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Technical Report

3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Study on Circuit Switched (CS) domain services over evolved Packet Switched (PS) access; Stage 2 (Release 9)



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Foreword

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Introduction

As the packet switched radio networks capabilities evolve, they become more and more attractive media for carrying also real time speech traffic such as the traditional TS11 and TS12. In order to make the best use of such resources access from the deployed CN infrastructure and services should be possible. This will allow avoiding a major switch in the voice call control paradigm as well as retaining the currently provided functionalities such as the charging mechanisms (calling party pays), supplementary services provision and so on. As the circuit switched network will continue to be employed in the future, also handover needs to be taken into account.

Given the proven track record of the current call control, this TR intends to investigate architectures suitable to support CS Domain Services over new types of accesses and manage the handover of calls from a traditional bearer to the new available ones. The study also aims to ensure that the user experience remains as consistent and as satisfactory as it is today.

1 Scope

The present document contains the results of the study of the architectural requirements of CS Domain Services over evolved PS access and concludes with a way forward. Considerations include overall requirements, architectural requirements, evaluation of potential architectural solutions and alternative architectures.

The objective is to describe an architecture that is capable of extending the 'traditional' MSC-Server based set of CS voice, supplementary and value-adding services and business principles (e.g. for roaming and interconnect) to the evolved PS access. The intention is also to give a CS handover-like user experience for voice calls when changing between evolved PS and legacy CS accesses. The architecture will however not be limited to the provision of speech services over evolved PS accesses; on the contrary, through the exploitation of the Combinational services, it will be possible to harness the capabilities of IMS to provide new, innovative services to the end user. Handover of the parallel PS session towards legacy 3GPP access will be considered as well, but may be limited by the capabilities of the legacy system and operator preferences.

Co-existence with IMS centric Single Radio VCC solutions shall be studied as well.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.279: "Combining Circuit Switched (CS) and IP Multimedia Subsystem (IMS) services".
- [3] 3GPP TS 23.401: "GPRS enhancements for E-UTRAN access".
- [4] 3GPP TS 23.012: "Location management procedures".
- [5] 3GPP TS 23.203: "Policy and charging control architecture".
- [6] 3GPP TS 23.018: "Basic call handling; Technical realization".
- [7] 3GPP TS 32.250: "Charging management; Circuit Switched (CS) domain charging".
- [8] 3GPP TS 32.240: "Charging management; Charging architecture and principles".
- [9] 3GPP TS 32.299: "Charging management; Diameter charging applications".
- [10] 3GPP TS 23.237: "IP Multimedia Subsystem (IMS) Service Continuity, Stage 2".
- [11] 3GPP TS 23.292: "IP Multimedia System (IMS) centralized services, Stage 2".
- [12] 3GPP TR 23.882: "3GPP System Architecture Evolution".
- [13] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- [14] 3GPP TS 44.018: "Radio Resource Control (RRC) protocol".
- [15] 3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification".
- [16] 3GPP TS 23.060: "General Packet Radio Service (GPRS)".

- [17] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2"
- [18] 3GPP TS 44.318: "Generic Access Network (GAN); Stage 2"
- [19] 3GPP TS 33.234: "Wireless Local Area Network (WLAN) interworking security"
- [20] 3GPP TS 23.272: "Circuit Switched Fallback in Evolved Packet System; Stage 2"
- [21] IETF RFC 4867: "RTP Payload Format and File Storage Format for the AMR and AMR-WB Audio Codecs"
- [22] 3GPP TR 23.891: "Evaluation of LCS Control Plane Solutions for EPS"

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

4 Requirements

4.1 Overall requirements

- The set of CS domain voice, supplementary and value-adding services and business principles (e.g. for roaming and interconnect) shall be made available over the evolved PS access.
- The user experience for voice calls when changing between evolved PS and legacy CS accesses shall be comparable to that of CS handover.
- It shall be possible to combine CS and IP Multimedia Subsystem (IMS) services as specified in TS 23.279 [2] when they are both accessed over evolved PS access.

4.2 Architectural requirements

It shall be possible to access CS domain voice service over evolved PS access, i.e. EPS.

- Impact on the CS domain shall be minimized.
- Impact on GERAN, UTRAN and E-UTRAN should be avoided.
- Cost-affecting upgrades to the legacy CS radio access (e.g. DTM, PS HO, VoIP) should be avoided.

5 Architecture Alternatives

5.1 Alternative 1 - Evolved MSC

5.1.1 Reference architecture

5.1.1.1 Non-roaming architecture

In this alternative, the operator chooses to reuse the MSC Server that will control establishment of voice calls and handling of SMS under E-UTRAN coverage. This evolution of the MSC Server is referred as the eMSC (Evolved MSC). From the EPS point of view, the eMSC is perceived as an Application Server. Thus the functionality of Z1 interface can be compared to that of Gm reference point.

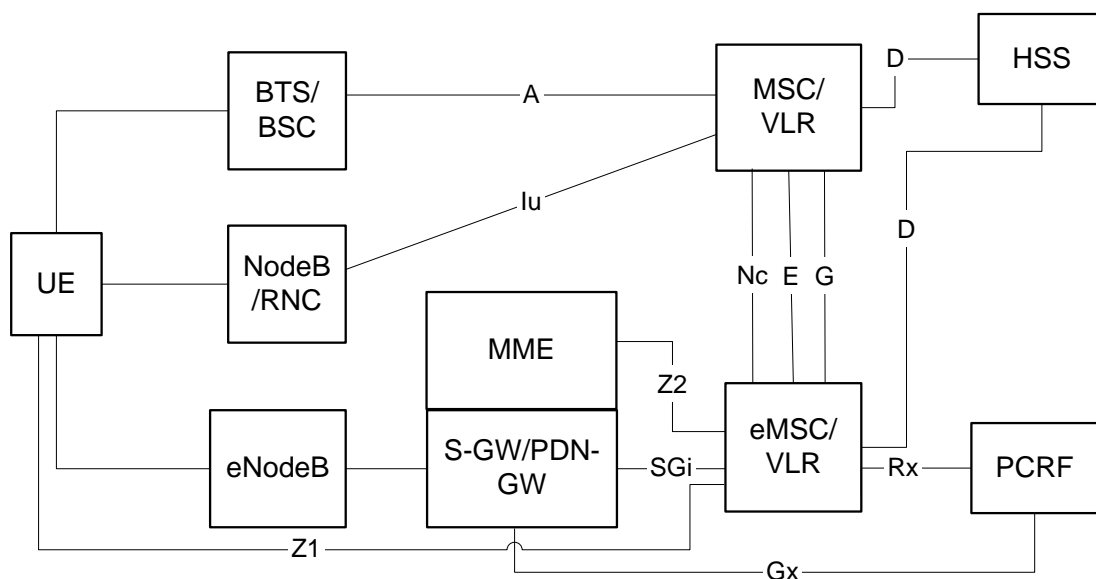


Figure 5.1.1.1-1: Non-roaming architecture

5.1.1.2 Roaming architecture

If the Visited PLMN supports "CS domain services over evolved PS access", the following architecture shall apply where the PDN GW and the eMSC are both located in the VPLMN. In order to allow operators to limit user-plane traffic through the PDN-GW in the VPLMN to user-plane traffic related to CSoPS service, it shall be possible to have a separate APN for CSoPS.

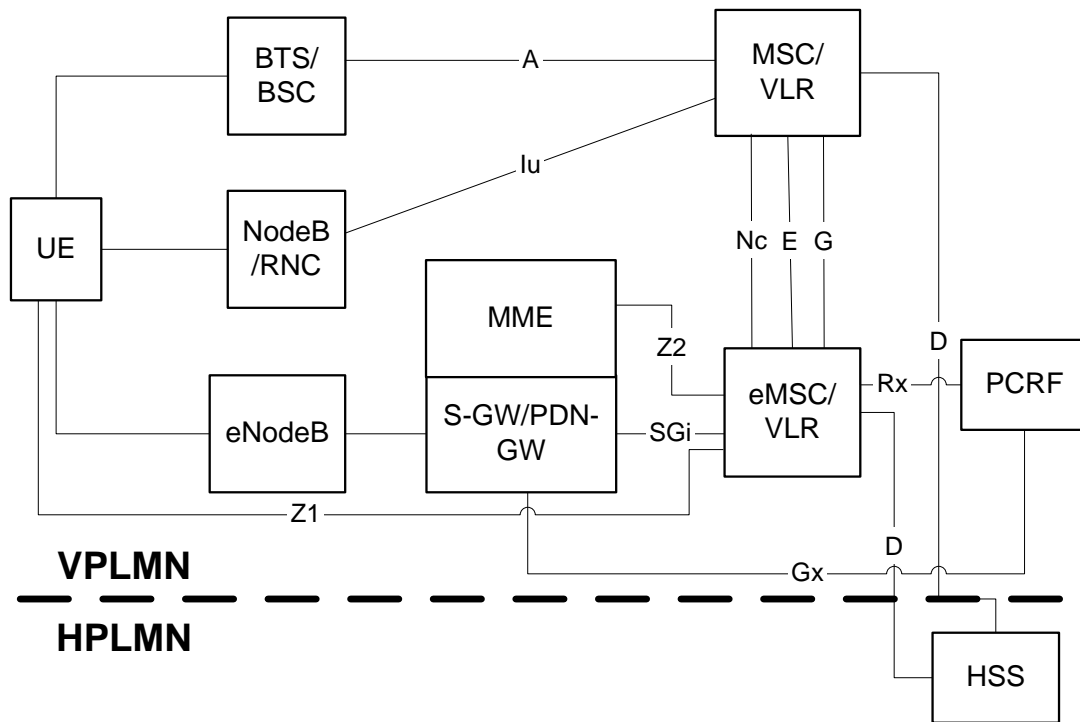


Figure 5.1.1.2-1: Roaming architecture with PDN GW and eMSC in VPLMN

If the Visited PLMN does not support "CS domain services over evolved PS access", the subscriber will not have access to CS domain voice calls over EPS.

5.1.1.3 Required functionality in network elements

eMSC Contains full MSC-S and VLR functionality with following additions:

- CS domain registration for UE using secure and reliable IP transport
- Call and SMS control for UE using secure and reliable IP transport (replacing use of Mc for channel assignment with Rx using PCC for bearer establishment)
- Handover preparation and execution
- Handover signalling

NOTE: Connections between eMSC and EPC elements will be secured with standard IP mechanisms; the eMSC needs to allow secured connectivity with many PDN GWs and MMEs, depending on operator configuration.

MME Additional to Rel-8 standard behaviour:

- Handover signalling exchange with the eMSC for preparation and execution

UE Additional to Rel-8 standard behaviour:

- CS domain registration and call and SMS control signalling over EPS using secure and reliable IP transport

5.1.1.4 Reference points

Z1 Reference point between UE and eMSC.

Z2 Reference point between MME and eMSC.

5.1.1.5 Protocol Stacks

A possible protocol stack for control plane over Z1 is illustrated in figure 5.1.1.5-1.

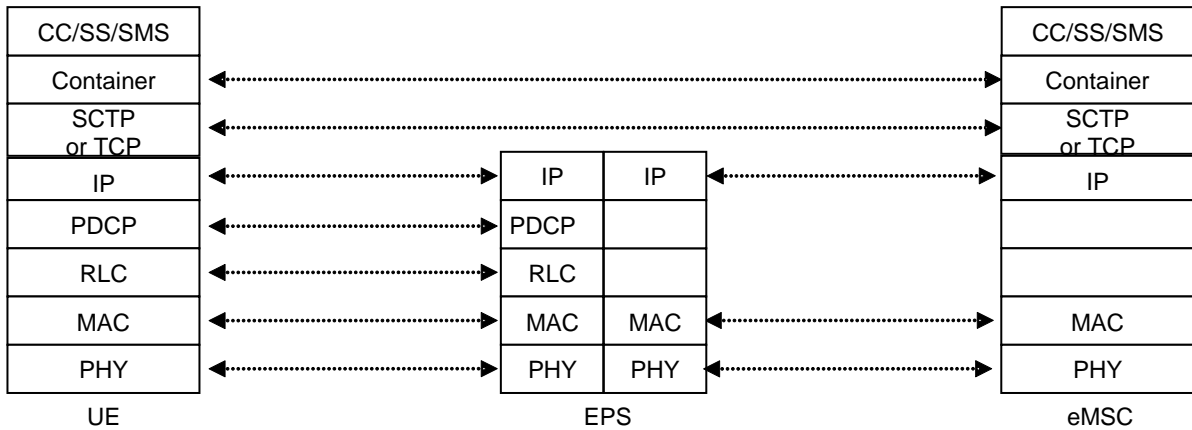


Figure 5.1.1.5-1: Protocol stack for control plane over Z1

NOTE 1: The final choice about use of SCTP or TCP can be left open for stage 3 discussions and decision.

NOTE 2: Whether the Container protocol is a new one or maybe SIP is a stage 3 discussions and decision.

The protocol stack for user plane over Z1 is illustrated in figure 5.1.1.5-2.

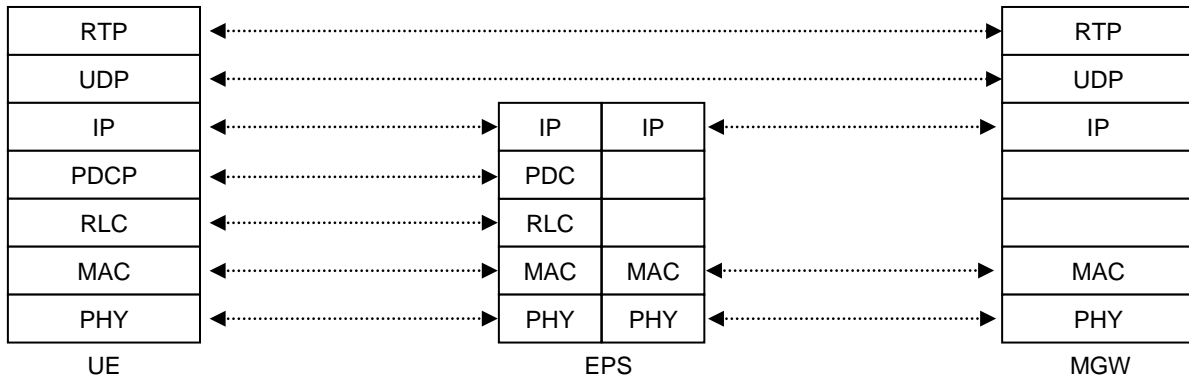


Figure 5.1.1.5-2: Protocol stack for user plane over Z1

5.1.2 Procedures

5.1.2.1 Registration

After having attached to the EPS network, the UE shall register to the CS Domain to become available for CS Domain services.

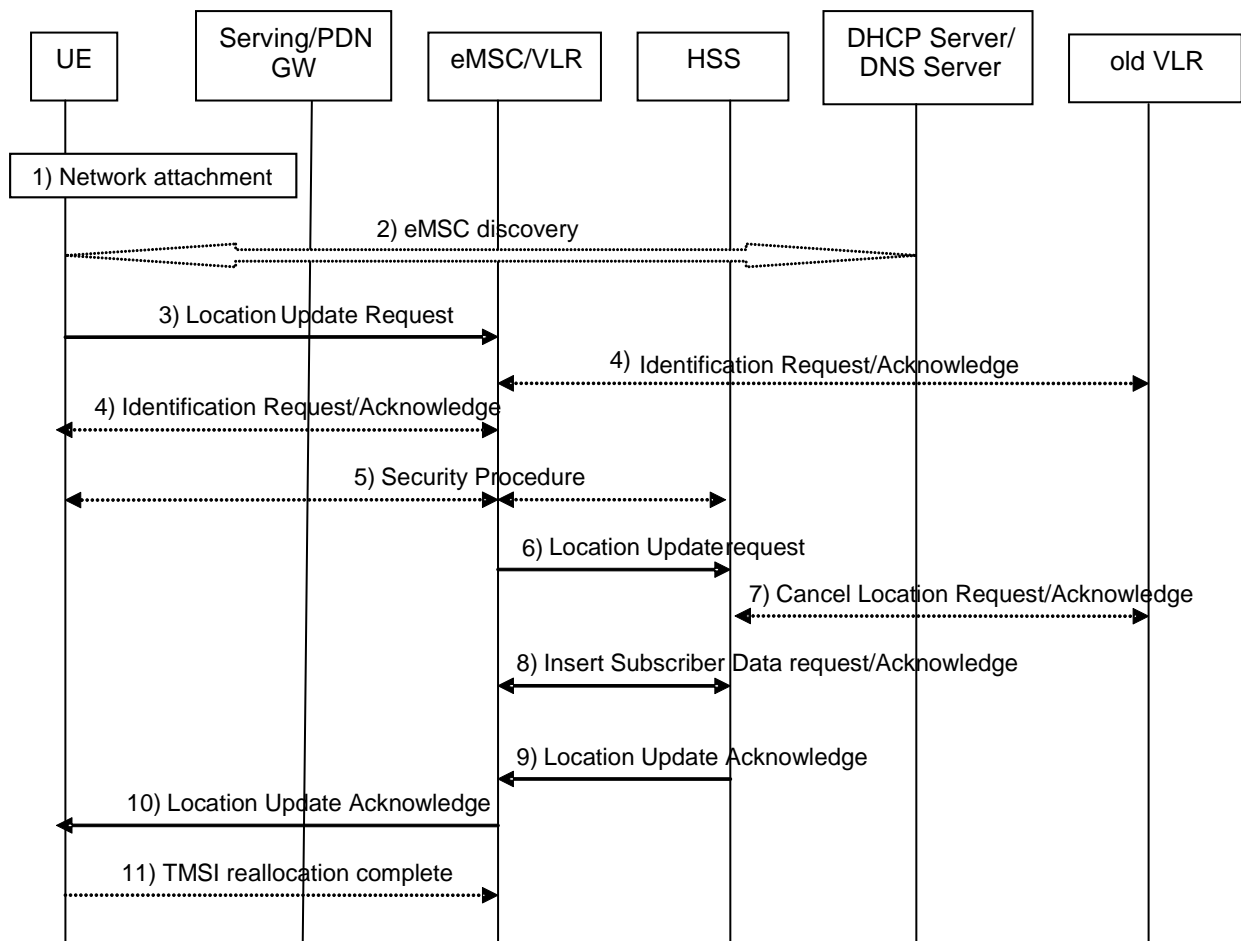


Figure 5.1.2.1-1: Registration procedure

- 1) The UE registers to the network according to the Attach procedure as specified in TS 23.401 [3].
- 2) The UE discovers to which eMSC it has to register as described in clause 5.1.2.4.

NOTE 1: It is expected that the UE discovers the eMSC to which to register with similar mechanisms as a P-CSCF. A simple way is using static IP address configuration in PDN GW and deliver this IP address to the UE via Attach Accept message. And another way as shown in the above figure is using DHCP and DNS query. The UE can use the current TAI information to get an appropriate eMSC IP address.

- 3) UE initiates the registration procedure by sending Location Updating Request (IMSI or TMSI, old LAI, the UE IP address, etc) message to the eMSC. And this message packed into IP packets is transferred from UE to the eMSC directly.
- 4) The eMSC/VLR obtains the IMSI and authentication data about the UE from the old VLR if the UE identifies itself with TMSI and VLR changes since last deregistration. If the UE identifies itself with TMSI and the TMSI is unknown in both the old and new VLR, the new VLR get the IMSI from the UE.
- 5) The eMSC may perform the security procedure and establish a security association between the eMSC and the UE.
- 6) The eMSC/VLR registers itself to the HSS by sending a Location Update request (IMSI, MSC Address, VLR number etc) message.
- 7) HSS initiates the cancel location procedure to the old VLR.
- 8) The eMSC/VLR obtains the subscription data from HSS. The MME address is included in the Subscription Data.
- 9) HSS responds with Location Update Acknowledge to the eMSC/VLR.
- 10) The eMSC/VLR sends the Location Update Accept (new LAI, new TMSI) to the UE. The UE is now "CS over PS" attached, and its IP address, allocated by the EPC, is known to the eMSC.

The eMSC shall consider TAC as LAC.

NOTE 2: A new container protocol, known by the UE, could carry the IP address of the UE with no impact on TS 24.008 signalling.

11) The UE sends the TMSI Reallocation Complete message to indicate the network that the reallocation of TMSI is accepted.

NOTE 3: The eMSC will, according to operator policy, allow an emergency registration with an IMEI as UE identification, and subsequently not perform authentication procedure.

NOTE 4: There is no reason identified why the eMSC should know which APN is used for CSoPS.

5.1.2.2 Location Update

The UE performs periodic location updates as specified in TS 23.012 [4].

Up to now no reason has been identified which would require changing eMSC when changing TA. If a change of eMSC at time of TA change is desired, the UE could in case of a TA change do an eMSC Discovery (see §5.1.2.4), and then, if a new eMSC has been assigned, do a location update to the new eMSC.

5.1.2.3 Deregistration

The UE is marked as IMSI detached when eMSC is informed that the IP-CAN session used to transport 24.008 over IP signalling is released and the UE has not registered via GERAN/UTRAN at that time.

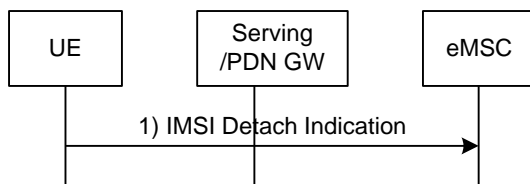


Figure 5.1.2.3-1: Deregistration procedure

1) The UE sends IMSI Detach Indication message to deregister itself from the eMSC similar to the Detach procedure (as specified in TS 23.012 [4]), and the security association is terminated.

5.1.2.4 eMSC Discovery

After having attached to the EPS network, the UE shall discover to which eMSC it has to register.

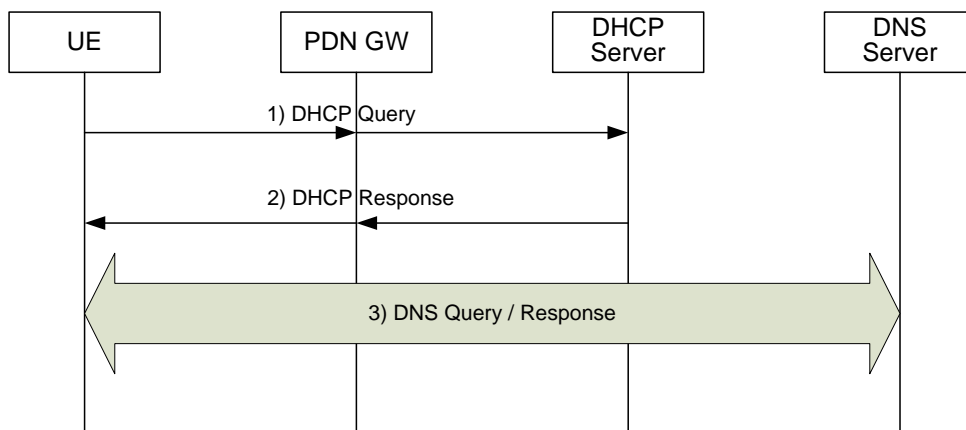


Figure 5.1.2.4-1: eMSC Discovery procedure

NOTE 1: The DHCP Query/Response may be omitted if the domain name is known by the UE.

1) UE sends a DHCP Query via the PDN GW to a DHCP Server, requesting the domain name of the eMSC and IP addresses of DNS Servers.

2) The DHCP Server sends the answer to the UE.

NOTE 2: It may require a multiple DHCP Query/Response message exchanges to retrieve the requested information.

3) The UE performs a DNS Query to retrieve a list of eMSC(s) IP addresses from which one is selected.

NOTE 3: If the response does not contain the IP addresses, an additional DNS Query is needed to resolve a Fully Qualified Domain Name (FQDN) to an IP address.

5.1.2.5 MO and MT Call Setup

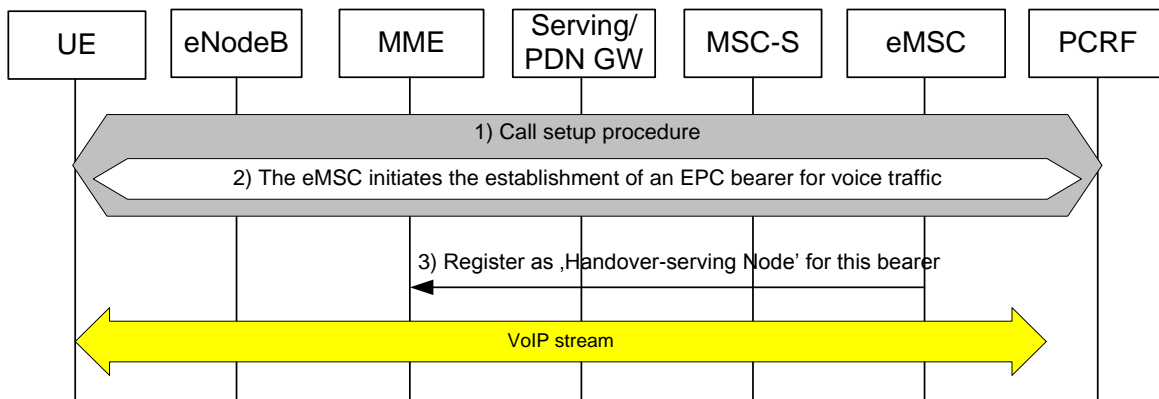


Figure 5.1.2.5-1: Call Setup procedure

1) The call setup takes place as specified in TS 23.018 [6] (with the exception of CS channel allocation that is described in step 2) and is transported over reliable IP transport. Ciphering is not required. The signalling connection may use IPsec.

In this procedure, and after the establishment of the EPC bearer, the UE obtains the MGW IP address.

NOTE 1: Before an MT call setup procedure is initiated by the eMSC it will trigger a possibly needed PS paging by sending a 'ping'-packet to the UE.

NOTE 2: A new container protocol, known by the UE, could be used to carry the IP address of the MGW with no impact on TS 24.008 signalling. The impacted messages could be "Alerting" for MO calls and "Call setup" for MT calls.

2) The eMSC initiates the EPC bearer for the voice stream according to 'IP-CAN Session Modification; PCRF initiated' procedure as specified in TS 23.203 [5].

3) The eMSC registers itself at the MME as 'Handover-serving Node' towards 2G and 3G for this bearer. The eMSC can obtain the serving MME for the UE from the HSS. A change of serving MME will be signalled from the MME to the eMSC.

5.1.2.6 MO and MT SMS

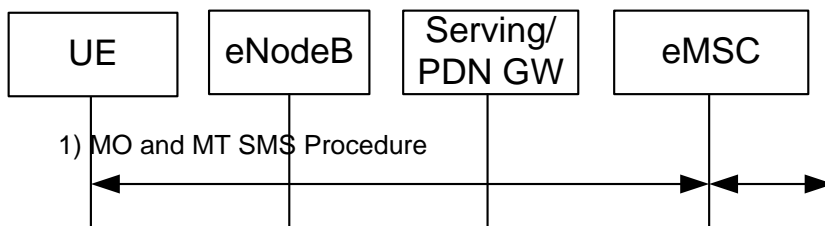


Figure 5.1.2.6-1: SMS procedure

1) MO and MT SMS signalling takes place as specified in TS 23.040 [13].

5.1.2.7 HO from E-UTRAN to GSM/UMTS CS

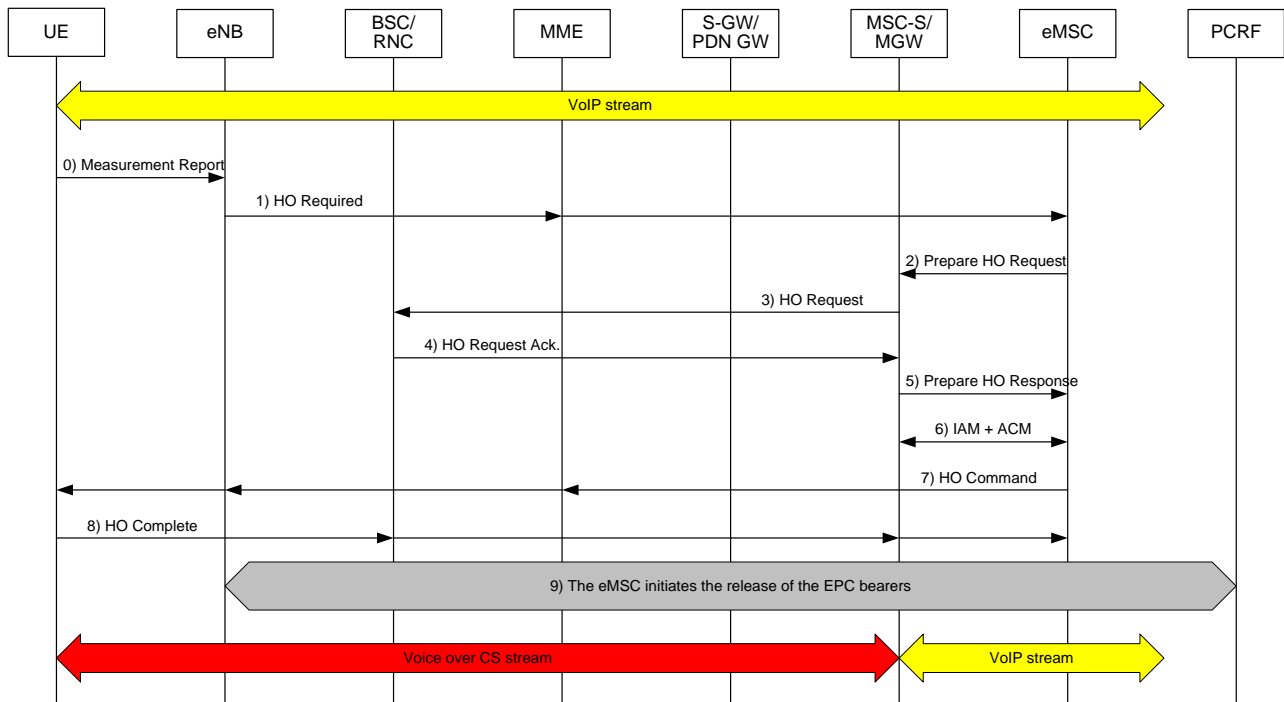


Figure 5.1.2.7-1: HO from E-UTRAN to GSM/UMTS CS procedure

A measurement report from the UE triggers the eNodeB to send a HO Required to the MME. As the eMSC is marked 'Handover-serving Node' for this bearer the MME forwards the HO Required to the eMSC.

NOTE 1: The handover of other established bearers besides the voice bearer for which the eMSC is 'Handover-serving Node' is handled by the MME according to the procedures for E-UTRAN to UMTS/GPRS Inter RAT handover as specified in TS 23.401 [3].

1-8) Upon receiving the HO Required from the MME the eMSC initiates a legacy inter-MSC handover to the MSC serving the received target cell.

NOTE 2: If the currently serving eMSC is also serving MSC of the target cell then no inter-MSC handover is needed and steps 2), 5) and 6) are skipped.

7) The eMSC initiates the release of the EPC bearers, which is done according to 'IP-CAN Session Modification; PCRF initiated' procedure as specified in TS 23.203 [5].

5.1.2.8 HO from GSM/UMTS CS to E-UTRAN

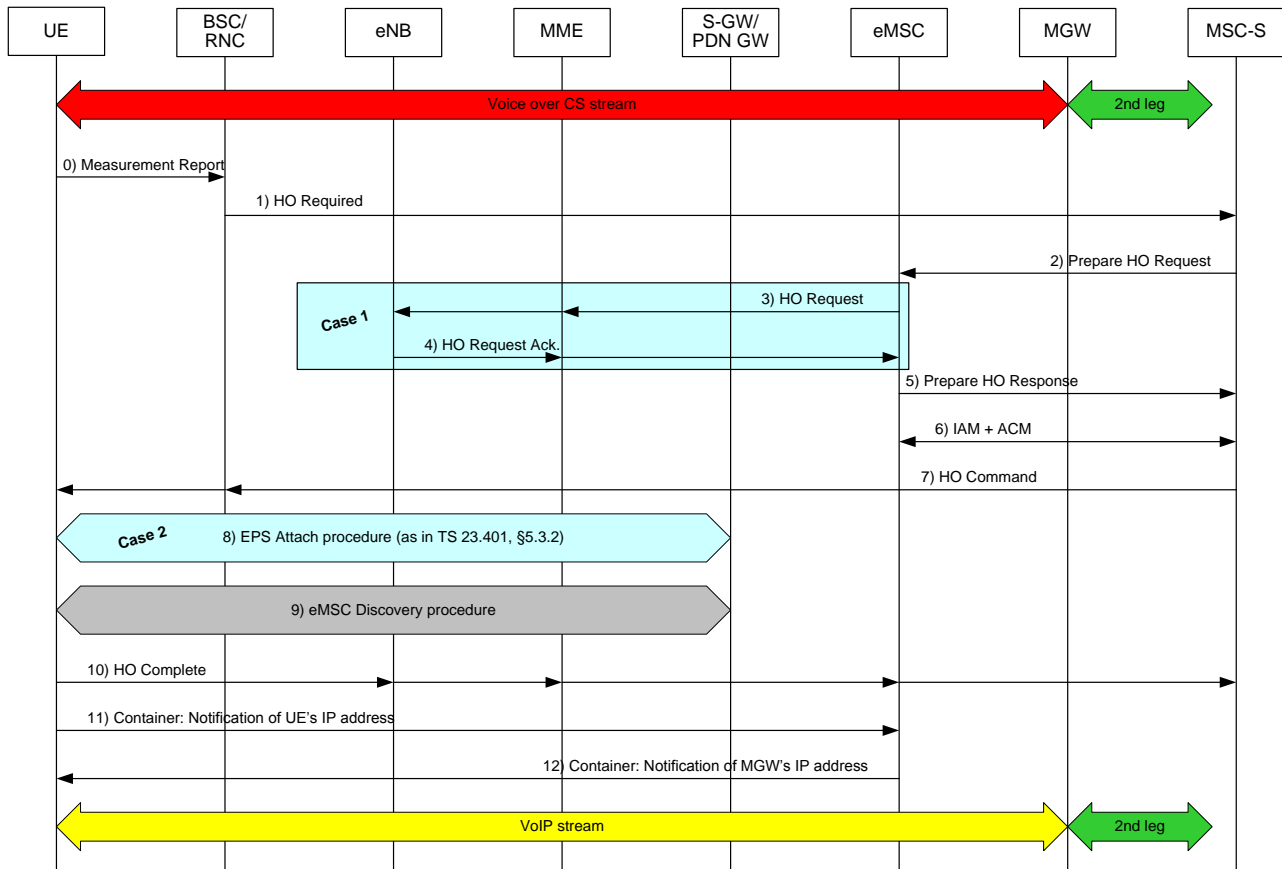


Figure 5.1.2.8-1: HO from GSM/UMTS CS to E-UTRAN procedure

A measurement report from the UE triggers the BSS or RNC to send a HO Required to the MSC-S.

- 1) As the target cell is an E-UTRAN cell, the MSC-S initiates an inter-MSC handover to the eMSC
- 2-10) Upon receiving the HO Required from the BSC/RNC the MSC-S initiates a legacy inter-MSC handover to the eMSC serving the received target cell.

NOTE 1: If the currently serving MSC-S is also serving eMSC of the target cell then no inter-MSC handover is needed and steps 2), 5) and 6) are skipped.

- 3-4) (Case 1) These steps will occur if the UE was already attached to EPC while using the 2G CS domain and that it can re-use the IP address that was received during EPC attachment.

- 8) (Case 2) If the UE was not already attached to EPC, the EPS Attach procedure will occur, as described in TS 23.401 [3].

- assignment of an IP address to the UE;
- establishment of an EPC bearer for the voice stream.

- 9) The eMSC Discovery procedure is triggered as described in §5.1.2.4. From now on the UE knows the IP address of the eMSC.

- 11) This new message lets the eMSC obtain the IP address of the UE.

- 12) This new message lets the UE obtain the IP address of the MGW where to send voice traffic.

NOTE 2: A new container protocol, known by the UE, could carry the new information needed with no impact on 24.008 signalling.

NOTE 3: This call flow is based on Alternative D-1 documented in TR 23.882, §7.19.1.6a.

5.1.3 Co-existence with IMS based services

It is expected that there will be UEs supporting both, CS domain services over PS access as well as IMS based services.

Operators may offer voice services over CS domain as well as over IMS in their network. For one specific user voice services can be provided in the CS Domain or in IMS, or in both. This would be part of the user's subscription information in the HSS, and operator controlled configured in the UE.

Concurrent access to CS Domain voice services and IMS non-voice services is possible. It is possible to combine CS and IP Multimedia Subsystem (IMS) services as specified in TS 23.279 [2] when they are both accessed over EPS. The UE decides based on the operator controlled configuration in the UE about the preferred domain for voice services when adding a voice call to an ongoing IMS session.

VCC (as specified in TS 23.206 [10]) and ICS (as studied in TR 23.892 [11]) architectures and the here proposed architecture for CS Domain services over evolved PS access are mutually exclusive but can co-exist in operators' networks; it is not expected that any functionality can be shared or re-used.

SR VCC (as studied in TR 23.882 [12]) may have overlapping functionality, depending on the selected architecture. The currently identified possible overlapping functionality is identifying and marking of voice bearers in EPS so that a later radio handover triggers an inter-MSC HO.

NOTE: It should be considered whether this functionality will be specified in a way so that it can be shared between SR VCC and CS Domain services over evolved PS access.

5.1.4 Roaming aspects

5.1.4.1 eMSC support in the VPLMN

In this case voice calls are fully controlled by the VPLMN where PDN GW and eMSC are located. This is the preferred roaming architecture for CS Domain services over evolved PS access. It allows full re-use of CS domain roaming agreements and existing inter-operator accounting mechanisms (TAP records exchange) for CS Domain voice services over evolved PS access. In case of a handover between E-UTRAN and GSM/UMTS CS the user experience will be comparable to the one in non-roaming case as the handover is performed solely in the VPLMN, which optimizes the user plane routing.

5.1.4.2 No eMSC support in the VPLMN

In this case the subscriber will not have access to CS domain voice calls over EPS.

NOTE: In this case emergency calls would not be provided by the HPLMN via CS domain services over PS access, but could be made possible by using IMS emergency architecture in the VPLMN. Details are FFS.

5.1.5 Security aspects

The EPS provides IP connectivity with integrity protection and ciphering, and an authenticated sender IP address.

At time of registration most operators will require that the eMSC performs an Authentication Procedure to authenticate the (T)IMSI and create a secure binding with the sender IP address by verifying that it matches the IP address currently allocated to the user. A security association is established between UE and eMSC, to allow the UE to verify the sender identification of the eMSC.

The user-plane traffic shall be secured by standard IP security mechanisms.

5.1.6 Charging aspects

Charging shall be done in the MSC-S part of the eMSC, using the standardized CS Domain interfaces and functions as specified in TS 32.250 [7], TS 32.240 [8], TS 32.299 [9]. In case of loss of transmission on the allocated EPC bearer for the voice stream the eMSC will be triggered by the PCEF via Rx, and according to operator preference either stop charging, or terminate the call.

Charging in the EPS is done with the PCC architecture as specified in TS 23.203 [5]. It is expected that operators would zero-rate all signalling traffic to the eMSC, as well as the EPC bearers transporting the voice traffic.

A radio handover triggering a domain change has no impact on charging performed in the eMSC for an ongoing speech call, i.e. the Anchor MSC continues charging.

5.1.7 Legal requirements aspects

5.1.7.1 Emergency calls

It can be expected that the emergency call attachment procedures for EPS (including the SIM-less case) will be specified to enable IMS emergency calls, and that these procedures can be re-used for CS Domain services over PS access for attachment to the EPS. In the following it is assumed that the UE is already attached in EPS.

- For the non-roaming and roaming architecture with local break-out the UE performs an emergency call setup towards the eMSC as described in the 'MO and MT Call Setup' procedure, with the emergency call specifics, including the SIM-less case, as specified in TS 23.018 [6]. The eMSC will, according to operator policy, allow an emergency registration with an IMEI as UE identification, and subsequently not perform authentication procedure.

A radio handover triggering a domain change has no impact on an ongoing emergency call.

- For the roaming architecture with home-routed traffic (see Figure 5.1.1.2-2) emergency calls will not be provided by the HPLMN using CS domain services over evolved PS access architecture. Instead the UE would use IMS emergency services provided in the VPLMN.

5.1.7.2 Lawful interception

- For the non-roaming and roaming architecture with local break-out the lawful interception architecture and mechanisms specified for the CS domain are sufficient.

A radio handover triggering a domain change has no impact on lawful interception of an ongoing call.

- For the roaming architecture with home-routed traffic (see Figure 5.1.1.2-2) lawful interception needs further investigations, but would be done similar to IMS voice services with home-routed traffic.

5.1.8 Assessment

The 'Evolved MSC' alternative is a full solution according to the scope this study, i.e. it is fulfilling all identified overall and architectural requirements.

The main design-principles of this solution are:

- Minimization of impact on EPS entities: there is no impact on E-UTRAN, and minor impact on MME, limited to an interaction needed for seamless service continuity of a voice call at radio HO between E-UTRAN and legacy GERAN/UTRAN access.
- Maximal re-use of CS Domain mechanisms thus securing operators' investments in legacy CS Domain and radio access equipment and services.

The 'Evolved MSC' alternative allows operators to offer legacy CS domain services fully leveraging the already deployed evolved access coverage, and should be understood as cost-efficient gap-filler until MTSI can be offered with sufficient VoIP-capable PS coverage.

In roaming, CSoPS service is offered if eMSC is supported by the VPLMN. So, while roaming in a VPLMN without eMSC, a CSoPS subscriber will experience voice calls over legacy CS radio access.

5.2 Alternative 2 – IWF between EPS and MSC

5.2.1 Reference architecture

In this alternative, the operator may reuse the MSC Server that will control establishment of voice calls and handling of SMS under E-UTRAN coverage. However, in contrast to Alternative 1, impacts on the existing MSC Server are avoided by "outsourcing" the necessary adaptation functions into an Interworking Function (IWF).

From the EPS point of view, the IWF is perceived as an Application Function (AF). This includes the connection of the IWF to the PCC environment for the control of bearer resources.

From the CS infrastructure point of view, the IWF presents itself as a RNC (Iu used towards the MSC) or a BSC (A used towards the MSC). No changes are needed in the CS infrastructure in order to support this solution.

NOTE: This contribution covers both the use of the A and Iu interfaces. The final interface choice A, Iu or both can be left open for stage 3 discussions and decision.

Overall, this solution has some similarity with the 3GPP GAN solution, where the IWF is represented by the GAN controller. In particular, the IWF supports the transparent transport of CS NAS signalling between the UE and the MSC. The following clauses will explore where GAN functions can be reused by this alternative.

5.2.1.1 Non-roaming architecture

The architecture of this solution resembles the one from Alternative 1, however, the eMSC is replaced by an unchanged MSC and an IWF. This implies that the Z1 and Z2 reference points terminate in the IWF, which itself connects to the MSC via A or Iu-cs interface.

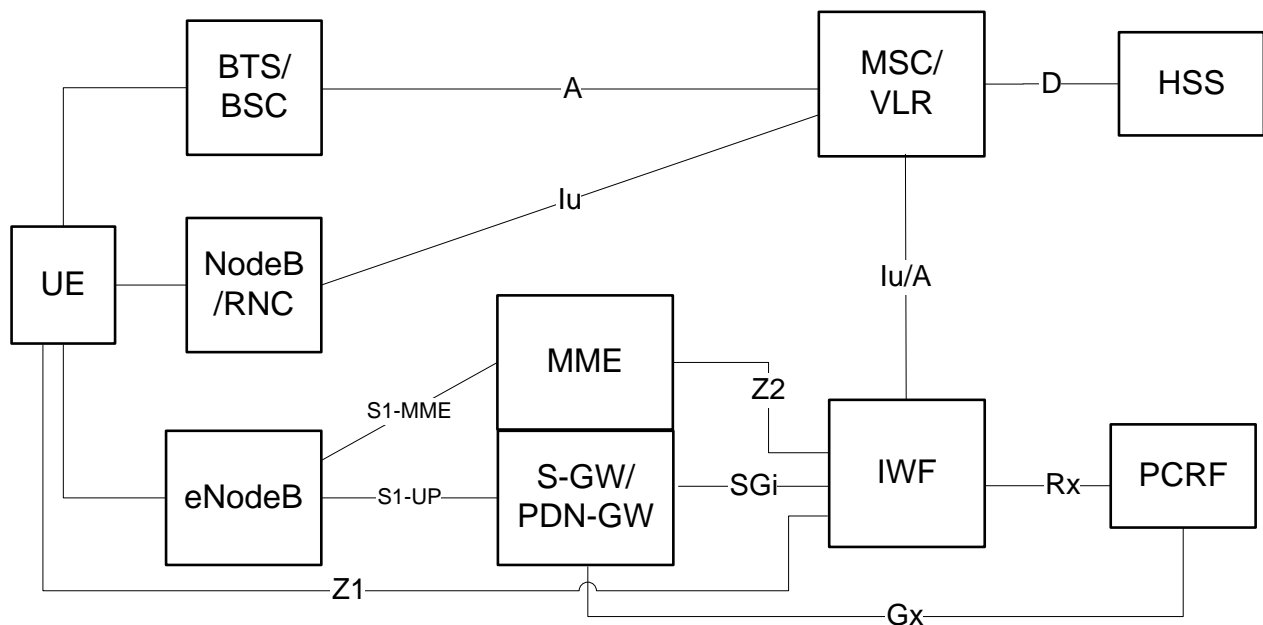


Figure 5.2.1.1-1: Non-roaming architecture

5.2.1.2 Roaming architecture

If the Visited PLMN supports "CS domain services over evolved PS access", the following architecture shall apply where the PDN GW and the IWF are both located in the VPLMN.

Generally, there are no specific roaming issues in this architecture. The relationship between the VPLMN MSC and the HPLMN MSC are unchanged with respect to CS inter-PLMN interworking. The PCRF roaming architecture is already specified in TS 23.203 [5] and can be used without any changes.

In order to allow operators to limit user-plane traffic through the PDN-GW in the VPLMN to user-plane traffic related to CSoPS service, it shall be possible to have a separate APN for CSoPS.

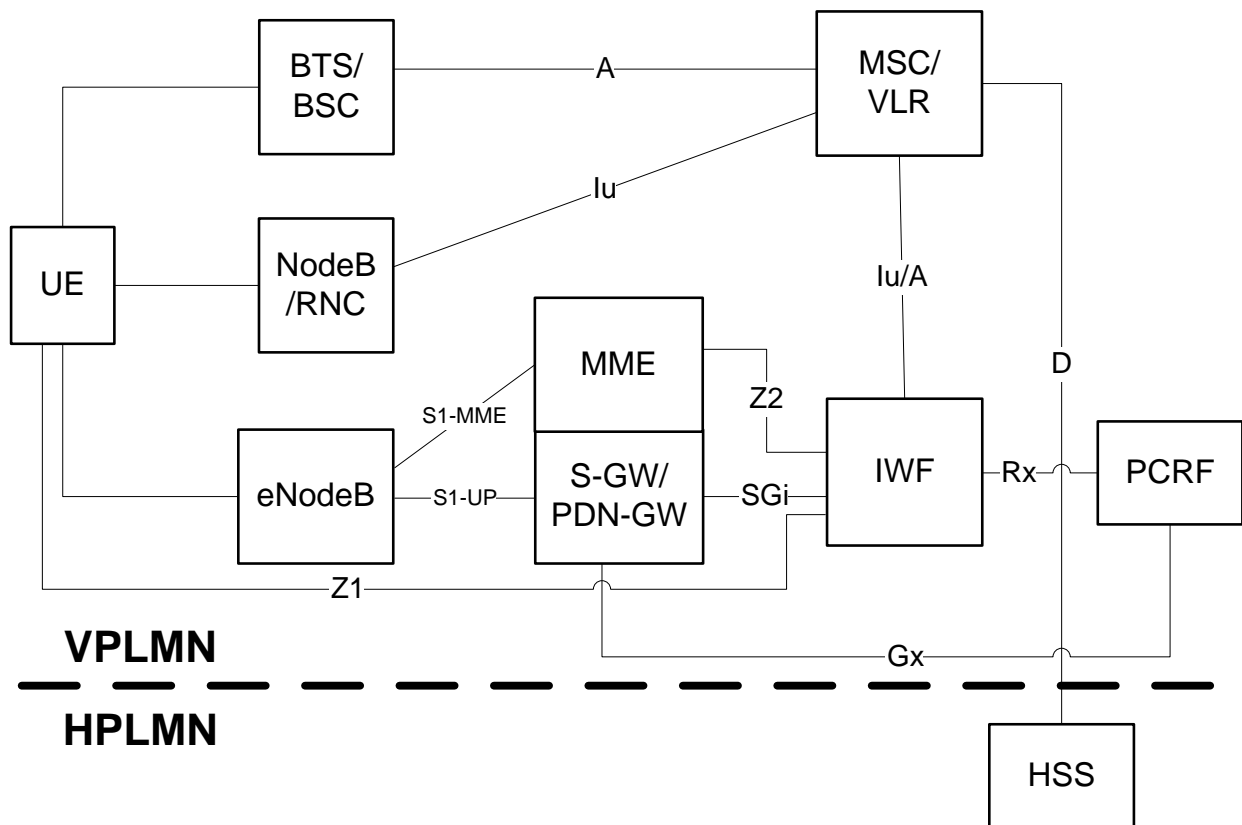


Figure 5.2.1.2-1: Roaming architecture with PDN GW and IWF in VPLMN

If the Visited PLMN does not support "CS domain services over evolved PS access", the subscriber may still have access to CS domain voice calls over EPS. However, this would result in CS call control being executed in the HPLMN rather than the VPLMN, and handover to the legacy CS system would only be possible by means of an inter-PLMN inter-MSC handover procedure. Additional issues with this approach are listed in the legal requirements and charging clauses below. Therefore, for business/legal/technical/administrative reasons, this approach might not be considered feasible by operators.

5.2.1.3 Required functionality in network elements

As the protocol architecture figures in clause 5.2.1.5 show, this solution resembles, to some extent, the 3GPP GAN solution, where the CS infrastructure is connected to a PS-only access network via an interworking function. Therefore, in the following clauses, reuse of GAN functionality and principles is also taken into account. However, it must be recognised that there are also differences, given that EPS is a 3GPP access network with full RRC protocol, mobility management and authentication, ciphering and integrity, while in GAN, the access network is an untrusted non-3GPP access without support of mobility management. This is expected to result in appropriately reduced functionality compared with the GAN specifications, tailoring of the solution to fit the EPS environment.

The following functions are needed in the EPS:

- E-UTRAN:** Handover signalling as specified in SRVCC (TS 23.216 [17]). Functionality from SRVCC might be reused without changes.
- MME:** Handover signalling exchange with the IWF for preparation and execution, and splitting of bearers. This is analogous functionality to that specified for the MME towards the "MSC server enhanced for SRVCC" (TS 23.216 [17]), which might be reused without changes.

It is intended to avoid or at least minimize changes to MME.

- However, the option to support UE security binding, and the need for notifying a change of MME to IWF, may lead to the introduction of new procedures over Sv interface depending on the alternatives.

In the case of no IWF Discovery from the UE, the MME provides IWF address to UE (FFS)

- IWF:** Behaviour like a BSC or RNC towards the CS domain. An alternative where the user plane voice circumvents the IWF should also be considered.
- Behaviour like an Application Function (AF) towards the EPS and the PCRF.
- Translation between the UE-IWF protocol and BSSAP/RANAP. This includes the mapping of information between the UE and the MSC communication paths.
- Handover preparation and execution in cooperation with the MME, where SRVCC functions are expected to be reused. This includes the mapping of information received from the MME into formats that are acceptable to the MSC (e.g. cell IDs / location IDs).
- MSC selection:
- UE-IWF protocol; e.g., for encapsulation of NAS CS domain signalling messages, for Paging and for IWF Registration procedure to provide the UE with CN parameters that are normally broadcast in System Information, such as CN domain specific GSM-MAP NAS system information.
- Ensures UE-IWF communication is secure:
- It is FFS if GAN-style IKEv2 authentication and IPSec tunnelling between the UE and the IWF is required (e.g., to allow for UE authentication and message integrity protection). This alternative is referred to as "Security Alternative A" further below);
 - It is FFS if UE security binding verification is required; i.e. checking whether GUTI/IMSI received in UE-IWF messages from UE IP address matches with GUTI/IMSI provided by MME during UE Attach. This alternative is referred to as "Security Alternative B" further below.

- UE:** CS domain registration and CS signalling using IP transport.
- Introduction of a "reliable transport" layer with session management.
- Communication with the IWF using a "UE-IWF" protocol; e.g., for carrying CS NAS messages and paging the UE.
- VoIP on the user plane per IETF RFC 4867 [21]..
- FFS whether the UE shall support IWF Discovery from the UE, or reception of IWF address from the MME, or both.
- Ensures UE-IWF communication is secure:
- Security Alternative A: It is FFS if GAN-style IKEv2 authentication and IPSec tunnelling between the UE and the IWF is required (e.g., to allow for UE authentication and message integrity protection);
 - Security Alternative B: As another alternative, no additional authentication nor IPSec security to EPS.

NOTE: Given that the EPS is an access network, where a 3GPP authentication has already been performed for the UE before it can access the IWF, it is possible that no additional security measures are needed between the UE and the IWF. In this case, a binding between IMSI/GUTI and UE IP address needs to be verified by the IWF.

According to operator decision, the communication link between the P-GW and the IWF can be secured similar to links towards other application servers, or VPN gateways (including the use of a specifically configured, restricted-use APN).

There are no changes needed in the legacy system to support this alternative.

5.2.1.4 Reference points

- Z2** Reference point between MME and IWF. This reference point is analogous to Sv from SRVCC per TS 23.216 [17].

Z1 Reference point between UE and IWF. This reference point is similar to the GAN solution but with appropriately reduced functionality (e.g. no need for IPSec for the user plane, no need for RRC type measurement result transfers to the IWF).

5.2.1.5 Protocol Stacks

A possible protocol stack for Iu-based control plane over Z1 is illustrated in figure 5.2.1.5-1.

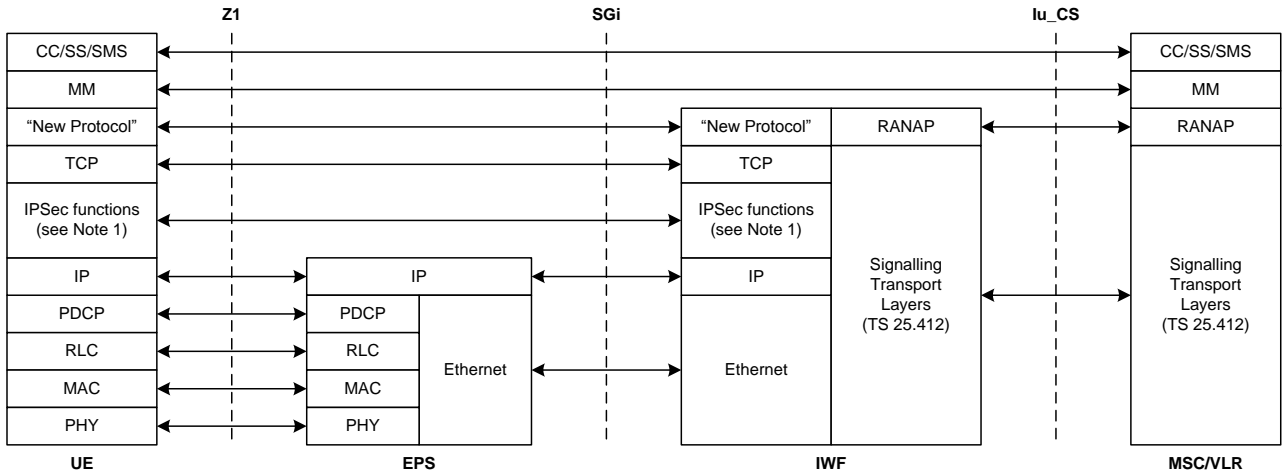


Figure 5.2.1.5-1: Protocol stack for control plane over Z1 (Iu-CS interface)

NOTE 1 It is FFS whether IPsec security is required between the UE and IWF (see clause 5.2.2.2).

The "New Protocol" may re-use available GAN messages to minimize re-invention.

The corresponding protocol stack for user plane over Z1 is illustrated in figure 5.2.1.5-2. This is the same UP protocol stack in the UE as in IMS telephony.

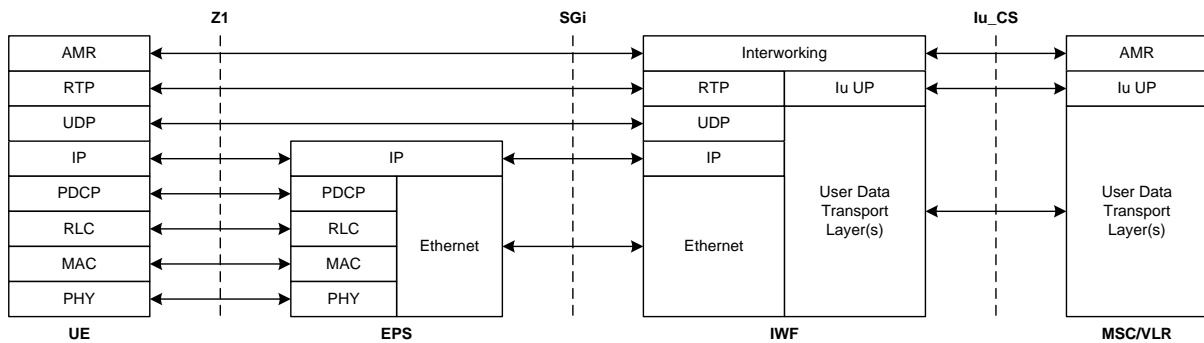


Figure 5.2.1.5-2: Protocol stack for user plane over Z1 (Iu-CS interface)

A possible protocol stack for A-based control plane over Z1 is illustrated in figure 5.2.1.5-3.

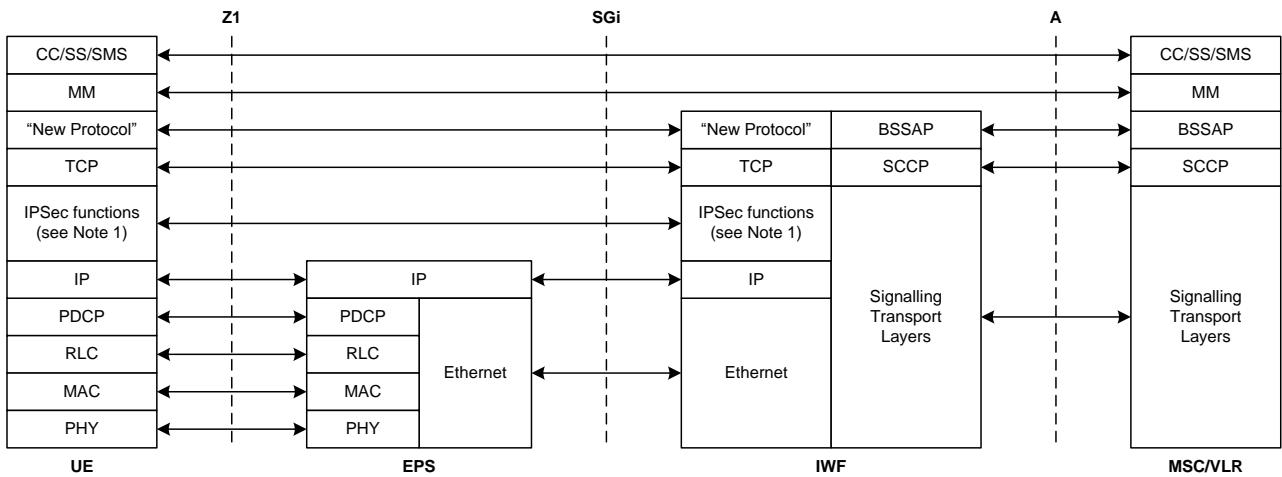


Figure 5.2.1.5-3: Protocol stack for control plane over Z1 (A interface)

The "New Protocol" may re-use available GAN messages to minimize re-invention.

The corresponding protocol stack for user plane over Z1 is illustrated in figure 5.2.1.5-4. This is the same UP protocol stack in the UE as in IMS telephony.

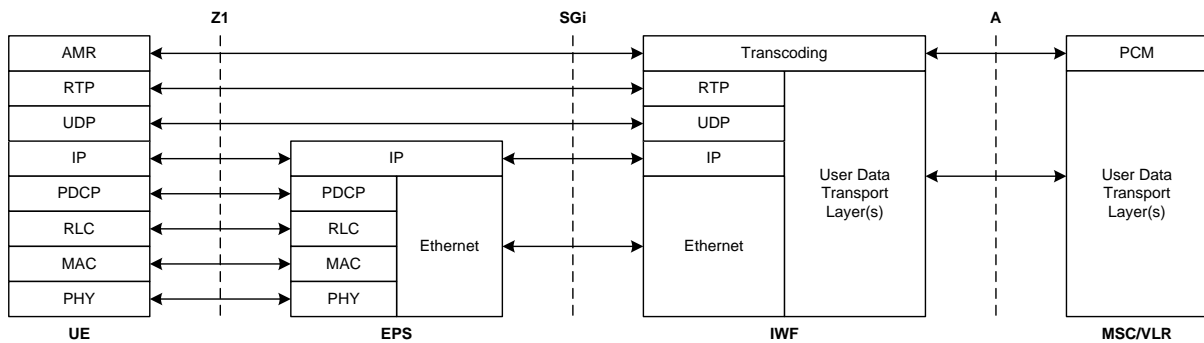


Figure 5.2.1.5-4: Protocol stack for user plane over Z1 (A interface)

5.2.2 Procedures

5.2.2.1 IWF Discovery

The IWF with which the UE registers may be discovered in the following ways (it is FFS which alternative(s) will be selected):

1. After having attached to the EPS network, the UE performs a procedure equivalent to the GAN discovery procedure for the purpose of "Default IWF" assignment (see "GAN-like IWF Discovery" below);
2. The IWF address is provided to the UE as part of the EPS Attach procedure inside the Protocol Configuration Options (PCO) parameter;
3. After having attached to the EPS network, the UE relies on DHCP to obtain the IWF address.
4. Selection of IWF under MME control and subject to configuration.

5.2.2.1.1 GAN-like IWF Discovery (1st alternative)

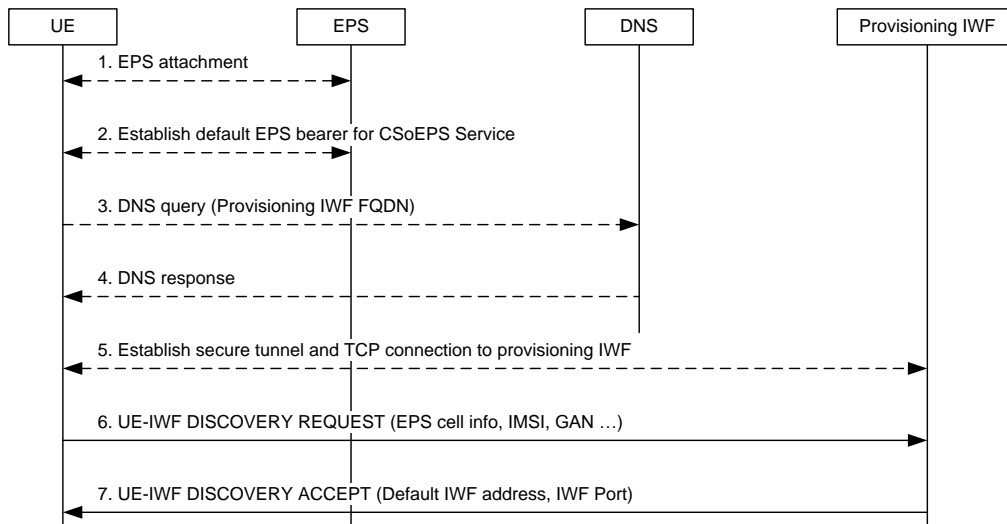


Figure 5.2.2.1-1: IWF Discovery procedure

1. The UE attaches to the network according to the Attach procedure specified in TS 23.401 [3].
2. The UE requests CSoPS PDN connectivity and establishes the default EPS bearer for CSoPS service.
3. If the UE has a provisioned or derived FQDN of the Provisioning IWF, it performs a DNS query (via the generic IP access network provided by the EPS bearer) to obtain an IP address. If the UE has a provisioned IP address for the Provisioning IWF, the DNS step is omitted.
4. The DNS Server returns a response including the IP Address of the Provisioning IWF.
5. The UE establishes a secure tunnel and TCP connection to a well-defined port on the Provisioning IWF.
6. The UE queries the Provisioning IWF for the Default IWF, using UE-IWF DISCOVERY REQUEST message. The message contains:
 - EPS Location Information.
 - UE's IMSI.
7. The Provisioning IWF returns the UE-IWF DISCOVERY ACCEPT message, using the information provided by the UE to provide the FQDN or IP address of the Default IWF. This is done so the UE is directed to a "local" Default IWF in the HPLMN to optimize network performance.

5.2.2.2 Registration

The registration procedure consists of two distinct steps: UE registration with the IWF and UE registration with the CS domain.

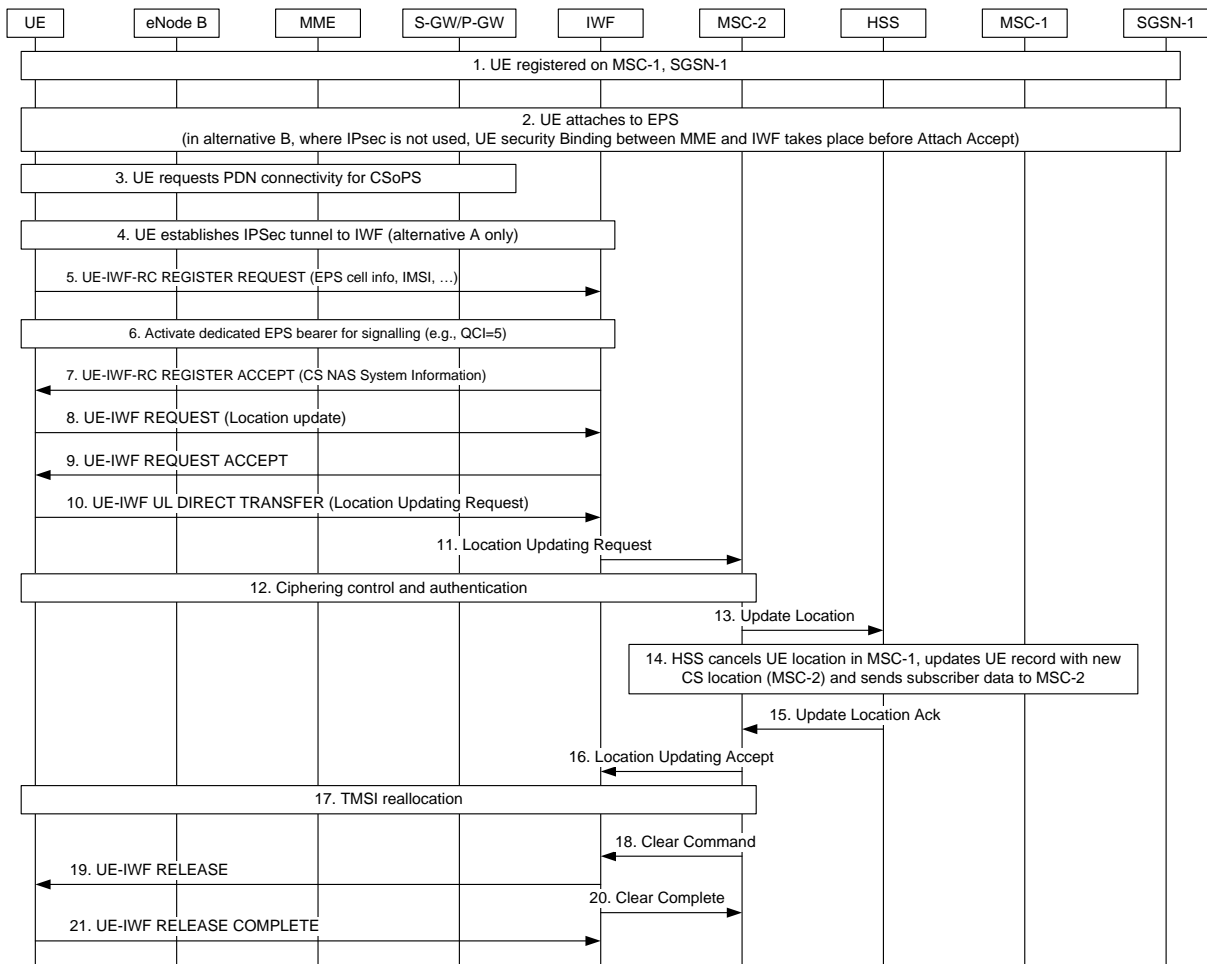


Figure 5.2.2.2-1: Registration procedure

1. The UE may be operating in GERAN/UTRAN mode and may be registered on MSC-1 and SGSN-1.
2. The UE initiates the combined EPS/CS attach procedure as described in TS^o 23.272 [20] if supported by the UE and the network (refer also to clause 5.2.7a). Otherwise the UE performs the EPS attach procedure as described in TS 23.401 [3].

In alternative B where no IPsec is setup, the MME gets the subscriber's IMSI from the EPS authentication procedure and the IP address allocated by the P-GW during Attach procedure. If the UE is CSoPS capable, the MME selects the IWF from the TAI, then returns IWF IP address to the UE with Attach Accept. Furthermore, before Attach Accept is sent to the UE, a UE Security Binding procedure is performed to avoid man-in-the-middle attack by which the IWF verifies the UE binding by checking whether the GUTI or IMSI received in UE-IWF messages corresponding to the UE IP address matches with the GUTI or IMSI provided by the MME in the UE-Binding message. The MME sends UE Binding Request (Temporary User Identity (e.g. GUTI) or Permanent User Identity (e.g. IMSI), UE IP address) to the IWF. The IWF stores these information, allocates a LAI and selects the MSC in the pool of MSC's that manage the LAI.

3. The UE requests CSoPS PDN connectivity and establishes the default EPS bearer for CSoPS service.
4. In Security Alternative A, the UE establishes an IPsec tunnel to the IWF. This step does not exist in alternative B.
5. The UE registers with the IWF, using UE-IWF REGISTER REQUEST message. The message contains:
 - EPS Location Information.
 - UE's IMSI.
6. If the IWF accepts the registration request it shall initiate the activation of a dedicated EPS bearer for the CS signalling channel (QCI=5) using the Rx interface to the PCRF.

7. Once established, the IWF responds with a UE-IWF REGISTER ACCEPT message. The message contains CSoPS specific system information, including:
 - Location-area identification comprising the mobile country code, mobile network code, and location area code corresponding to the CSoPS service area.
 - CN domain specific GSM-MAP NAS system information
 - The GERAN (if A interface) or UTRAN (if Iu interface) cell identity identifying the cell within the location area corresponding to the CSoPS service area.
- 8-21. If the UE has performed a combined EPS/CS attach procedure in step^o2, the registration procedure ends here. Otherwise, the UE executes the location area update procedure via the IWF using the procedures specified in TS 43.318 [18]. The HSS updates the stored UE record with the new CS location (MSC-2 address) and cancels the previous UE location. If the MSC-2 and MSC-1 are same, step 13-15 are skipped.

5.2.2.3 Periodic Location Update

The UE performs periodic location updates as specified in TS 23.012 [4].

5.2.2.4 EPS Detach procedure

When the UE is detached (whatever the reason) from EPS, the IWF may be informed by the UE (i.e., sending a deregister message to the IWF prior to detaching), by the PCRF (i.e., sending a notification to the IWF of the release of the dedicated bearer used for CSoPS signalling), or based on an indication from the MME (e.g., releasing the Sv connection with the IWF), allowing the IWF to release the associated resources:

- EPS resources via Rx
- SCCP connection to the MSC
- UE-IWF-session with the UE
- Internal resources.

5.2.2.5 Handling of IWF or MSC failure

This is FFS.

5.2.2.6 Deregistration

The UE uses the normal CS Detach procedure. UE detach could also be triggered by the IWF when it is informed by PCC that the IP-CAN session used to transport 24.008 over IP signalling is released, and the UE has not registered via GERAN/UTRAN at that time.

5.2.2.7 Establishment of IWF-MME communication for the UE

Either the IWF-MME communication for a specific UE is performed during the UE Binding procedure at EPS Attach (Security Alternative B)

Or it can be set up later if no UE Binding needed (Security Alternative A). In SRVCC, the MME selects the MSC Server "based on the Target ID received in the Handover Required message" (see TS 23.216 [17], clause 6.2.2.1).

Applying this same assumption to the IWF selection by the MME assumes that there is a one-to-one relationship between Target ID (e.g., GERAN CGI) and serving IWF. However, the relationship may be one-to-many for reasons of scalability, for example. Also, even if one-to-one mapping of Target ID and IWF is used, the UE may have started the call on IWF-1 then moved to an area where the Target ID maps to IWF-2.

There are a number of potential methods of addressing this issue, some which require MME support and some which are independent of the MME. The resolution of issue is FFS.

5.2.2.8 MO and MT Call Setup

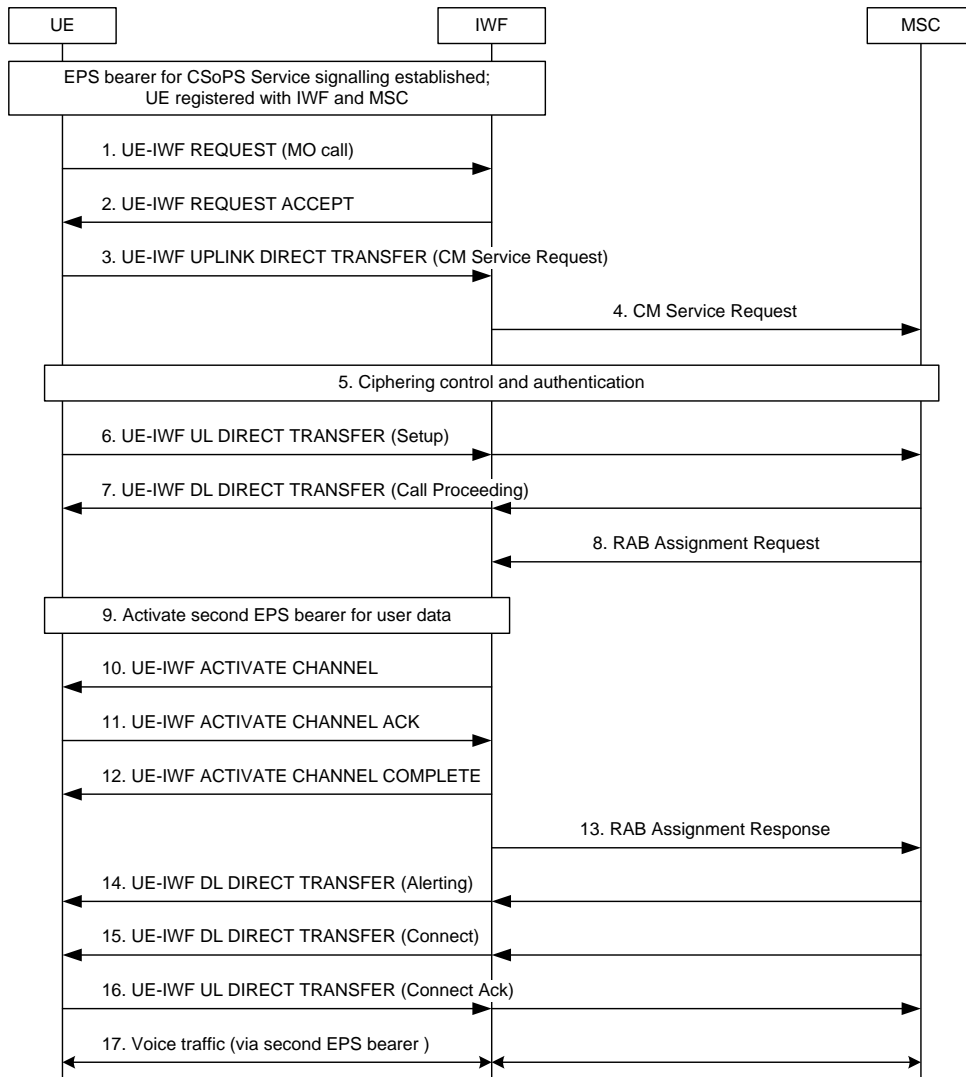


Figure 5.2.2.8-1: MO Call Setup procedure

The UE is operating in E-UTRAN mode and is registered with the IWF and MSC.

- 1-8. The UE, IWF and MSC perform the first part of the MO call procedure using either the procedures specified in TS 43.318 [18], or a new protocol that just encapsulates the CS NAS messages.
- 9. On receipt of the Assignment Request message from the MSC (or earlier), the IWF initiates the activation of a second EPS bearer for the CS voice call using the Rx interface to the PCRF.
- 10-17. The UE, IWF and MSC complete the MO call procedure using either the procedures specified in TS 43.318 [18] or a new protocol that just encapsulates the CS NAS messages.

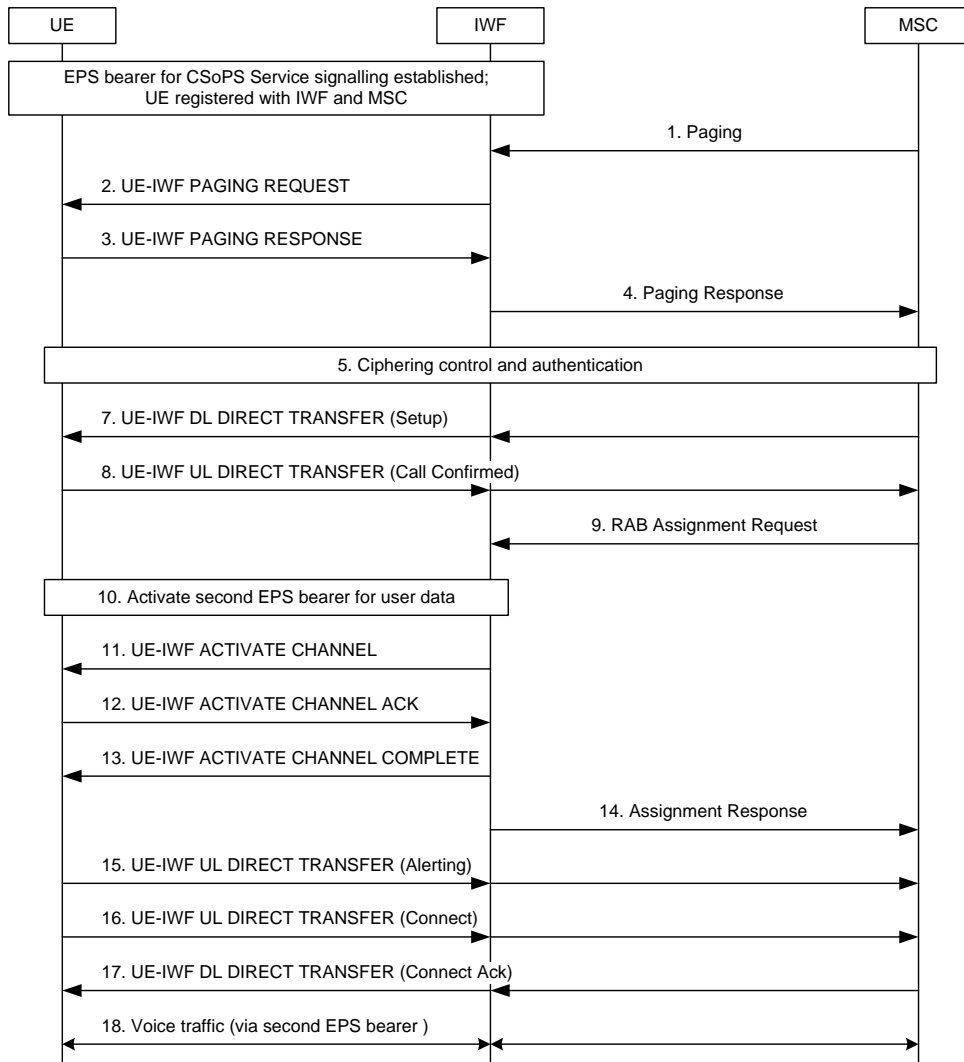


Figure 5.2.2.8-2: MT Call Setup procedure

The UE is operating in E-UTRAN mode and is registered with the IWF and MSC.

1-9. The UE, IWF and MSC perform the first part of the MT call procedure using either the procedures specified in TS 43.318 [18] , or a new protocol that just encapsulates the CS NAS messages, with additional UE-IWF Paging message.

10. On receipt of the Assignment Request message from the MSC (or earlier), the IWF initiates the activation of a second EPS bearer for the CS voice call using the Rx interface to the PCRF.

11-18. The UE, IWF and MSC complete the MT call procedure using either the procedures specified in TS 32.318 [18] , or a new protocol that encapsulates the CS NAS messages.

5.2.2.9 MO and MT SMS

The scenarios in this clause illustrate the use of GAN-like MO and MT SMS procedures.

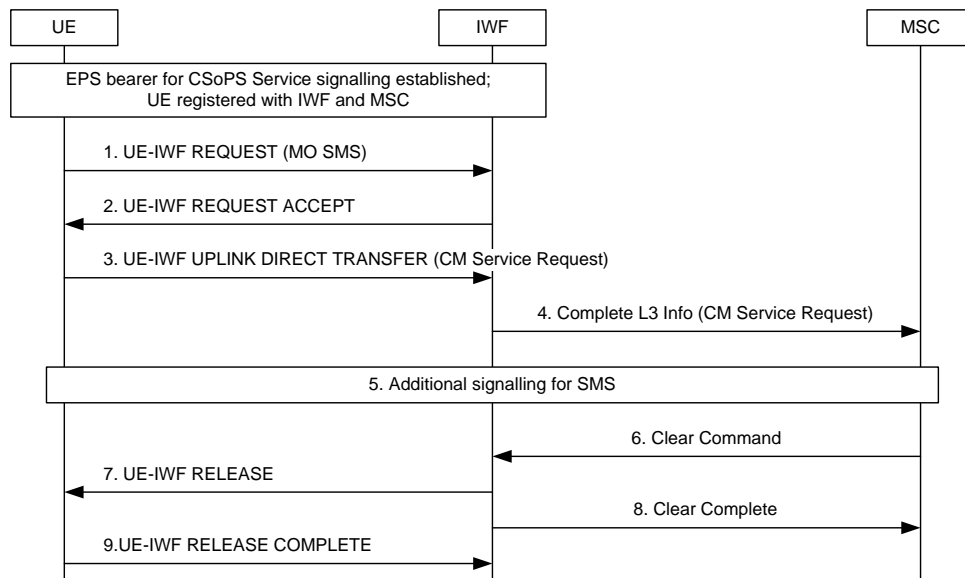


Figure 5.2.2.9-1: MO SMS procedure

The UE is operating in E-UTRAN mode and is registered with the IWF and MSC.

- 1-9. The UE, IWF and MSC perform the MO SMS procedure using either the procedures specified in TS 43.318 [18], or a new protocol that just encapsulates the CS NAS messages.

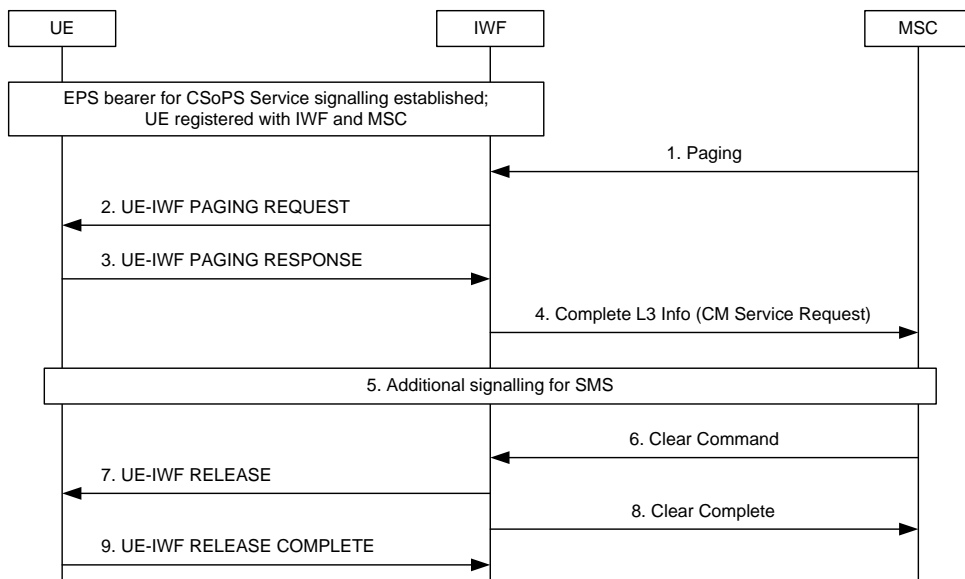


Figure 5.2.2.9-2: MT SMS procedure

The UE is operating in E-UTRAN mode and is registered with the IWF and MSC.

- 1-9. The UE, IWF and MSC perform the MO SMS procedure using either the procedures specified in TS 43.318 [18], or a new protocol that just encapsulates the CS NAS messages.

5.2.2.10 HO from E-UTRAN to GSM/UMTS CS

The following figure illustrates the handover from E-UTRAN to GERAN (assuming DTM handover is not supported and that the Target MSC is serving the CSoPS call). Note that the E-UTRAN to MME interaction and the MME to IWF interaction is the same as the analogous interactions described for SRVCC in TS 23.216 [17].

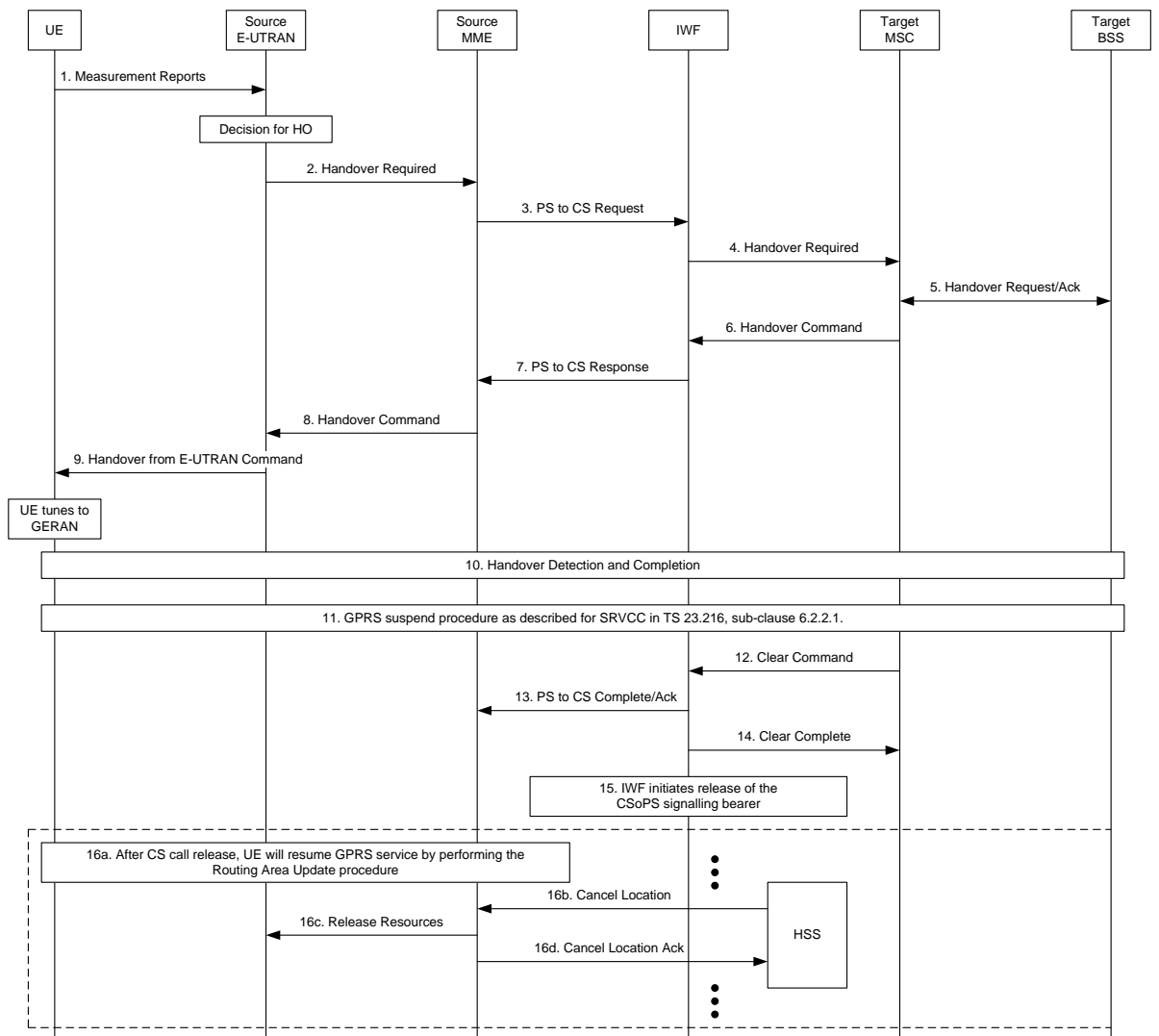


Figure 5.2.2.10-1: HO from E-UTRAN to GERAN CS procedure

A CSoPS call is established.

Similarly as in the SR-VCC mechanism where the UE sends the SR-VCC UE capability to the MME, and where the MME sends the "SRVCC operation possible" indication to the E-UTRAN inside the S1-AP Initial Context Setup Request message meaning that both UE and MME are SRVCC-capable, the E-UTRAN can be informed in the same way of the "CS over PS operation possible" (possibly with the same indicators as those used in SR-VCC). It allows the E-UTRAN to know whether the handover is a CS over PS handover (e.g. the target cell is a CS cell) or a PS handover (e.g. if the target cell is E-UTRAN cell).

1. Based on UE measurement reports the source eNodeB decides to trigger a handover to GERAN. The source eNodeB determines that the target GERAN cell does not support DTM handover and does not support Vo IP in the PS domain.
2. The source eNodeB sends a Handover Required (Target Identifier, generic Source to Target Transparent Container) message to the source MME. The container type also indicates to the MME that this is a Handover to CS operation; i.e., that the VoIP-capable EPS bearer shall be handed over to the GERAN CS domain and that handover of the other EPS bearer(s) shall not be initiated.
3. Based on the QCI associated with the voice bearer (QCI 1) and the container type, the source MME splits the voice bearer from the non-voice bearers and initiates the CSoPS handover procedure for the voice bearer by sending a SRVCC PS to CS Request (generic Source to Target Transparent Container) message to the IWF.
4. The IWF converts the PS-CS Handover Request into a CS Handover Request by sending a BSSMAP Handover Required message to the MSC that is currently serving the CSoPS call.

5. The MSC performs resource allocation with the target BSS by exchanging Handover Request/ Acknowledge messages.
6. The MSC sends a BSSMAP Handover Command message to the IWF, containing the GERAN RRC Handover Command message encapsulated in the "Layer 3 Information" IE.
7. The IWF sends a SRVCC PS to CS Response (Target to Source Transparent Container) message to the MME which contains the RRC Handover Command message.
8. The source MME sends a Handover Command (Target to Source Transparent Container) message to the source eNodeB. The message includes information about the voice component only.
9. The source eNodeB sends a Handover from E-UTRAN Command message to the UE.
10. The UE accesses the GERAN channel and completes the CS handover to GERAN procedure.
11. The UE performs the GPRS Suspend procedure specified in TS 23.216 [17] clause 6.2.2.1 and TS 23.060 [10].
12. The MSC sends a Clear Command message to the IWF to request release of the resources that had been allocated for the call.
13. The IWF sends a SRVCC PS to CS Complete Notification message to the Source MME, informing it that the UE has arrived on the target side. A timer in the Source MME is started to supervise when resources in the Source eNodeB and Source Serving GW shall be released. The Source MME sends a SRVCC PS to CS Complete Acknowledge message to the IWF.
14. The IWF sends the Clear Complete message to the MSC indicating that the IWF has released the resources that had been allocated for the call
15. The IWF initiates the release of the CSoPS signalling bearer via the Rx interface to the PCRF, which is done according to 'IP-CAN Session Modification; PCRF initiated' procedure as specified in TS 23.203 [x].
16. After CS call release and assuming that the UE has lost E-UTRAN coverage, the UE resumes GPRS service by performing the Routing Area Update procedure. During this procedure, the MME will receive a Cancel Location message from the HSS. On receipt of this message or when the timer started at step 13 expires, the Source MME sends a Release Resources message to the Source eNodeB. The Source eNodeB releases its resources related to the UE.

5.2.2.11 HO from GSM/UMTS CS to E-UTRAN

As with SRVCC [17], handover from GERAN/UTRAN to E-UTRAN is considered as lower priority and is FFS. We assume that the same mechanism as eventually specified for SRVCC could be used to trigger GERAN/UTRAN to E-UTRAN handover for CSoPS.

5.2.3 Co-existence with IMS based services

It is expected that there will be UEs supporting both, CS domain services over evolved PS access (CSoPS) as well as IMS based services.

Operators may offer voice and/or SMS services over CS domain as well as over IMS in their network. For one specific user voice and/or SMS services can be provided in the CS Domain or in IMS. This would be part of the user's subscription information in the HSS, and is configured in the UE under operator control.

Concurrent access to CS domain voice services and IMS non-voice services is possible. It is possible to combine CS and IP Multimedia Subsystem (IMS) services as specified in TS 23.279 [2] when they are both accessed over EPS. The UE selects based on the operator controlled configuration, the preferred domain for voice and/or SMS services when adding a voice call to an ongoing IMS session.

SC (as specified in TS 23.237 [10]) and ICS (as specified in TS 23.292 [11]) architectures and the here proposed architecture for CS domain services over evolved PS access can co-exist in operators' networks.

A network supporting CSoPS and IMS VoIP can decide which approach to use for a specific user based on the UE capabilities provided in the Attach Request message and subscription information fetched from the HSS. The detailed procedures for performing the voice mode selection is FFS.

5.2.4 Roaming aspects

5.2.4.1 CSoPS support in the VPLMN

In this case voice calls are fully controlled by the VPLMN where PDN GW, IWF and MSC are located. This is the preferred roaming architecture for CS domain services over evolved PS access. It allows full re-use of CS domain roaming agreements and existing inter-operator accounting mechanisms (TAP records exchange) for CS domain voice services over evolved PS access. In case of a handover between E-UTRAN and GSM/UMTS CS the user experience will be comparable to the one in non-roaming case as the handover is performed solely in the VPLMN, which optimizes the user plane routing.

CSoPS support in the VPLMN requires the support of local breakout in the VPLMN.

Note: The coordination of SMS delivery between SMS over IP, SMS via CSFB, and CSoPS while roaming is FFS.

5.2.4.2 No CSoPS support in the VPLMN

While in theory it is possible to provide access to CS services over E-UTRAN access via the HPLMN (when the HPLMN supports CSoPS) even when the VPLMN has no CSoPS support, there is no known solution for handover to the 2G/3G CS domain when the UE moves out of E-UTRAN coverage. Given that the service continuity is a central aspect of the present feasibility study, the scenario where the UE is provided access to CSoPS over E-UTRAN in a VPLMN that has no CSoPS support is considered out of scope. The behaviour of a CSoPS enabled UE when roaming in a VPLMN that has no CSoPS support is described in details in other clauses of clause 5.2.

5.2.4.3 Co-existence with SRVCC

An operator may wish to deploy support for both CSoPS and SRVCC in their EPC network either to support the operators own subscribers or to support roamers from operators supporting either approaches.

An EPC network supporting both CSoPS and SRVCC can decide which approach to use for a specific user based on the UE capabilities provided in the Attach Request message and/or subscription information fetched from the HSS (e.g. SR-STN).

As a SRVCC UE, the UE is assumed to support CS domain in 2G and 3G.

In a visited network supporting SRVCC only, the inbound CSoPS UE will not be provided CSoPS voice services over E-UTRAN. If the UE supports IMS VoIP with or without SRVCC, the UE may use those voice modes subject to operator policy and subscriber profile. Otherwise, the UE camps on GERAN/UTRAN, if available, in order to support voice and/or SMS. In this case, the default behaviour of the UE is not to autonomously attempt to (re-)select the E-UTRAN for the duration of the time the UE stays in a VPLMN and PLMNs equivalent to the VPLMN.

5.2.4.4 Support for inbound roaming IMS subscribers

If a UE is configured to use IMS voice services it shall, if registered to IMS and subject to operator policy and user preferences, initiate voice calls over IMS, even if it is CSoPS capable.

If a UE is configured to use SMS over IP services it shall, if registered to IMS and subject to operator policy and user preferences, send SMS over IMS, even if it is CSoPS capable.

In cases when the IMS registered (for non-voice IMS services) and CSoPS capable UE cannot initiate an IMS voice session (because e.g. IMS voice services are not supported by the UE) CSoPS should be applied for voice calls.

In a network supporting CSoPS only, the inbound IMS roamers can still be provided access to IMS services in home routed mode or in local breakout mode with home-P-CSCF (if the CSoPS operator does not have any IMS support), subject to the roaming agreement between the home and visited PLMN).

5.2.4.5 Voice mode selection in VPLMN for UEs Supporting Combinations of Voice Modes

The following table enumerates the behaviour of UEs supporting combinations of voice mode capabilities when roaming in a visited PLMN. In some cases, the voice mode selection produces more than one voice mode options. If multiple voice mode options remain after matching UE and VPLMN voice mode capabilities, operator policies and / or subscriber preferences would be applied to select the preferred voice mode from the supported voice mode options.

For the purpose of this table, the UE is assumed to support 2G, 3G, and EPS, including the support of CS domain in 2G and 3G.

The "Voice Modes Supported on Visited PLMN" columns in the table include all combinations of LTE voice modes that may be supported in the VPLMN.

The "Voice Modes Supported on UE" rows in the tables include all combinations of LTE voice modes that may be supported by UEs. The rows also differentiate between whether the UE's HPLMN supports IMS VoIP, or not, since this affects whether IMS VoIP may be used in the VPLMN

Table 5.2.4.5: Voice Mode Selection when Roaming

| # | Voice Modes Supported on UE | Voice Modes Supported on Visited PLMN | | | | | | |
|---|--|---------------------------------------|---------------------------------|----------------------------|-----------------------------------|------------------------------------|---|----------------------------|
| | | CSoPS | CSoPS + CSFB | IMS VoIP | IMS VoIP + CSFB | CSoPS + IMS VoIP | CSoPS + IMS VoIP + CSFB | CSFB |
| 1 | CSoPS | CSoPS mode | CSoPS mode | Legacy CS mode, see Note 1 | Legacy CS mode, see Note 1 | CSoPS mode | CSoPS mode | Legacy CS mode, see Note 1 |
| 2 | CSoPS + CSFB | CSoPS mode | CSoPS or CSFB mode, see Note 2 | Legacy CS mode, see Note 1 | CSFB mode | CSoPS mode | CSoPS or CSFB mode, see Note 2 | CSFB mode |
| 3 | IMS VoIP (IMS VoIP in HPLMN) | Legacy CS mode, see Note 1 | Legacy CS mode, see Note 1 | IMS VoIP mode | IMS VoIP mode | IMS VoIP mode | IMS VoIP mode | Legacy CS mode, see Note 1 |
| 4 | IMS VoIP + CSFB (IMS VoIP in HPLMN) | Legacy CS mode, see Note 1 | CSFB mode | IMS VoIP mode | IMS VoIP or CSFB mode, see Note 2 | IMS VoIP mode | IMS VoIP or CSFB mode, see Note 2 | CSFB mode |
| 5 | CSoPS + IMS VoIP (CSoPS in HPLMN) | CSoPS mode, see Note 3 | CSoPS mode, see Note 3 | Legacy CS mode, see Note 1 | Legacy CS mode, see Note 1 | CSoPS mode, see Note 3 | CSoPS mode, see Note 3 | Legacy CS mode, see Note 1 |
| 6 | CSoPS + IMS VoIP (IMS VoIP in HPLMN) | CSoPS mode | CSoPS mode | IMS VoIP mode | IMS VoIP mode | IMS VoIP or CSoPS mode, see Note 2 | IMS VoIP or CSoPS mode, see Note 2 | Legacy CS mode, see Note 1 |
| 7 | CSoPS + IMS VoIP + CSFB (CSoPS or CSFB in HPLMN) | CSoPS mode | CSoPS or CSFB mode, see Note 2 | Legacy CS mode, see Note 1 | CSFB mode | CSoPS mode, see Note 3 | CSoPS mode, or CSFB see Note 2, 3 | CSFB mode |
| 8 | CSoPS + IMS VoIP + CSFB (IMS VoIP in HPLMN) | CSoPS mode | CSoPS or CSFB, mode, see Note 2 | IMS VoIP mode | IMS VoIP mode, see Note 4 | IMS VoIP or CSoPS mode, see Note 2 | IMS VoIP, CSoPS, or CSFB mode, see Note 2 | CSFB mode |
| 9 | CSFB | Legacy CS mode, see Note 1 | CSFB mode | Legacy CS mode, see Note 1 | CSFB mode | Legacy CS mode, see Note 1 | CSFB mode | CSFB mode |

NOTE 1: If a UE does not support at least one of the LTE voice capabilities required by the VPLMN then the UE camps on GERAN/UTRAN, if available, in order to support voice and/or SMS services (i.e. operates in legacy CS mode). In this case the default behaviour of the UE is not to autonomously attempt to (re-)select the E-UTRAN for the duration of the time the UE stays in a VPLMN and PLMNs equivalent to the VPLMN.

NOTE 2: The choice depends on operator configuration in the UE.

NOTE 3: IMS VoIP is not available in HPLMN, so UE can only use CS-based services in VPLMN.

The table illustrates that:

- IMS VoIP subscribers would select IMS VoIP voice mode while roaming to VPLMNs independent of the VPLMN support for other LTE voice modes as long as the VPLMN meets the requirements to support inbound IMS roamers (see clause 5.2.4.4.).
- CSoPS and CSFB roaming subscribers require the VPLMN to support CSoPS and CSFB respectively in order to use CSoPS or CSFB voice modes while roaming.

5.2.5 Security aspects

The EPS provides IP connectivity with integrity protection and ciphering, and an authenticated sender IP address.

As an alternative, a security association is established over the CSoPS signalling bearer between the UE and the IWF using IPSec/IKEv2 (as defined in TS 43.318 [18] and TS 33.234 [19]) to allow mutual authentication and signalling message integrity protection between the UE and the IWF. IPSec/IKEv2 is used to secure the signalling channel only; the voice user plane channel is secured using normal EPS mechanisms.

NOTE 1: It is expected that the E-UTRAN encryption mechanisms are sufficient to ensure confidentiality so that encryption of the IPsec payload is not necessary.

NOTE 2: It is FFS for stage3 whether IPsec should be used in tunnel mode (like in GAN) or in transport mode (like in IMS).

In Security Alternative B, where no IPsec tunnel is used, an additional EPS-IWF (e.g., MME-IWF) communication is required to verify that the UE IMSI/IP Address pair known to the IWF is the same as the UE IMSI/IP Address pair known to the EPS or to otherwise create a "UE Binding" between the IWF and the EPS.

At time of registration most operators will require that the MSC performs an Authentication Procedure to authenticate the (T)IMSI, as usually done in legacy CS systems. No additional security measures are considered necessary.

Operators may secure the link between the P-GW and the IWF by proper system configuration, e.g. the use of a specifically configured, restricted-use APN. From the IWF to the MSC, normal A or Iu interface is used.

5.2.6 Charging aspects

Charging functions in the MSC are reused without any changes, in both HPLMN and VPLMN. This includes the exchange of TAP records between Operators in roaming scenarios.

Charging in the EPS should apply the PCC architecture as specified in TS 23.203 [5]. It is expected that operators would zero-rate all signalling traffic to the IWF, as well as the EPC bearers transporting the voice traffic.

In case of loss of transmission on the allocated EPC bearer for the voice stream the IWF will be triggered by the PCRF via Rx, and then trigger the same events towards the MSC as a BSC/RNC in case of radio link failure.

5.2.7 Legal requirements aspects

5.2.7.1 Emergency calls

Emergency Services can be used in the MSC with no changes, in both HPLMN and VPLMN. SIMless emergency calls require the support of SIMless access to the EPS.

The IWF must ensure that the MSC gets a proper CellID for routing of the emergency call. The CellID provided by the UE can be validated via LCS, if required.

NOTE: The IWF is expected to rely on the LCS architecture that is currently under study in the "LCS Control Plane solutions for EPS" work item (refer to TR 23.891 [22]).

5.2.7.2 Lawful interception

For the non-roaming and roaming architecture with local break-out the lawful interception architecture and mechanisms specified for the CS domain are sufficient.

- A radio handover triggering a domain change has no impact on lawful interception of an ongoing call.

5.2.7a CSoPS interaction with ISR

5.2.7a.1 General

Similar to CSFB interactions with ISR, in relation with CSoPS, ISR is activated only when an SGs association exists between MME and MSC/VLR.

If the UE and the network support the combined EPS/CS attach procedure as described in TS 23.272 [20], the CSoPS-enabled UE uses the combined EPS/CS attach procedure to register with the CS domain, prior to the registration with the IWF. In other words, when the UE uses the combined EPS/CS attach procedure, the steps 8-21 in Figure 5.2.2.2-1 are skipped.

NOTE: Other mobility management procedures defined in TS 23.272 [20] (e.g. Detach, Combined TA/LA update) are also re-usable in CSoPS context.

If the UE and/or the network do not support the combined EPS/CS attach procedure and related procedures described in TS 23.272 [20], the CSoPS enabled UE registers with the IWF first, and subsequently registers with the CS domain, as described in clause 5.2.2.2. However, in this case ISR is deactivated for this UE.

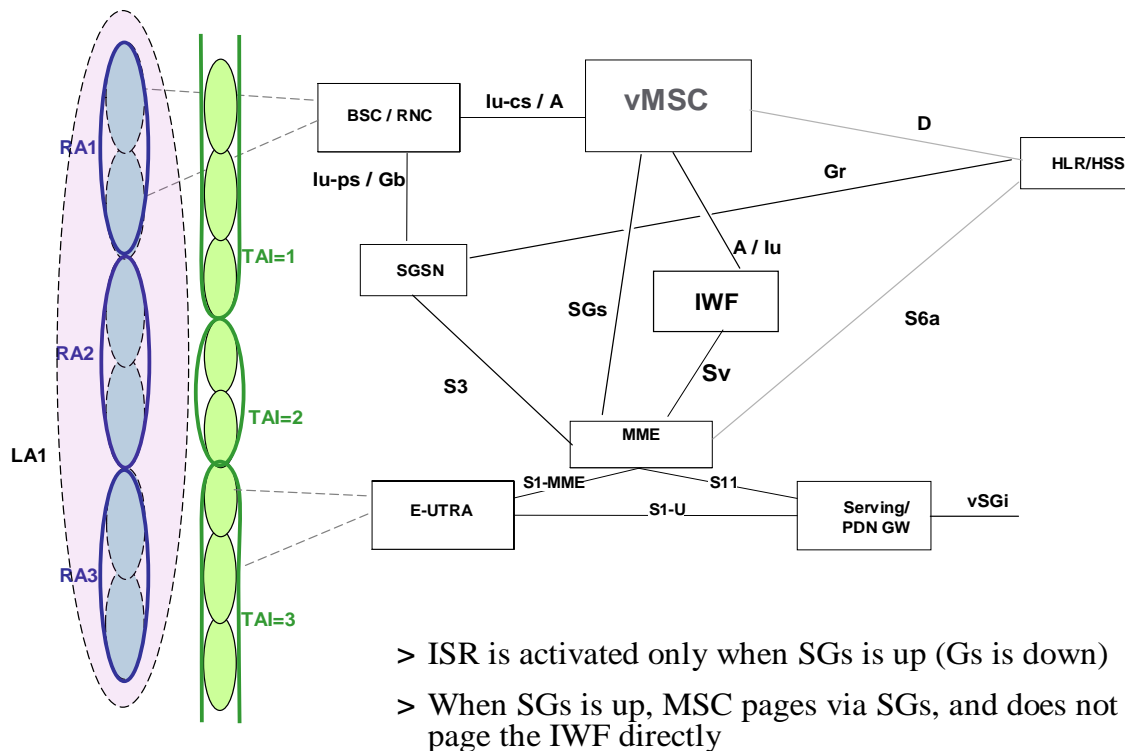


Figure 5.2.7a.1-1: CSoPS interaction with ISR

In reference to Figure 5.2.7a.1-1, once ISR is activated, the UE follows regular ISR behaviour. It may reselect between E-UTRAN and GERAN/UTRAN without a need to update the CN. When a mobile terminated service arrives, the MSC/VLR sends a paging message via SGs to the MME. The MME pages in the TA(s) registered for the UE, and the MME requests the SGSN via S3 that has an ISR relation with the MME for that UE to page in the RA. When the UE is already connected with the MME, the MME forwards the paging request only to the UE via the established signalling connection.

CSoPS enabled UE includes the CSoPS capability indication as part of the "MS Network Capability" in the Attach, RAU or combined RAU/LAU Request message, if the UE has been configured to use CSoPS service by operator policy. SGSN stores the CSoPS capability indication for ISR operation. If the UE has not been configured to use CSoPS, the CSoPS capable UE shall not include the CSoPS capability indication in the Attach, RAU or combined RAU/LAU Request message to SGSN.

ISR remains activated until the CSoPS enabled UE performs a combined RAU/LAU or separate LAU procedure, e.g. because the UE's periodic RAU timer expires or the UE moves to an unregistered RA or moves to system operating in NMO II or III. Normal re-selection between registered RA/TA(s) does not cause ISR deactivated condition. When the UE needs to perform a combined RAU/LAU, the SGSN checks the CSoPS capability bit in MS Network Capability and if it indicates that CSoPS is enabled then SGSN deactivates ISR by not indicating ISR activated in the RAU Accept message, which is a regular ISR functionality as specified in TS 23.401 [3]. After a combined RA/LA update procedure,

the MSC pages via Gs for mobile terminated services. When Gs is not used, the MSC/VLR pages in the LA via Iu/A for mobile terminated services.

If ISR is deactivated when the UE re-selects to E-UTRAN, the CSoPS enabled UE can cause ISR to be activated again by performing a combined TA/LA Update Procedure.

5.2.7a.2 Mobile Terminating Call when ISR is active and SGs is active between MSC/VLR and MME

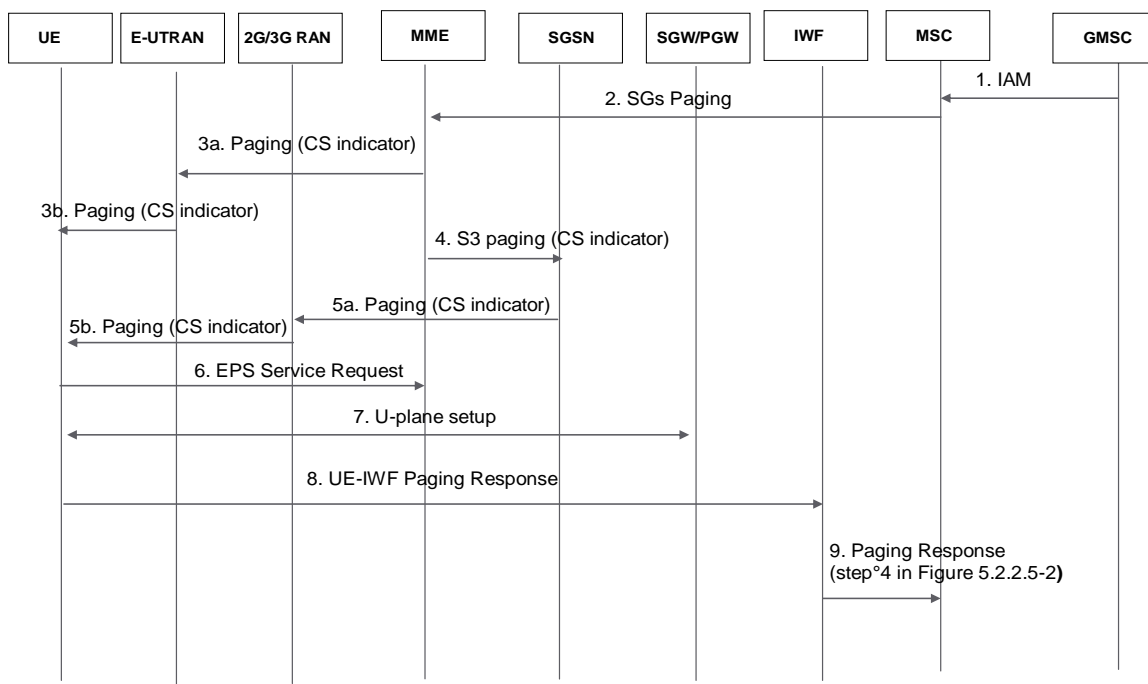


Figure 5.2.7a.2-1: Mobile Terminating Call when ISR is active and SGs is active between MSC/VLR and MME

- 1) G-MSC sends IAM to the MSC/VLR on the terminating side as specified in TS 23.018.
- 2) The MSC/VLR sends a Page message to the MME via SGs.
- 3a) The MME receives the Page message from the MSC/VLR. If the UE is in ECM-IDLE state, the MME sends a Paging (as specified in TS 23.401 [3], and CN Domain Indicator) message to each eNodeB serving the TA list the UE is registered to as specified in TS 23.272 [20]. If the UE is in ECM-CONNECTED, the MME relays the CS Page message to the serving eNodeB over the S1 interface as specified in TS 23.272 [20].
- 3b) The radio resource part of the paging procedure takes place. The message contains a suitable UE Identity (i.e. S-TMSI or IMSI) and a CN Domain indicator.
- 4) As ISR is active and the UE is in ECM_IDLE state, the MME forwards the CS paging message received from the MSC/VLR to the associated SGSN. The MME gets the SGSN information in the regular ISR activation process.
- 5a) The SGSN receives the CS paging message from the MME, the SGSN sends paging messages to RNS/BSSs, which is described in detail in TS 23.060 [16].

NOTE 1: If ISR is not active or the UE is in ECM-CONNECTED state, the MME does not send the CS paging message to the SGSN. That means, the steps 4 and 5 are not needed in the MT call procedure.

- 5b) When RNS/BSS nodes receive paging message from the SGSN, paging is initiated as described in detail in TS 23.060 [16].
- 6) Upon receipt of a Paging Request message for a CS service, if the UE has a valid registration with an IWF, it performs the EPS Service Request procedure (as defined in TS°23.401 [3]). Otherwise, the UE may perform the CS fallback procedure as described in TS°23.272 [20].
- 7) At the end of the Service Request procedure the user plane on the CSoPS-related PDN connection is established.
- 8) UE sends a UE-IWF Paging Response message to the IWF. This step corresponds to step°3 in Figure 5.2.2.5-2. The only difference with the procedure described in Figure 5.2.2.5-2 is that this UE-IWF Paging Response message appears to the IWF as an unsolicited response message.
- 9) IWF sends a Paging Response message to the MSC. This step corresponds to step°4 in Figure 5.2.2.5-2. The subsequent steps are identical with steps 5-18 in Figure 5.2.2.5-2.

NOTE 2: When SGs is used for paging a decision needs to be taken whether the mobile terminated SMS is delivered via the IWF as described in figure 5.2.2.6-2 or via SGs as described in TS°23.272°[20].

5.2.8 Assessment

The 'IWF' alternative is a full solution according to the scope this study, i.e. it is fulfilling all identified overall and architectural requirements.

The main design-principles of this solution are as follows.

- No specific impact on EPS entities: the functionality in the EPS required for this solution is already specified in SRVCC [17]. However, the option to support UE security binding described in clause 5.2.2.2, and the need for notifying a change of MME to IWF may lead to the introduction of new procedures over Sv interface.
- No impact on CS Domain thus securing operators' investments in legacy CS Domain and radio access equipment and services.
- Reuse of a subset of existing GAN functionality, with appropriate simplifications. This minimises the reinvention factor and, generally, keeps the solution rather simple.
- Minimize the functional impact on the UE caused by the introduction of the CSoPS mode of operation

The 'IWF' alternative allows operators to offer legacy CS domain services fully leveraging the already deployed evolved access coverage. The solution is similar to the eMSC solution in Alternative 1, but significantly less intrusive for CS and EPS while more cost-efficient and simpler at the same time.

In roaming, CSoPS service is offered if the solution is supported by the VPLMN. So, while roaming in a VPLMN without IWF, a CSoPS subscriber will experience voice calls over legacy CS radio access. In theory, it would also be possible to provide service from the HPLMN, but this would create issues in mobility with legacy systems as well as in the charging/billing, lawful intercept and emergency call requirements areas. So, the "HPLMN service" concept is not recommended, therefore while roaming in a VPLMN without IWF, a CSoPS subscriber will experience voice calls over legacy CS radio access.

5.3 Alternative 3 - Iu-CS based solution

5.3.1 Reference architecture

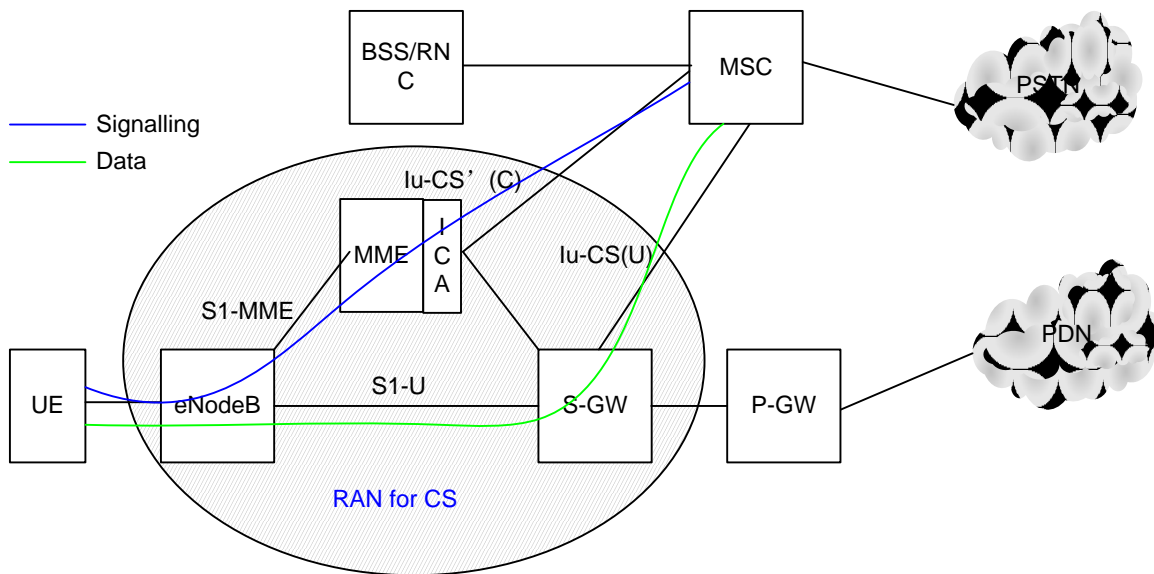


Figure 5.3.1-1: Non-Roaming Architecture for "Iu-CS based solution"

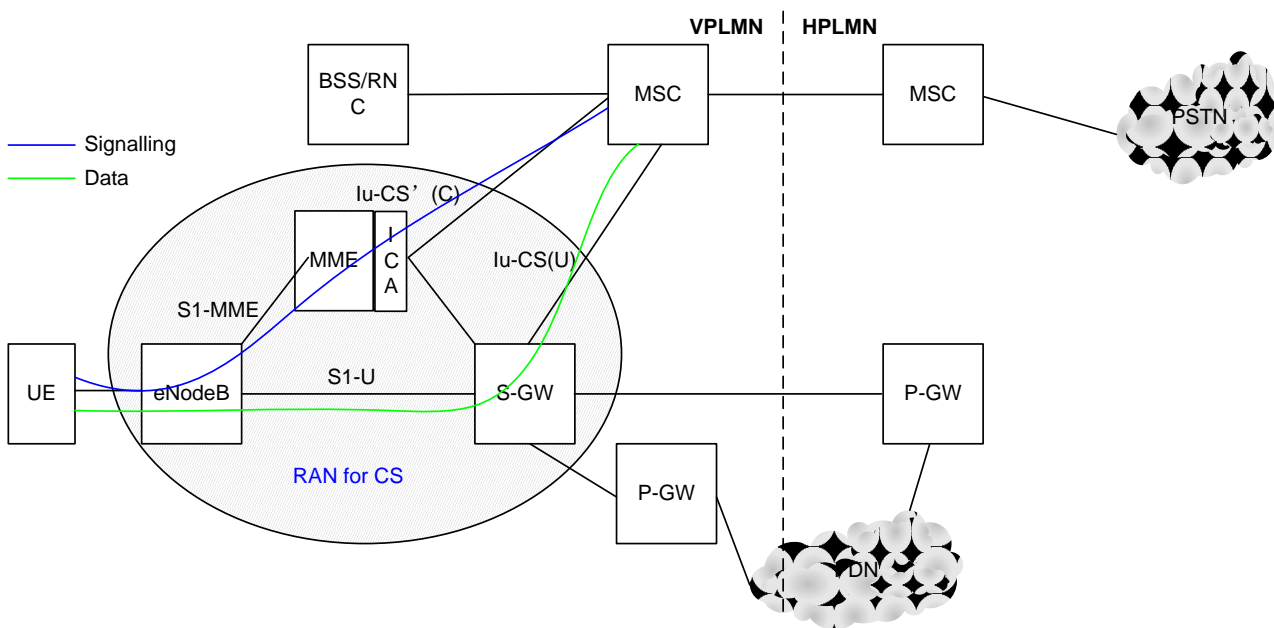


Figure 5.3.1-2: Roaming Architecture for "Iu-CS based solution"

S1-MME: Refer to 23.401 [3]

S1-U: Refer to 23.401 [3]

Iu-CS' (C): Reference point for control plane protocol between MME and MSC. Only a subset of Iu-CS(C) procedure is needed.

Iu-CS (U): Reference point for user plane protocol between S-GW and MSC.

ICA (Iu-CS Adaptor): This functional entity behaves like RNC toward the CS domain and handles the interworking with MME for CS domain services over evolved PS access.

5.3.2 Procedures

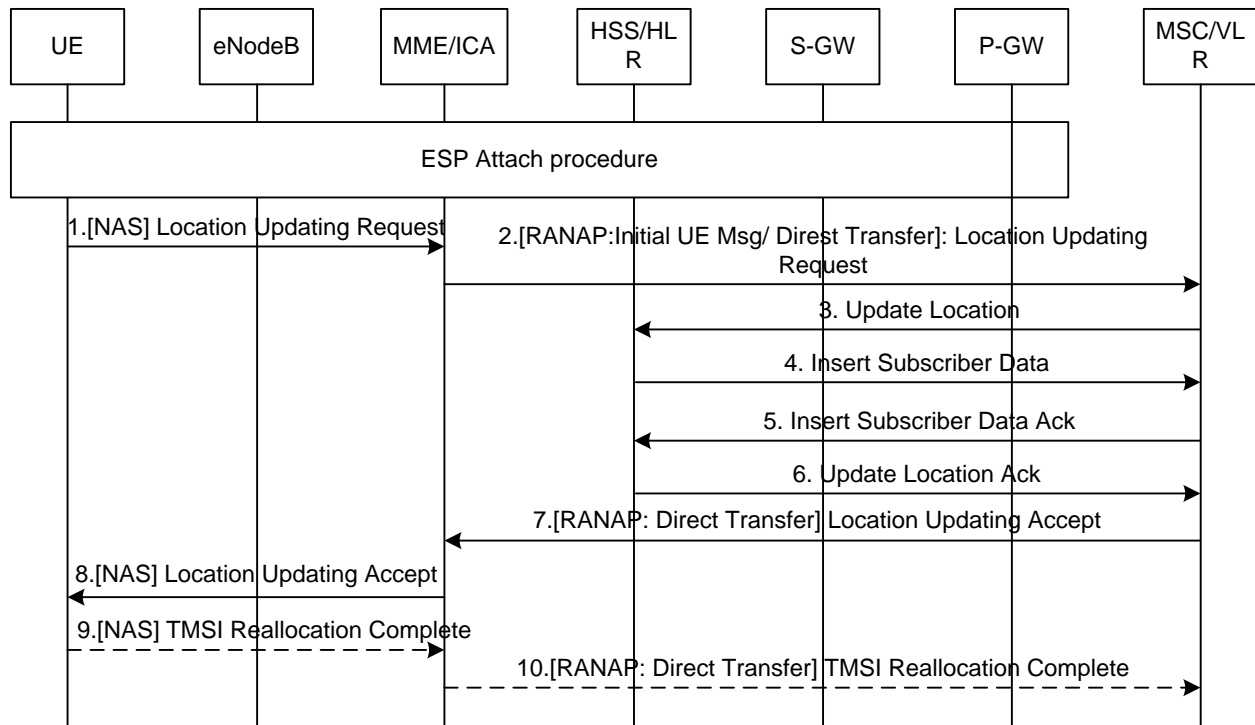


Figure 5.3.2-1: CS registration flow

In the EPS attach procedure, UE is told that if MME/S-GW can connect with MSC by Iu-CS interface. If the corresponding capability is also supported by the UE, the UE will initiate the CS registration after the EPS attach.

1. UE sends Location Updating Request message to MME, and the type may be normal location updating or IMSI attach.
2. MME receives this message from S1-MME interface, encapsulates it into the corresponding Iu-CS RANAP message, and sends it to MSC/VLR on Iu-CS interface.
3. MSC/VLR sends Update Location message to HSS/HLR, in order to get the subscriber's data.
4. HSS/HLR sends Insert Subscriber Data message to MSC/VLR.
5. MSC/VLR sends Insert Subscriber Data Ack message to HSS/HLR.
6. HSS/HLR sends Update Location Ack message to MSC/VLR.
7. MSC/VLR sends Location Updating Accept message to MME. LAI and the allocated new TMSI are included in this message.
8. MME receives this message from Iu-CS interface, transforms it into the corresponding S1-AP message and sends it to eNodeB on S1-MME interface. Then eNodeB sends this NAS message to UE.
9. If a new TMSI is allocated by MSC/VLR, UE would send TMSI Reallocation Complete message to MME.
10. MME sends TMSI Reallocation Complete message to MSC/VLR.

5.3.3 Co-existence with IMS based services

Not part of the study.

5.3.4 Roaming aspects

Not part of the study.

5.3.5 Security aspects

Not part of the study.

5.3.6 Charging aspects

Not part of the study.

5.3.7 Legal requirements aspects

Not part of the study.

5.3.8 Assessment

Not part of the study.

5.4 Alternative 4 - Page in eUTRAN, Call in GSM/WCDMA

In this solution voice services are realized by reuse of CS infrastructure. The terminal, connected to eUTRAN/EPC, uses 2G/3G to establish originating or receive terminating voice calls.

This means this solution is intended only in dual eUTRAN-2G/3G coverage areas. In eUTRAN-only coverage areas, voice service is provided with e.g. MTSI.

The "Page in eUTRAN, Call in GSM/WCDMA" solution is characterised by:

- Mobility management is integrated and/or combined with EPS mobility management.
- Paging request for terminating voice calls are delivered to the terminal via EPS.
- 2G or 3G radio access is used for paging responses and further terminating call handling as well as for all originating calls.

5.4.1 Reference architecture

The "Page in eUTRAN, Call in GSM/WCDMA" proposal reuses the concepts from 2G/3G of the Gs interface between the MSC Server and the SGSN. Thus for the Location Update procedure and for Paging messages a "Gs like" interface between the MME and the MSC server is used:

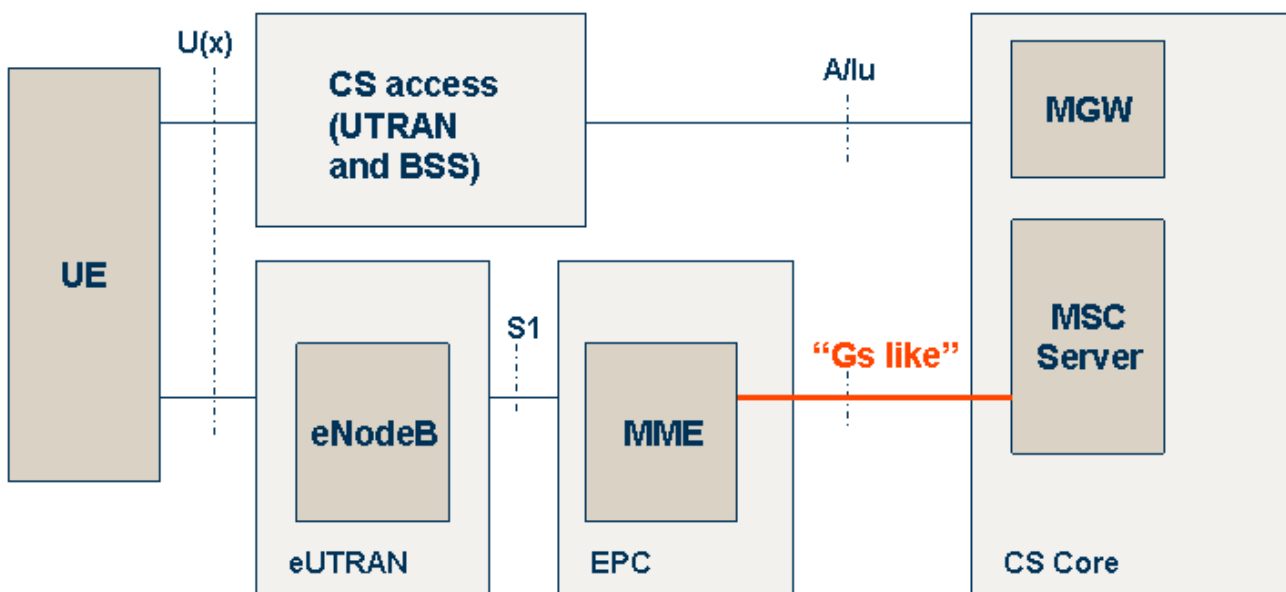


Figure 5.4.1-1: Reference architecture

5.4.2 Procedures

5.4.2.1 Mobility Management

The "Page in eUTRAN, Call in GSM/WCDMA" proposal utilizes EPS mobility management. This is outlined in figure 3 below with the example of the network attachment of a UE.

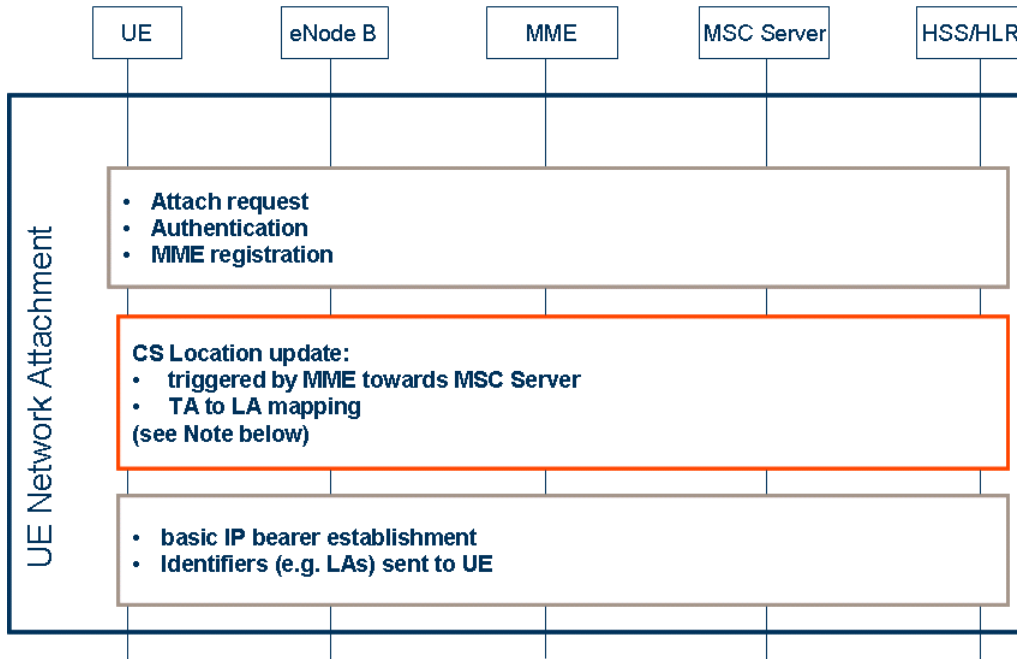


Figure 5.4.2.1-1: UE network attachment to for initial registration

UE is provided with identifiers needed to establish CS voice calls.

NOTE: CS attachment is embedded, the CS Location Update procedure can either be triggered by the UE (e.g. with LA structure visible in broadcast) or by the MME (based on mapping from the TA structure of LTE/SAE).

When the UE is roaming same concept is used. The TA update procedure will include the CS Location Update procedure.

An example of Combined EPS / UMTS Attach procedure is illustrated in Figure 5.4.2.1-2.

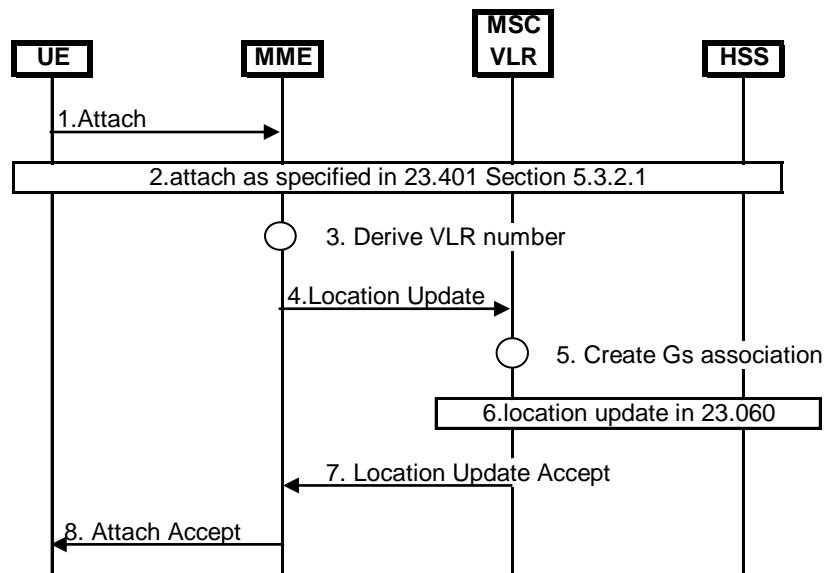


Figure 5.4.2.1-2: Attach Procedure

- 1) The MS initiates the attach procedure by the transmission of an Attach Request (parameters as specified in TS 23.401 [3], Combined Update indicator) message to the MME.
- 2) The EPS Attach procedure is performed as specified in TS 23.401 [3].
- 3) The VLR shall be updated if the Gs-like interface is installed. The VLR number is derived from the TAI. The MME starts the location update procedure towards the new MSC/VLR upon receipt of the first Insert Subscriber Data message from the HSS in step 2). This operation marks the MS as EPS-attached in the VLR.
- 4) The MME sends a Location Update Request (new LAI, IMSI, SGSN Number, Location Update Type) message to the VLR.
- 5) The VLR creates an association with the MME by storing MME Number.
- 6) The VLR performs Location Updating procedure as specified in TS 23.060 [16].
- 7) The VLR responds with Location Update Accept (VLR TMSI) to the MME.
- 8) The MME sends an Attach Accept (parameters as specified in TS 23.401 [3], LA, TMSI) message to the UE.

5.4.2.2 EPS Relocation

When Relocation takes place in EPS, the interaction with VLR/MSC will take place in a similar manner as specified in Clause 6.9.2.2 of TS 23.060 [16].

5.4.2.3 Mobile Terminating Call

An example of Mobile Terminating Call procedure is illustrated in Figure 5.4.2.2-1.

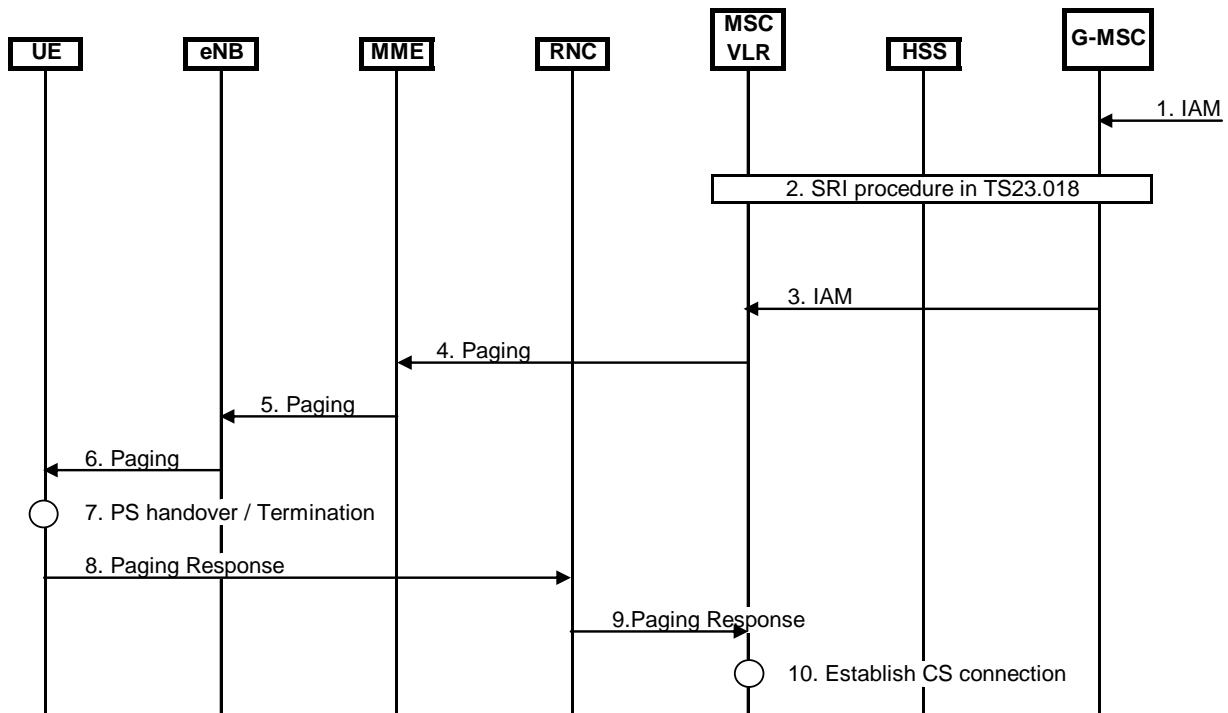


Figure 5.4.2.3-1: Mobile Terminating Call Procedure

- 1) G-MSC receives IAM.
- 2) G-MSC retrieves routing information of the terminating UE by Send Routing Info procedures as specified in TS 23.018 [6].
- 3) G-MSC sends IAM to the MSC on the terminating side as specified in TS 23.018 [6].
- 4) The MME receives a Page (IMSI, VLR TMSI, Location Information) message from the MSC. If VLR TMSI is omitted, the IMSI is used instead of the TMSI as a paging address at the radio interface. If location information is not included, the MME shall page the MS in all the cells served by the VLR and the SGSN, unless the SGSN has reliable information about the location of the MS.
- 5) The MME sends a Paging (as specified in TS 23.401 [3], and CN Domain Indicator) message to each eNB. CN Domain Indicator indicates which domain (CS or PS) initiated the paging message, and in this case it must be set to "CS" by the MME.
- 6) The radio resource part of the paging procedure takes place. The message contains CN Domain indicator.
- 7) Upon receipt of a Paging Request message for a circuit-switched service, Cell Reselection if UE is in idle mode, PS handover as specified in TS 23.401 [3], or termination of existing EPC calls take place.
- 8) When the PS handover is complete, the UE responds to this request and returns the paging response as specified in TS 44.018 [14] in an RRC Initial Direct Transfer message as specified in TS 25.331 [15]. CN Domain Indicator is set to "CS" in the Initial Direct Transfer message.
- 9) When received at the RNC, the Paging Response message is sent in an RANAP Initial UE message to the MSC.
- 10) The MSC receives RANAP Initial UE message which shall then stop the paging response timer and establish the CS connection.

5.4.2.4 MT CS Call in Active Mode - Preparation Phase

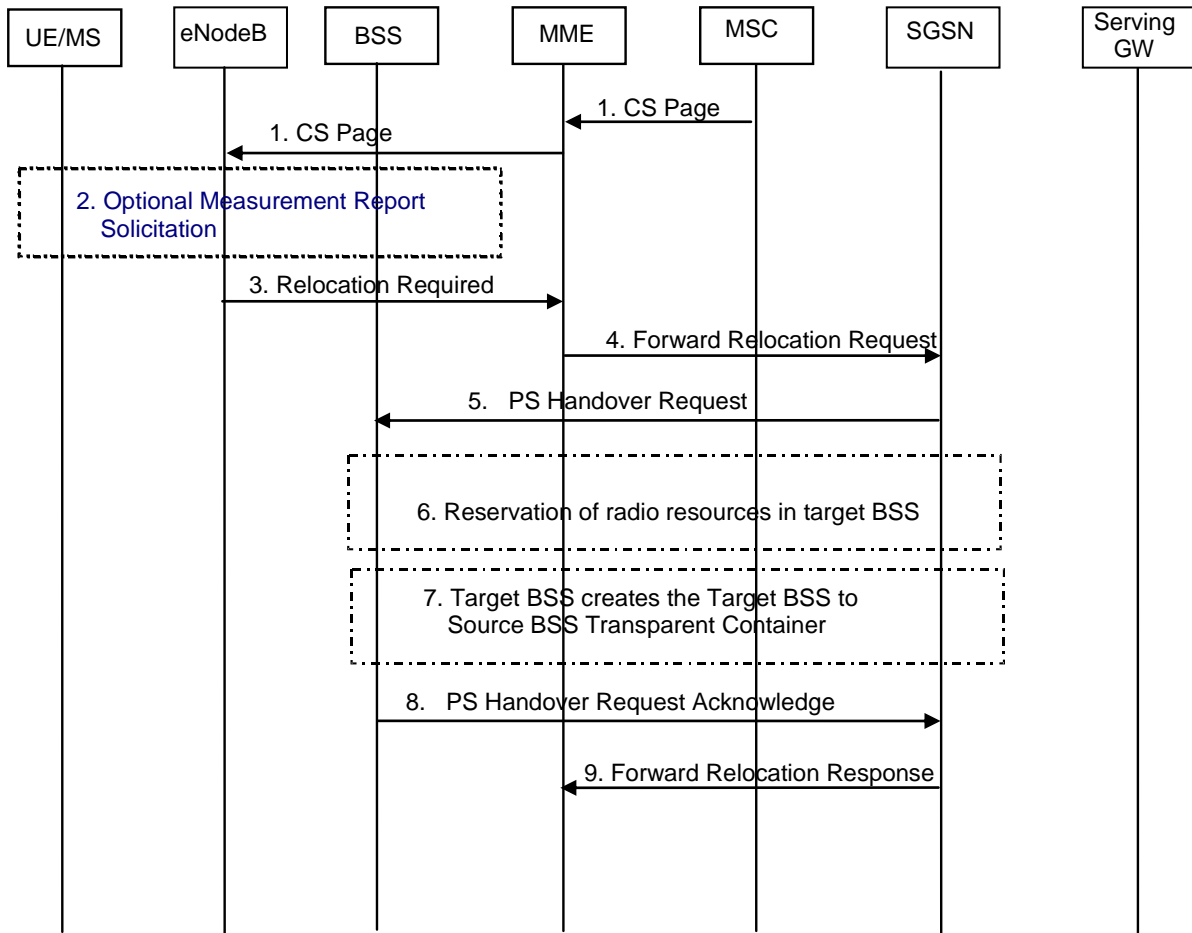


Figure 5.4.2.4-1: CS Page in eUTRAN, Call in GSM/WCDMA – Preparation Phase

1. The MSC receives an incoming voice call and responds by sending a CS Page (IMSI or TMSI) to the MME over a Gs-like interface. The MME relays the CS Page to the eNodeB over the S1 interface. The MSC only sends a CS Page for an UE/MS that provides location update information using the Gs-like interface (i.e. IMS is not supported by the LTE network).
2. The eNodeB may optionally solicit a measurement report from the UE/MS to determine the target GERAN/UTRAN cell to which PS handover will be performed.

Note: The decision if the eNodeB should always solicit a measurement report to at least to allow the MS to respond with a preference for handover to either a GERAN or UTRAN cell, is left to the work item stage.

3. The eNodeB triggers PS handover to a GERAN/UTRAN neighbour cell by sending a Relocation Required message (Relocation Type, Cause, Source ID, Target ID, Source BSS To Target BSS Transparent Container) to the MME. The eNodeB shall set Relocation Type to "UE Involved in relocation of SRNS" and Target ID shall contain the identity of the target cell. The rest of the PS handover procedure described from this point on assumes that a GERAN target cell has been selected.
4. The MME determines initiates the PS Handover resource allocation procedure by sending a Forward Relocation Request (IMSI, Tunnel Endpoint Identifier Control Plane, RANAP Cause, Target Cell Identifier, MM Context, PDP Contexts, Packet Flow ID, PDP Context Prioritisation, Source BSS To Target BSS Transparent Container in the BSS Container (content is FFS), Source eNodeB Id, MME Address for control plane) message to the SGSN. If the MME supports PS handover procedures then it has to allocate a valid PFI during the PDP Context activation procedure. Each PDP context contains the GGSN Address for User Plane and the Uplink TEID for data sent to this GGSN Address.

The MM context contains security related information, e.g. supported ciphering algorithms as described in 3GPP TS 29.060 [11]. The relation between GSM and eUTRAN security parameters is FFS.

The SGSN selects the ciphering algorithm to use. This algorithm will be sent transparently from the SGSN to the UE/MS. The IOV-UI parameter generated in the SGSN and used as input to the ciphering procedure will also be transferred transparently from the SGSN to the UE/MS.

When the SGSN receives the Forward Relocation Request message the required PDP, MM, SNDCP and LLC contexts are established and a new P-TMSI is allocated for the MS. When this message is received by the SGSN it begins the process of establishing PFCs for all PDP contexts.

When the SGSN receives the Forward Relocation Request message it extracts from the PDP Contexts the NSAPIs and SAPIs and PFIs to be used. If for a given PDP Context the SGSN does not receive a PFI from the MME, it shall not request the target BSS to allocate TBF resources corresponding to that PDP Context. If none of the PDP Contexts forwarded from the MME has a valid PFI allocated the SGSN shall consider this as a failure case and the request for PS handover shall be rejected.

The MME shall not indicate any XID parameter settings and the SGSN shall therefore create a NAS container for PS HO indicating Reset (i.e. reset to default XID parameters).

5. The SGSN sends a PS Handover Request (Local TLLI, IMSI, Cause, Target Cell Identifier, Source BSS to Target BSS Transparent Container (RN part), PFCs To Be Set Up List, NAS container for PS HO) message to the target BSS. The SGSN shall not request resources for PFCs associated with PDP contexts with maximum bit rate for uplink and downlink of 0 kbit/s or for which the Activity Status Indicator within the PDP Context indicates that no active RAB exists on the source side.
6. Based upon the ABQP for each PFC the target BSS makes a decision about which PFCs to assign radio resources. The algorithm by which the BSS decides which PFCs that need resources is implementation specific. Due to resource limitations not all downloaded PFCs will necessarily receive resource allocation. The target BSS allocates TBFs for each PFC that it can accommodate plus it will allocate CS resources required to establish the CS bearer in the target cell.
7. The target BSS shall prepare the Target BSS to Source BSS Transparent Container which contains an Inter-Domain Handover Command including the CN part (NAS container for PS HO) and the RN part (PS and CS Radio Resources).
8. Target BSS shall send the PS Handover Request Acknowledge message (Local TLLI, List of Set Up PFCs, Target BSS to Source BSS Transparent Container) message to the SGSN. Upon sending the PS Handover Request Acknowledge message the target BSS shall be prepared to receive downlink LLC PDUs from the SGSN for the accepted PFCs.

Any PDP contexts for which a PFC was not established are maintained in the SGSN and the related SAPIs and PFIs are kept. These PDP contexts may be modified or deactivated by the SGSN via explicit SM procedures upon the completion of the routing area update (RAU) procedure performed during the execution phase.

9. The SGSN passes the assigned list of TEIDs for each PDP context for which a PFC was assigned in the RAB setup information IE in the Forward Relocation Response (Cause, List of Set Up PFCs, Target BSS to Source BSS Transparent Container) in the BSS Container, Tunnel Endpoint Identifier Control Plane, SGSN Address for User Traffic, Tunnel Endpoint Identifier Data II) message to the MME. The NSAPIs of the active PDP Contexts received in the Forward Relocation Request message for which the PS handover continues, i.e. for which resources are allocated for the PFCs in the target BSS, are indicated in this message.

The Tunnel Endpoint Identifier Data II, one information element for each PDP context, is the tunnel endpoint of the SGSN and is used for data forwarding from the Serving GW, via the new SGSN, to the target BSS. The SGSN activates the allocated LLC/SNDCP engines as specified in 3GPP TS 44.064 for an SGSN originated Reset or 'Reset to the old XID parameters'.

When the MME receives the Forward Relocation Response message and it decides to proceed with the handover, the preparation phase is finished and the execution phase will follow.

5.4.2.5 MT CS Call in Active Mode – Execution Phase

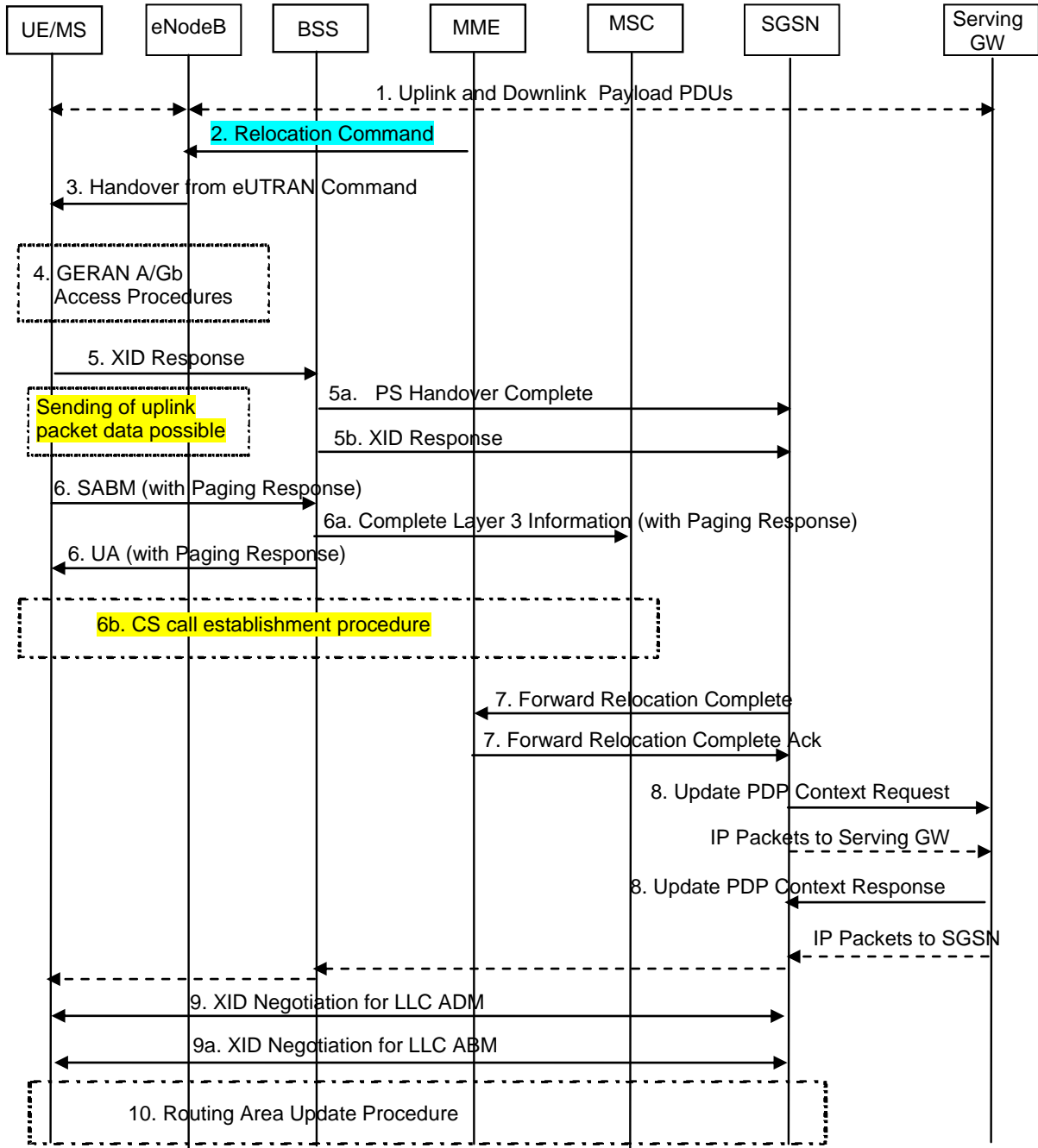


Figure 5.4.2.5-1: CS Page in eUTRAN, Call in GSM/WCDMA – Execution Phase

1. The Serving GW continues to receive IP packets from the PDN GW and forwards them to the UE/MS via the eNodeB.
2. The MME continues the PS handover by sending a Relocation Command (Target BSS to Source BSS Transparent Container (Inter-Domain Handover Command with RN part and CN part), RABs to be Released List, RABs Subject to Data Forwarding List) message to the eNodeB. "RABs to be released list" will be the list of all NSAPIs (RAB Ids) for which a PFC was not established "RABs Subject to Data forwarding list" will be the list of all NSAPIs (RAB Ids) for which a PFC was established.
3. The eNodeB sends the Handover from eUTRAN Command message which includes a PS Handover Command (RN part and CN part) created by the target BSS and the minimum required set of SI/PSI information. It is assumed the eNodeB will be able to provide the MS/UE with SI/PSI information corresponding to the target GERAN cell as a result of receiving this information from target BSS in Target BSS to Source BSS Transparent Container received during the preparation phase.

Before sending the Handover from eUTRAN Command message the uplink and downlink data transfer shall be suspended in the eNodeB for the RABs that require delivery order.

Upon the reception of the HANDOVER from eUTRAN Command message containing the PS Handover Command message, the UE/MS shall associate its RAB IDs to the respective PFIs based on the relation with the NSAPI and shall suspend the uplink transmission of the user plane data.

NOTE 1: The Forward SRNS Context procedure (shown as occurring after this step in clause 5.5.2.3.3 of TS 23.401 [3]) is left out here since the need for it is still FFS.

4. The UE/MS executes the handover according to the parameters provided in the message delivered in step 4. The procedure is the same as in step 6 of the Inter-SGSN A/Gb to A/Gb PS Handover with the additional function of association of the received PFI and existing RAB Id related to the particular NSAPI as described in clause 4.4.1 of TS 43.129.
5. After accessing the cell using access bursts and receiving timing advance information from the BSS in step 6, the MS processes the NAS container and then sends one XID Response message to the new SGSN. The UE/MS sends this message immediately after receiving the Packet Physical Information message containing the timing advance or, in the synchronised network case, immediately if the PS Handover Access message is not required to be sent.

Upon sending the XID Response message, the UE/MS shall resume the user data transfer only for those NSAPIs for which there are radio resources allocated in the target cell. For NSAPIs using LLC ADM for which radio resources were not allocated in the target cell the MS may request for radio resources using the legacy procedures.

NOTE 2: If the SGSN indicated Reset (i.e. reset to default parameters) in the NAS container for PS HO included in the Handover from eUTRAN Command message in order to avoid collision cases the mobile station may avoid triggering XID negotiation for any LLC SAPI used in LLC ADM, but wait for the SGSN to do so. In any case the UE/MS may avoid triggering XID negotiation for any LLC SAPI used in LLC ABM, but wait for the SGSN to do so.

6. As soon as the UE/MS completes the PS Handover procedure (by sending the XID Response message) it establishes the main signalling link on the allocated dedicated channel (i.e. MS/UE sends a SABM containing a layer 3 Service Request message = PAGING RESPONSE to the BSS) and the BSS responds by sending a UA. Upon receiving the SABM (containing a PAGING RESPONSE message) the BSS sends a COMPLETE LAYER 3 INFORMATION message (containing a PAGING RESPONSE message) to the MSC which indicates CS resources have been allocated in the GERAN cell. When the RR connection is established the MS enters DTM and the CS call establishment procedure completes.

NOTE 3: This step is not shown in clause 5.5.2.3.3 of TS 23.401 [3] since no CS resource allocation is included in that handover procedure.

7. Upon receiving the PS Handover Complete message, the SGSN send a Forward Relocation Complete message to the MME to indicate completion of the PS handover procedures. The MME responds with a Forward Relocation Complete Acknowledge message.
8. The SGSN sends an Update PDP Context Request (new SGSN Address, TEID, QoS Negotiated) message to the Serving GW concerned. The Serving GW updates the PDP context fields and returns an Update PDP Context Response (TEID) message. From now on the Serving GW sends new incoming downlink IP packets to the SGSN instead of to the MME.

NOTE 4: The additional steps of the Update Bearer Request - Update Bearer Response exchange between the Serving GW and PDN GW are not shown but will be performed as per the equivalent steps of clause 5.5.2.3.3 of TS 23.401 [3].

9. If the SGSN indicated Reset (i.e. reset to default parameters) in the NAS container for PS HO included in the Handover from eUTRAN Command message then on receipt of the PS Handover Complete the SGSN initiates an LLC/SNDCP XID negotiation for each LLC SAPI used in LLC ADM. In this case if the SGSN wants to use the default parameters, it shall send an empty XID Command. If the SGSN indicated 'Reset to the old XID parameters' in the NAS container for PS HO, no further XID negotiation is required for LLC SAPIs used in LLC ADM only.

The SGSN (re-)establishes LLC ABM for the PDP contexts which use acknowledged information transfer. During the exchange of SABM and UA the SGSN shall perform LLC/SNDCP XID negotiation.

10. The UE/MS may trigger the Routing Area Update procedure when the sending of uplink packet data is possible. The detailed steps performed are as per clause 5.5.2.3.3 of TS 23.401 [3].

5.4.2.6 MO CS Call in Active Mode – Preparation Phase

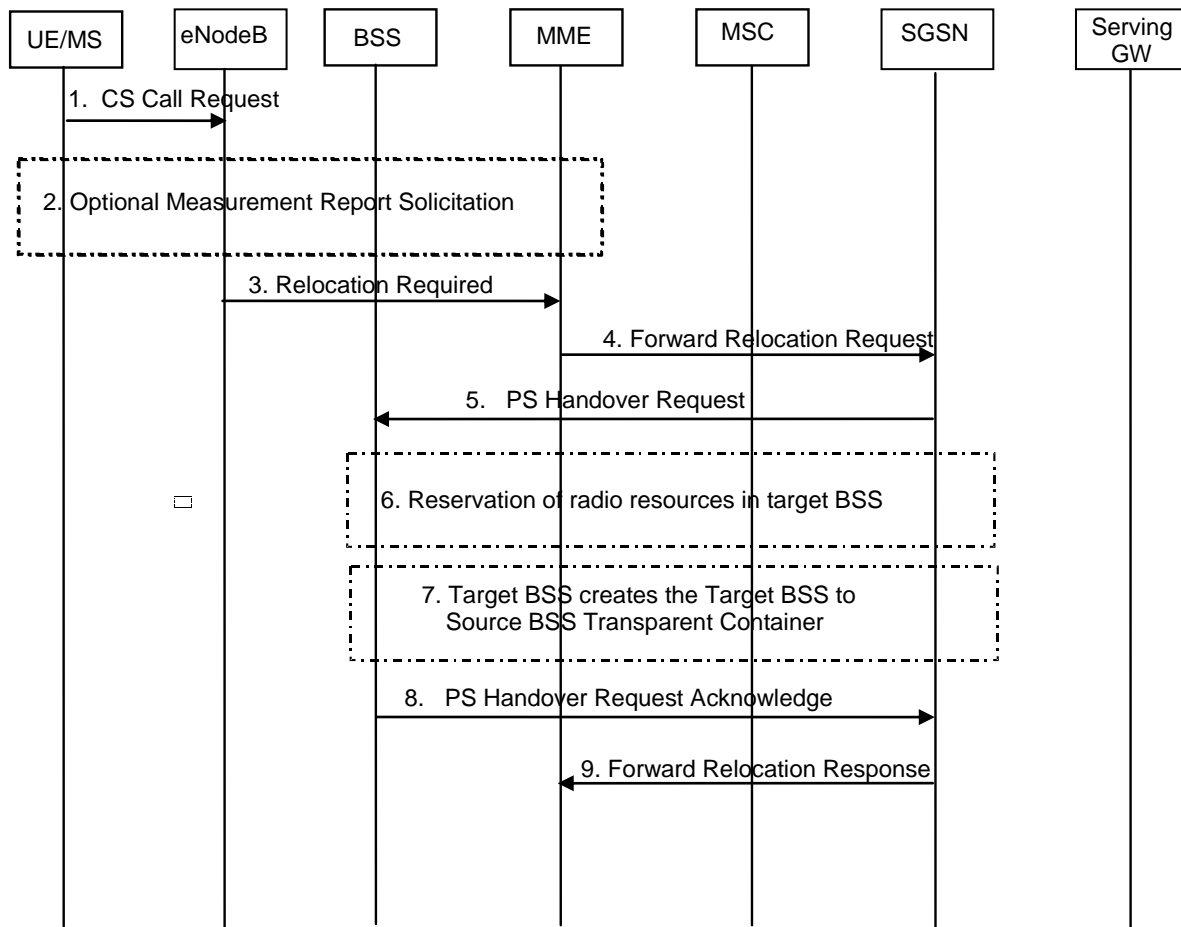


Figure 5.4.2.6-1: CS Call Request in eUTRAN, Call in GSM/WCDMA – Preparation Phase

1. The eNodeB receives a CS Call Request from the UE/MS. The UE/MS only transmits this request when a voice call is requested by the user and IMS is not supported.
2. The eNodeB may optionally solicit a measurement report from the UE/MS to determine the target GERAN/UTRAN cell to which PS handover will be performed.

Note: The decision if the eNodeB should always solicit a measurement report to at least to allow the MS to respond with a preference for handover to either a GERAN or UTRAN cell, is left to the work item stage.

3. The eNodeB triggers PS handover to a GERAN/UTRAN neighbour cell by sending a Relocation Required message (Relocation Type, Cause, Source ID, Target ID, Source BSS To Target BSS Transparent Container) to the MME. The eNodeB shall set Relocation Type to "UE Involved in relocation of SRNS" and Target ID shall contain the identity of the target cell. The rest of the PS handover procedure described from this point on assumes that a GERAN target cell has been selected.
4. The MME determines and initiates the PS Handover resource allocation procedure by sending a Forward Relocation Request (IMSI, Tunnel Endpoint Identifier Control Plane, RANAP Cause, Target Cell Identifier, MM Context, PDP Contexts, Packet Flow ID, PDP Context Prioritisation, Source BSS To Target BSS Transparent Container in the BSS Container (content is FFS), Source eNodeB Id, MME Address for control plane) message to the SGSN. If the MME supports PS handover procedures then it has to allocate a valid PFI during the PDP Context activation procedure. Each PDP context contains the GGSN Address for User Plane and the Uplink TEID for data sent to this GGSN Address.

The MM context contains security related information, e.g. supported ciphering algorithms as described in 3GPP TS 29.060 [11]. The relation between GSM and eUTRAN security parameters is FFS.

The SGSN selects the ciphering algorithm to use. This algorithm will be sent transparently from the SGSN to the UE/MS. The IOV-UI parameter generated in the SGSN and used as input to the ciphering procedure will also be transferred transparently from the SGSN to the UE/MS.

When the SGSN receives the **Forward Relocation Request** message the required PDP, MM, SNDCP and LLC contexts are established and a new P-TMSI is allocated for the MS. When this message is received by the SGSN it begins the process of establishing PFCs for all PDP contexts.

When the SGSN receives the **Forward Relocation Request** message it extracts from the PDP Contexts the NSAPIs and SAPIs and PFIs to be used. If for a given PDP Context the SGSN does not receive a PFI from the MME, it shall not request the target BSS to allocate TBF resources corresponding to that PDP Context. If none of the PDP Contexts forwarded from the MME has a valid PFI allocated the SGSN shall consider this as a failure case and the request for PS handover shall be rejected.

The MME shall not indicate any XID parameter settings and the SGSN shall therefore create a NAS container for PS HO indicating Reset (i.e. reset to default XID parameters).

5. The SGSN sends a PS Handover Request (Local TLLI, IMSI, Cause, Target Cell Identifier, Source BSS to Target BSS Transparent Container (RN part), PFCs To Be Set Up List, NAS container for PS HO) message to the target BSS. The SGSN shall not request resources for PFCs associated with PDP contexts with maximum bit rate for uplink and downlink of 0 kbit/s or for which the Activity Status Indicator within the PDP Context indicates that no active RAB exists on the source side.
6. Based upon the ABQP for each PFC the target BSS makes a decision about which PFCs to assign radio resources. The algorithm by which the BSS decides which PFCs that need resources is implementation specific. Due to resource limitations not all downloaded PFCs will necessarily receive resource allocation. The target BSS allocates TBFs for each PFC that it can accommodate plus it will allocate CS resources required to establish the CS bearer in the target cell.
7. The target BSS shall prepare the Target BSS to Source BSS Transparent Container which contains an Inter-Domain Handover Command including the CN part (NAS container for PS HO) and the RN part (PS and CS Radio Resources).
8. Target BSS shall send the PS Handover Request Acknowledge message (Local TLLI, List of Set Up PFCs, Target BSS to Source BSS Transparent Container) message to the SGSN. Upon sending the PS Handover Request Acknowledge message the target BSS shall be prepared to receive downlink LLC PDUs from the SGSN for the accepted PFCs.

Any PDP contexts for which a PFC was not established are maintained in the SGSN and the related SAPIs and PFIs are kept. These PDP contexts may be modified or deactivated by the SGSN via explicit SM procedures upon the completion of the routing area update (RAU) procedure performed during the execution phase.

9. The SGSN passes the assigned list of TEIDs for each PDP context for which a PFC was assigned in the RAB setup information IE in the Forward Relocation Response (Cause, List of Set Up PFCs, Target BSS to Source BSS Transparent Container) in the BSS Container, Tunnel Endpoint Identifier Control Plane, SGSN Address for User Traffic, Tunnel Endpoint Identifier Data II) message to the MME. The NSAPIs of the active PDP Contexts received in the Forward Relocation Request message for which the PS handover continues, i.e. for which resources are allocated for the PFCs in the target BSS, are indicated in this message.

The Tunnel Endpoint Identifier Data II, one information element for each PDP context, is the tunnel endpoint of the SGSN and is used for data forwarding from the Serving GW, via the new SGSN, to the target BSS. The SGSN activates the allocated LLC/SNDCP engines as specified in 3GPP TS 44.064 for an SGSN originated Reset or 'Reset to the old XID parameters'.

When the MME receives the Forward Relocation Response message and it decides to proceed with the handover, the preparation phase is finished and the execution phase will follow.

5.4.2.7 MO CS Call in Active Mode – Execution Phase

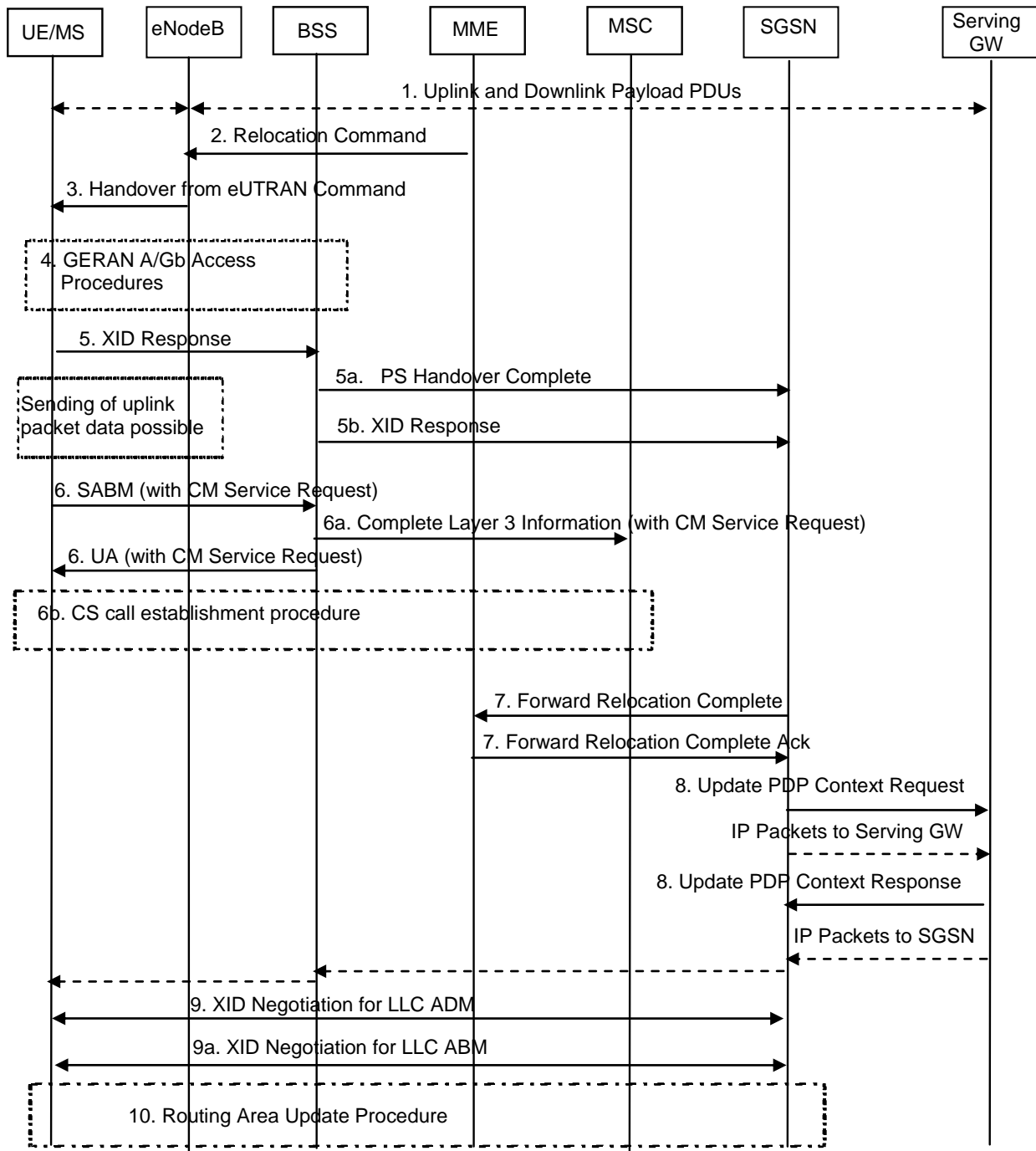


Figure 5.4.2.7-1: CS Call Request in eUTRAN, Call in GSM/WCDMA – Execution Phase

1. The Serving GW continues to receive IP packets from the PDN GW and forwards them to the UE/MS via the eNodeB.
2. The MME continues the PS handover by sending a Relocation Command (Target BSS to Source BSS Transparent Container (Inter-Domain Handover Command with RN part and CN part), RABs to be Released List, RABs Subject to Data Forwarding List) message to the eNodeB. "RABs to be released list" will be the list of all NSAPIs (RAB Ids) for which a PFC was not established "RABs Subject to Data forwarding list" will be the list of all NSAPIs (RAB Ids) for which a PFC was established.
3. The eNodeB sends the Handover from eUTRAN Command message which includes a PS Handover Command (RN part and CN part) created by the target BSS and the minimum required set of SI/PSI information. It is assumed the eNodeB will be able to provide the MS/UE with SI/PSI information corresponding to the target

GERAN cell as a result of receiving this information from target BSS in Target BSS to Source BSS Transparent Container received during the preparation phase.

Before sending the Handover from eUTRAN Command message the uplink and downlink data transfer shall be suspended in the eNodeB for the RABs that require delivery order.

Upon the reception of the HANDOVER from eUTRAN Command message containing the PS Handover Command message, the UE/MS shall associate its RAB IDs to the respective PFIs based on the relation with the NSAPI and shall suspend the uplink transmission of the user plane data.

NOTE 1: The Forward SRNS Context procedure (shown as occurring after this step in clause 5.5.2.3.3 of TS 23.401 [3]) is left out here since the need for it is still FFS.

4. The UE/MS executes the handover according to the parameters provided in the message delivered in step 4. The procedure is the same as in step 6 of the Inter-SGSN A/Gb to A/Gb PS Handover with the additional function of association of the received PFI and existing RAB Id related to the particular NSAPI as described in clause 4.4.1 of TS 43.129.
5. After accessing the cell using access bursts and receiving timing advance information from the BSS in step 6, the MS processes the NAS container and then sends one XID Response message to the new SGSN. The UE/MS sends this message immediately after receiving the Packet Physical Information message containing the timing advance or, in the synchronised network case, immediately if the PS Handover Access message is not required to be sent.

Upon sending the XID Response message, the UE/MS shall resume the user data transfer only for those NSAPIs for which there are radio resources allocated in the target cell. For NSAPIs using LLC ADM for which radio resources were not allocated in the target cell the MS may request for radio resources using the legacy procedures.

NOTE 2: If the SGSN indicated Reset (i.e. reset to default parameters) in the NAS container for PS HO included in the Handover from eUTRAN Command message in order to avoid collision cases the mobile station may avoid triggering XID negotiation for any LLC SAPI used in LLC ADM, but wait for the SGSN to do so. In any case the UE/MS may avoid triggering XID negotiation for any LLC SAPI used in LLC ABM, but wait for the SGSN to do so.

6. As soon as the UE/MS completes the PS Handover procedure (by sending the XID Response message) it establishes the main signalling link on the allocated dedicated channel (i.e. MS/UE sends a SABM containing a layer 3 Service Request message = CM SERVICE REQUEST to the BSS) and the BSS responds by sending a UA. Upon receiving the SABM (containing a CM SERVICE REQUEST message) the BSS sends a COMPLETE LAYER 3 INFORMATION message (containing a CM SERVICE REQUEST message) to the MSC which indicates CS resources have been allocated in the GERAN cell. When the RR connection is established the MS enters DTM and the CS call establishment procedure completes.

NOTE 3: This step is not shown in clause 5.5.2.3.3 of TS 23.401 [3] since no CS resource allocation is included in that handover procedure.

7. Upon receiving the PS Handover Complete message, the SGSN send a Forward Relocation Complete message to the MME to indicate completion of the PS handover procedures. The MME responds with a Forward Relocation Complete Acknowledge message.
8. The SGSN sends an Update PDP Context Request (new SGSN Address, TEID, QoS Negotiated) message to the Serving GW concerned. The Serving GW updates the PDP context fields and returns an Update PDP Context Response (TEID) message. From now on the Serving GW sends new incoming downlink IP packets to the SGSN instead of to the MME.

NOTE 4: The additional steps of the Update Bearer Request - Update Bearer Response exchange between the Serving GW and PDN GW are not shown but will be performed as per the equivalent steps of clause 5.5.2.3.3 of TS 23.401 [3].

9. If the SGSN indicated Reset (i.e. reset to default parameters) in the NAS container for PS HO included in the Handover from eUTRAN Command message then on receipt of the PS Handover Complete the SGSN initiates an LLC/SNDCP XID negotiation for each LLC SAPI used in LLC ADM. In this case if the SGSN wants to use the default parameters, it shall send an empty XID Command. If the SGSN indicated 'Reset to the old XID parameters' in the NAS container for PS HO, no further XID negotiation is required for LLC SAPIs used in LLC ADM only.

The SGSN (re-)establishes LLC ABM for the PDP contexts which use acknowledged information transfer. During the exchange of SABM and UA the SGSN shall perform LLC/SNDCP XID negotiation.

10. The UE/MS may trigger the Routing Area Update procedure when the sending of uplink packet data is possible. The detailed steps performed are as per clause 5.5.2.3.3 of TS 23.401 [3].

5.4.2.8 MT CS Call in Idle Mode

One solution is to reuse the MT CS Call in Active mode procedure as described above with the following modifications:

- When the MME receives a CS Page from the MSC it forwards the CS Page notification to one or more eNBs.
- Upon receiving a CS Page notification each eNB sends a page notification to the target UE in an attempt to determine the serving eNB.
- The UE responds to the CS Page notification by triggering RRC connection establishment and then awaits further instructions from the serving eNB.
- The eNodeB indicates a single packet flow requires PS Handover (PFI = 1 associated with LLC SAPI 1). The default XID parameters are always associated with this PFI along with a default PDP Context (i.e. a null PDP Context can be sent to the SGSN).
- The eNodeB may choose to invoke the optional Measurement Report Solicitation so that the UE/MS is at minimum informed that a PS Handover is pending (i.e. so it can implicitly perform a state transition to Active mode).

The execution phase is the same as for the MT CS Call in Active mode except that there are no incoming IP packets to be delivered to the UE/MS.

Other alternatives are cell re-selection, redirection or inter RAT cell change.

5.4.2.9 MO CS Call in Idle Mode

One solution is to reuse the MO CS Call in Active mode procedure as described above with the following modifications:

- The UE trigger an RRC connection establishment (with special cause for MO CS Calls) and then awaits further instructions from the serving eNB.
- The eNodeB may choose to invoke the optional Measurement Report Solicitation so that the UE/MS is at minimum informed that its CS Call Request has been accepted and a PS Handover is pending (i.e. so it can implicitly perform a state transition to Active mode).

The execution phase is the same as for the MO CS Call in Active mode except that there are no incoming IP packets to be delivered to the UE/MS.

Other alternatives are cell reselection, redirection or inter RAT cell change.

5.4.3 Co-existence with IMS based services

The "Page in eUTRAN, Call in GSM/WCDMA" solution can co-exist with IMS features as MTSI, ICS, SR VCC. A UE can in principle support both MTSI and "Page in eUTRAN, Call in GSM/WCDMA" simultaneously but it is expected that an MTSI capable UE would use MTSI for voice calls in a MTSI enabled network.

5.4.4 Roaming aspects

To support "Page in eUTRAN, Call in GSM/WCDMA" at roaming the visited NW and the UE need to support the feature.

5.4.5 Security aspects

No security impacts are foreseen.

5.4.6 Charging aspects

No charging impacts are foreseen.

5.4.7 Legal requirements aspects

The page in "Page in eUTRAN, Call in GSM/WCDMA" solution relies on 2G/3G coverage to provide emergency services. No impacts on Legal intercept are foreseen.

5.4.8 Assessment

The "Page in eUTRAN, Call in GSM/WCDMA" solution leverages on existing 2G/3G mechanisms. The level of impact on the 2G/3G CN is minor, i.e. no new protocol is required.

"Page in eUTRAN, Call in GSM/WCDMA" should be understood as a cost efficient "gap filler" to provide CS voice services that offers the operator a bit more time to migrate to MTSI when deploying eUTRAN. During a CS voice service there may be a degradation of the PS service.

5.5 Alternative 5 - SIP based approach

5.5.1 Key aspects of delivering a successful solution

One of the most valuable elements of the legacy CS domain is the standardised voice call services in the MSC and UE. These are provided via the DTAP protocol (TS 24.008), hence in order to leverage this value a solution needs to be found which enables the retention of the 24.008 signalling over PS bearers. Specifically a solution needs to be found which addresses the following aspects:

- Communication of 24.008 signalling over PS RABs is not defined; a transport mechanism is required to communicate 24.008 over PS RABs. This transport mechanism will need to address not only the encapsulation of 24.008, but also the address discovery and routing.
 - * [A SIP based solution can do this using standard SIP discovery, registration and routing procedures]
- Use of 24.008 call control with PS RABs will require 24.008 extensions. Currently, it is not possible to communicate SDPs (IP address/port numbers, codecs) between two end-points using 24.008.
 - * [A SIP based solution can do this using MIME encapsulation of 24.008]
- Most proposed mechanisms to achieve handover between EPC and legacy CS domain will require some functionality in the MME, however the complexity of this mechanism needs to be kept simple if the cost and performance of the EPC is not to be adversely affected.
 - * [A SIP based solution can do this a number of ways, one of which would be to utilise the approach used to address the problem of Single Radio Voice Call Continuity]
- Key to providing an acceptable voice service is the ability to control the QoS of the bearers used for the user plane. Any solution will need to have access to a QoS control mechanism in EPC in order to achieve this.
 - * [A SIP based solution can do this, since the SDP information can be provided over the Rx interface into the standard PCC architecture over the Rx interface]

5.5.2 Conclusion and recommendation

A SIP based approach has the ability to address many of the key challenges of keeping the core value of the CS Domain, namely providing the ability to retain TS 24.008 (DTAP) standardised signalling, and using this to carry forward legacy CS Services over the newly defined Evolved Packet Core. However it is recognised that there may be other viable approaches and it is recommended that solutions to the issues identified in clause 5.5.1 be clearly addressed in this technical report as part of the evaluation process of all the proposed solutions.

5.6 Alternative 6 - Combined solution

5.6.0 General

This solution is a combination of Alternative 1 - Evolved MSC (as described in clause 5.1) enabling CS domain voice calls and SMS for an EPS attached UE, and Alternative 3 - Page in eUTRAN, Call in GSM/WCDMA (as described in clause 5.4) enabling re-direction of an EPS attached UE towards 2G/3G access before establishment of a MO or MT CS voice call.

5.6.1 Reference architecture

5.6.1.1 General

The combination of the reference architecture is straight forward, as the reference point between MME and (e)MSC is common to both alternatives. The reference point between UE and eMSC comes from Alternative 1. Alternative 1 uses the term eMSC whereas Alternative 3 uses MSC. As both alternatives are adding Rel-8 functionality onto the MSC it is suggested to use the term MSC.

Figure 5.6.1.1-1 shows the non-roaming architecture, Figure 5.6.1.1-2 the roaming architecture.

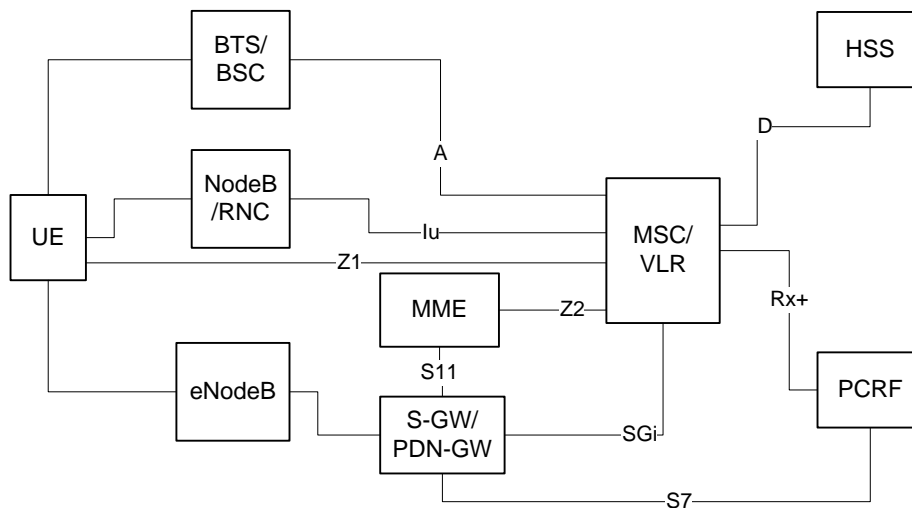


Figure 5.6.1.1-1: Non-Roaming Architecture

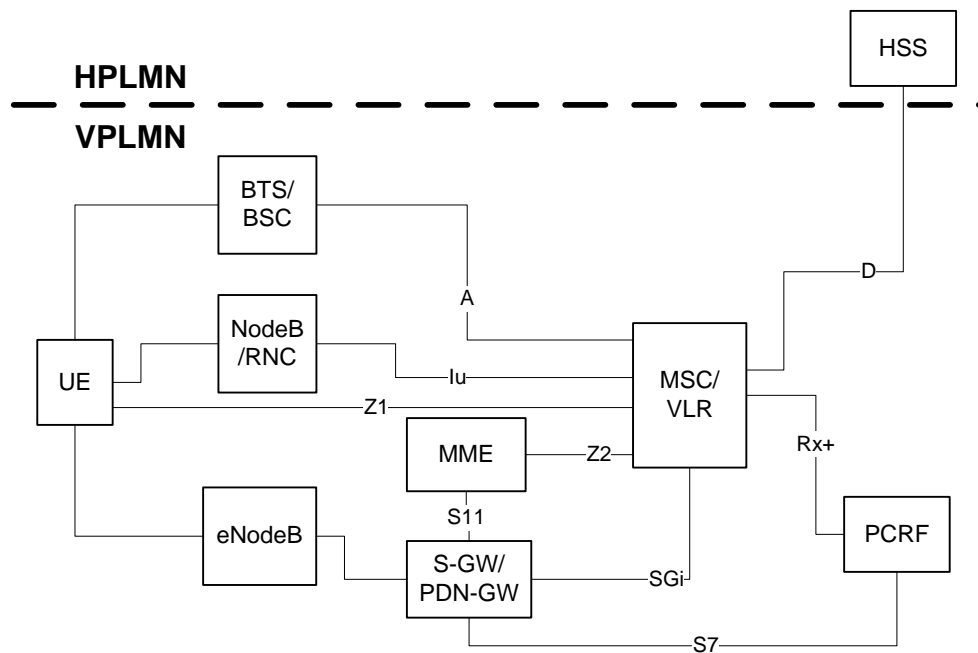


Figure 5.6.1.1-2: Roaming Architecture

The proposal reuses the concepts from 2G/3G of the Gs interface between the MSC Server and the SGSN. Thus for the Location Update procedure and for Paging messages a "Gs like" interface over reference point Z2 between the MME and the MSC Server is used.

The support of reference points Z1 and Rx by the MSC is optional, depending on the deployment characteristics chosen by the operator. If the operator chooses the deployment characteristic where the MSC Server controls establishment of voice calls and handling of SMS under E-UTRAN coverage, Z1 and Rx need to be supported by the MSC.

5.6.1.2 Required functionality in network elements

MSC

- Gs-like registration and paging towards MME for a UE attached over EPS access
- Optional Call and SMS control for UE using secure and reliable IP transport (replacing use of Mc for channel assignment with Rx using PCC for bearer establishment)
- Optional handover preparation and execution
- Optional handover signalling

MME

- Gs-like registration and paging
- Optional handover signalling exchange with the MSC for preparation and execution

UE

- Gs-like registration and paging
- Redirection to CS capable legacy access for MO and MT voice calls
- CS domain call and SMS control signalling over EPS using secure and reliable IP transport

5.6.1.3 Reference points

- Z1** Reference point between UE and MSC
- Z2** Reference point between MME and MSC

5.6.1.4 Protocol Stacks

A possible protocol stack for control plane over Z1 is illustrated in figure 5.6.1.4-1. The decision about use of SCTP or another protocol can be left open for stage 3 discussions and decision.

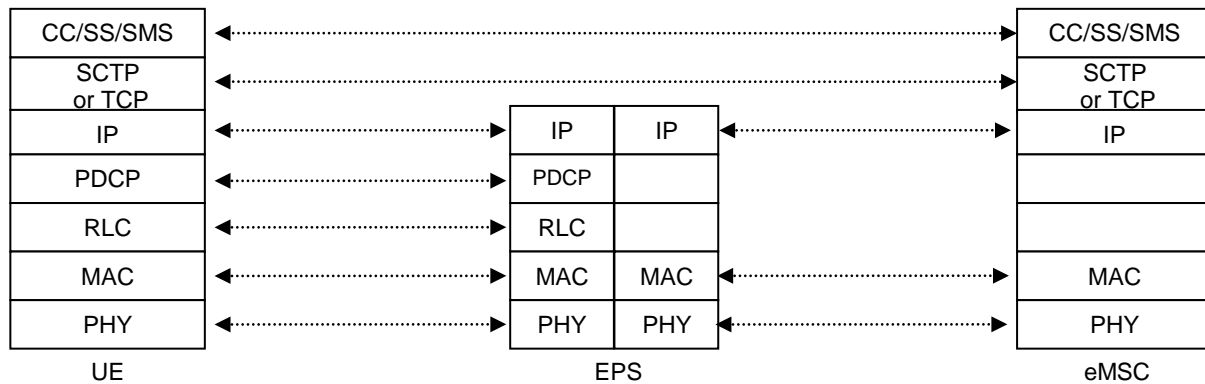


Figure 5.6.1.4-1: Protocol stack for control plane over Z1

The protocol stack for user plane over Z1 is illustrated in figure 5.6.1.4-2.

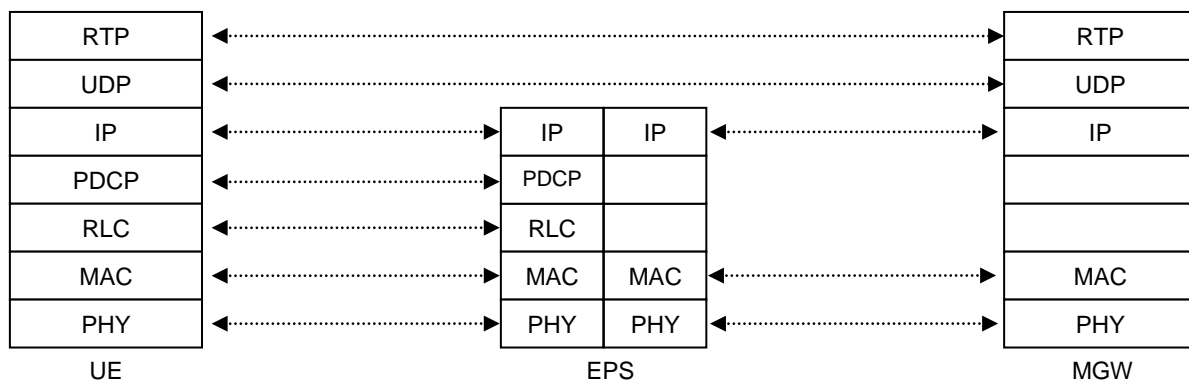


Figure 5.6.1.4-2: Protocol stack for user plane over Z1

5.6.2 Procedures

5.6.2.1 CS attachment

CS attachment is done as proposed in Alternative 3 (CS location update procedure via MME and Gs-like interface to MSC, during EPS Attach) (see 5.4.2.1 for more details).

The combined solution requires that one more IE inserted by the MSC into the Location Update Ack to be passed down to the UE. This IE would hold an indication about the support of 'CS domain call and SMS control signalling over EPS' that may be the IP address of the MSC, or just a bit-indication triggering the UE to do an eMSC discovery as described in Alternative 1.

5.6.2.2 Location Update

Done as proposed in Alternative 3.

Note: If a UE has an ongoing MO or MT call over EPS, no Location Update shall be sent to the eMSC, e.g. the UE should not initiate a combined TA/LA Update procedure.

5.6.2.3 Deregistration

Done as proposed in Alternative 3.

5.6.2.4 eMSC Discovery

Done as proposed in Alternative 1.

5.6.2.5 Gs-like Paging

Done as proposed in Alternative 3.

The combined solution requires that the UE, upon receiving the Gs-like paging does either of the following:

- if the UE has obtained the IP address of an eMSC at time of location update and the UE has 'CS domain call and SMS control signalling over EPS'-capability then it will answer the paging request via EPS, and a secure IP connectivity will be established between UE and MSC
- if the UE has no IP address of an eMSC, or is not 'CS domain call and SMS control signalling over EPS'-capable then it will continue as described in Alternative 3.

5.6.2.6 MT Call Setup

MT call setup follows the Gs-like Paging as described in 5.6.2.5.

- If the UE has answered the paging via EPS then MT call setup takes place as described in Alternative 1 (5.1.2.5).
- If the UE has answered the paging via legacy CS access then MT call setup takes place as described in Alternative 3.

5.6.2.7 MO Call Setup

- If the UE has obtained the IP address of an eMSC at time of location update and the UE has 'CS domain call and SMS control signalling over EPS'-capability then it will initiate a secure IP connectivity with the MSC, and MO call setup takes place as described in Alternative 1 (5.1.2.5).
- If the UE has no IP address of an eMSC, or is not 'CS domain call and SMS control signalling over EPS'-capable then it perform MO call setup as described in Alternative 3.

5.6.2.8 MO and MT SMS

Done as proposed in Alternative 1 (5.1.2.6).

5.6.2.9 HO from E-UTRAN to GSM/UMTS CS

Done as proposed in Alternative 1 (5.1.2.7).

5.6.2.10 HO from GSM/UMTS CS to E-UTRAN

Done as proposed in Alternative 1 (5.1.2.8).

5.6.3 Co-existence with IMS based services

See clauses 5.1.3 and 5.4.3.

5.6.4 Roaming aspects

5.6.4.1 'CS domain call and SMS control signalling over EPS' support in the VPLMN and UE

This allows full re-use of CS domain roaming agreements and existing inter-operator accounting mechanisms (TAP records exchange) for CS Domain services over evolved PS access. In case of a handover between E-UTRAN and GSM/UMTS CS the user experience will be comparable to the one in non-roaming case as the handover is performed solely in the VPLMN, which optimizes the user plane routing.

5.6.4.2 No 'CS domain call and SMS control signalling over EPS' support in the VPLMN or UE

Redirection of voice calls to GSM/UMTS CS takes place if this feature is supported in UE and VPLMN.

5.6.5 Security aspects

See clauses 5.1.5 and 5.4.5.

5.6.6 Charging aspects

See clauses 5.1.6 and 5.4.6.

5.6.7 Legal requirements aspects

See clauses 5.1.7 and 5.4.7.

5.6.8 Assessment

The 'Combined solution' alternative is a full solution according to the scope this study, i.e. it is fulfilling all identified overall and architectural requirements.

The main design-principles of this solution are:

- Maximal re-use of CS Domain mechanisms and deployed infrastructure thus securing operators' investments in legacy CS Domain and radio access equipment and services.

The 'Combined solution' alternative allows operators to offer legacy CS domain services fully leveraging the already deployed evolved access coverage, and should be understood as cost-efficient gap-filler until MTSI can be offered with sufficient VoIP-capable PS coverage.

The combined alternative is beneficial because it allows a migration for operators from redirection of voice calls to GSM/WCDMA to doing CS domain controlled voice calls over EPS. It is also be beneficial for roaming scenarios, if at least redirection of voice calls to GSM/WCDMA is available in all networks and all UEs.

6 Conclusion

Four separate technical alternatives for providing CS services over the EPS have been analysed in this TR in various levels of detail:

- Alternative 1 - Evolved MSC
- Alternative 2 - IWF between EPS and MSC
- Alternative 3 - Iu-CS based solution
- Alternative 5 - SIP based approach

Alternative 4 - Page in eUTRAN, Call in GSM/WCDMA – also has been studied in the TR. Unlike the above four alternatives, this alternative has been designed so that the UE is camped on the EPS *but* may be redirected to a CS

capable access for CS service delivery, so that the actual service delivery occurs via a legacy CS system rather than the LTE access of the EPS itself. This solution has become part of 3GPP Release 8 as "CS Fallback" and is specified in TS 23.272 [20].

Concerning the other alternatives (1, 2, 3 and 5), the following observations can be made:

- Alternative 1 is a feasible solution for the delivery of CS services via the EPS. However, it impacts the legacy CS systems by requiring an enhanced MSC, or eMSC, in addition to some smaller impacts on the EPS.
- Alternative 2 also is a feasible solution. It is similar to alternative 1 but avoids its disadvantage of impacting the legacy CS network by "outsourcing" the necessary adaptation functionality into a GAN-style interworking function. In fact, alternative 2 becomes very similar to alternative 1 when the IWF is integrated in the MSC (yielding an eMSC), and the "container" protocol that is part of alternative 1 is based on GAN. Compared with alternative 1, this solution is significantly less intrusive for CS and EPS in terms of minimising impacts on those systems. It is also more cost-efficient and simpler due to a broader reuse of existing 3GPP functionality.
- Alternative 3 does not fulfil the baseline requirements in regard of its impact on the overall system. Effectively, it would introduce a CS component into the EPS and thereby destroy EPS's all-IP nature.
- Alternative 5 uses a similar approach to alternative 1, but SIP for the communication between the network and the UE. This solution is much more challenging than alternatives 1 and especially 2, concerning the feasibility of SIP encapsulation of 24.008 signalling, the implementation of corresponding interworking between "classic" 24.008 and "SIP encapsulated" 24.008, and the UE impact by adding another voice stack on top of CS and IMS.

It should be noted that alternative 2 is similar to alternative 5 but avoids the problems inherent in alternative 5 by using a GAN-style IWF as the basis for UE-to-IWF communication – changes in the MSC are avoided, and the UE has only 2 voice stacks, namely CS and IMS.

An additional solution – alternative 6 - that combines alternative 1 with alternative 4 has also been described in the TR in order to analyse the migration scenarios when the fallback solution is implemented together with a "true" CS over PS solution. In practice, a similar combined solution could be devised when combining any of the "true" CS over PS solutions with alternative 4.

In summary, the following conclusions can be drawn from the CS over PS study:

1. Where there is overlapping coverage between LTE/EPS and legacy CS systems, alternative 4 - Page in eUTRAN, Call in GSM/WCDMA, may be operator's choice.
2. Where there is no overlapping coverage between LTE and legacy CS systems, or where the above issues are not acceptable to an operator, CS services over EPS/LTE may best be delivered using alternative 2. It provides the means for delivering CS services over EPS via LTE access that has the least overall impact of all 4 such alternatives studied in the TR. It requires the capability in the UE to use its CS stack over an LTE bearer, and an interworking function in the network between the EPS and the MSC. Functionality is reused from SRVCC for mobility to legacy systems, and from GAN for service interworking, and from CSFB for ISR interworking, so that the overall effort to implement this alternative is minimised compared to the other 3 competing alternatives.

Annex A: Change history

| Change history | | | | | | | | |
|----------------|-------|-----------|----|-----|-----|--|-------|-------|
| Date | TSG # | TSG Doc. | CR | Rev | Cat | Subject/Comment | Old | New |
| 2009-03 | SP#43 | SP-090095 | - | - | - | MCC Update for presentation to TSG SA | 1.2.1 | 2.0.0 |
| 2009-03 | SP#43 | - | - | - | - | Approved at TSG SA#43. Upgraded to release 9 | 2.0.0 | 9.0.0 |
| | | | | | | | | |