------|----------------------------|
| Х      | Study Item (go to 2.1)     |
|        | Feature (go to 2.2)        |
|        | Building Block (go to 2.3) |
|        | Work Task (go to 2.4)      |

#### 2.1 Study Item

| Related Work Item(s) (if any] |       |                        |  |  |  |
|-------------------------------|-------|------------------------|--|--|--|
| Unique ID                     | Title | Nature of relationship |  |  |  |
|                               |       |                        |  |  |  |

#### 3 Justification \*

A Heterogeneous Network consists of different types of Base Stations (BSs), such as macro, micro and pico BSs. These types of BSs will be mixed in an operating network. Using low power BSs like micro and pico to enhance coverage and capacity, it is foreseen that there will be very many of these low power BSs in operation. Each of them will cover an area that is significantly smaller than a macro BS. Each of the low power BSs will correspond to a number of objects with attributes and measurements to manage. At the same time, each low power BS is a node in itself and the requirement to manage them are similar as for macro BSs. What performance management information that is wanted is very similar as for macro. The configuration requirements for the cellular network supported by low power nodes are still very similar as for macro nodes. The requirements for being able to generate alarm are still very similar as for macro nodes. As the amount of low power nodes can be very many, a different approach to manage the nodes are needed. They do not necessarily always need be actively connected to the management system. They can use "On Demand" man agement paradigm. But it is up to the operator to choose which nodes shall use the "on demand" paradigm. "On Demand" paradigm means that nodes are not constantly connected over ltf-N to the IRPManager via the IRPAgent and that the IRPManager can connect to "On Demand" managed nodes via the IRPAgent to perform management actions. The IRPManager can also decide whether a node shall be managed via "On Demand Management" or "Constantly Connected management" paradigm.

55

Femto is not included in this study.

4 Objective \*

The objective is to study "On Demand" management over ltf-N:

Nodes on which "On Demand" management can be applied to

A subscription mechanism for an "On Demand" paradigm for heterogeneous networks

The necessary operations, objects and attributes for an "On Demand" paradigm

5 Service Aspects

None

6 MMI-Aspects

None

7 Charging Aspects

None

8 Security Aspects

None

9 Impacts \*

| Affects:   | UICC | ME | AN | CN | Others |
|------------|------|----|----|----|--------|
|            | apps |    |    |    |        |
| Yes        |      |    | Х  |    |        |
| No         | Х    | Х  |    | Х  |        |
| Don't know |      |    |    |    | Х      |

10 Expected Output and Time scale \*

| New specifications *                   |                            |                                       |                  |                      |   |                         |          |  |
|--|----------------------------|---------------------------------------|------------------|----------------------|---|-------------------------|----------|--|
| [If Study Item, one TR is anticipated] |                            |                                       |                  |                      |   |                         |          |  |
| Spec<br>No.                            | Title                      |                                       | Prime rsp.<br>WG | 2ndary rsp.<br>WG(s) | Presented for<br>information at<br>plenary# | Approved at<br>plenary# | Comments |  |
|  | Study on mana<br>Netw orks | agement of Heterogeneous              | SA5              |                      | SA#54 Dec 2011                              | SA#54 Dec<br>2011       |          |  |
| Affected existing specifications *     |                            |                                       |                  |                      |   |                         |          |  |
| [None in the case of Study Items]      |                            |                                       |                  |                      |   |                         |          |  |
| Spec                                   | CR                         | Subject Approved at plenary# Comments |                  |                      |   |                         |          |  |
| No.                                    |                            |                                       |                  |                      |   |                         |          |  |
|  |                            |                                       |                  |                      |   |                         |          |  |

11 Work item rapporteur(s) \*

YunXi Li, Ericsson (yunxi.li@ericsson.com)

12 Work item leadership \*

SA5

13 Supporting Individual Members \*

|               | Supporting IM name |
|---------------|--------------------|
| Ericsson      |                    |
| Vodafone      |                    |
| NEC           |                    |
| Huawei        |                    |
| AlcatelLucent |                    |
| ZTE           |                    |
| Qualcomm      |                    |

## Annex A: Status of SA5 Work Items

This list reflects work items that are, new, ongoing, completed or stopped.

| Unique_ID | Name  | Acronym               |  |
|-----------|---|-----------------------|--|
| -         | Charging for QoS Control Based on Subscriber Spending Limits  |                       |  |
| 500029    | (Stage 2)   | QoS_SSL               |  |
| 490029    | Charging for Network Provided Location Information for IMS  | NWK-PL2IMS_CH         |  |
|           | Rel-11 Operations, Administration, Maintenance and Provisioning   |                       |  |
| 510051    | (OAM&P)   | OAM11                 |  |
| 510151    | Network Infrastructure Management   | OAM11-NIM             |  |
|           | IRP framework enhancements to support Management of Converged   |                       |  |
| 510056    | Networks  | OAM-FMC-IRP           |  |
| 530049    | Management for Carrier Aggregation for LTE  | OAM-CA                |  |
| 530050    | Network Management for 3GPP Interworking WLAN   | OAM-IWLAN             |  |
| 510251    | Performance Management  | OAM11-PM              |  |
| 510057    | IMS Performance Management enhancements   | OAM-ePM-IMS           |  |
|           | Enhanced Management of UE based network performance   |                       |  |
| 510058    | measurements  | OAM-ePM-UE            |  |
| 520034    | CN performance measurements enhancement   | OAM-ePM-CN            |  |
| 510351    | Self-Organizing Networks (SON) - OAM aspects  | OAM11-SON             |  |
| 510059    | UTRAN Self-Organizing Networks (SON) management   | OAM-SON-UTRAN         |  |
|           |   | OAM-SON-              |  |
| 530051    | LTE Self-Organizing Networks (SON) coordination management  | LTE_COORD             |  |
| 510052    | Rel-11 Charging Management small Enhancements   | CH11                  |  |
|           | Add solutions for Rc - reference point within the Online Charging   |                       |  |
| 470045    | System (OCS)  | CH-Rc                 |  |
| 540000    | Charging for Policy Enhancements for Sponsored Connectivity   | DEOT OU               |  |
| 510060    | and Coherent Access to Policy related Data Bases<br>Stage 2/3 SA5 part of Transit Inter Operator Identifier for IMS | PEST-CH               |  |
| 510229    | Interconnection Charging in multi operator environment  | IOI_IMS_CH            |  |
| 520235    | SA5 part of FS_UMONC  | FS_UMONC              |  |
| 470050    | Study on version handling   | FS_OMONC<br>FS_OAM_VH |  |
|           |   |                       |  |
| 480047    | Study on Management of Converged Networks<br>Study on User Data Convergence (UDC) information model handling        | FS_ManCon             |  |
| 490039    | and provisioning: Example Use Cases   | FS_UDC_AppUseCase     |  |
| 510045    | Study on OAM aspects of inter-RAT Energy Saving   | FS OAM ES iRAT        |  |
|           |   |                       |  |
| 510046    | Study on management of Heterogeneous Networks   | FS_OAM_HetNet         |  |

## Annex B: Change history

| Change history |       |           |    |     |  |       |       |
|----------------|-------|-----------|----|-----|--|-------|-------|
| Date           | TSG # | TSG Doc.  | CR | Rev | Subject/Comment  | Old   | New   |
| Jan 2011       | S5-75 | S5-110019 |    |     | First draft  |       | 0.1.0 |
| Apr 2011       | S5-77 | S5-111618 |    |     | Post SA#51 update  | 0.1.0 | 0.2.0 |
| May 2011       | S5-78 | S5-112218 |    |     | Post SA#52 update  | 0.2.0 | 0.3.0 |
| May 2011       | S5-78 | S5-112262 |    |     | Add 32.8xy Study on Usage Monitoring Control OCS enhancement | 0.3.0 | 0.3.1 |
| Sep 2011       | S5-79 | S5-112818 |    |     | Post SA#53 update  | 0.3.1 | 0.4.0 |